# Synthesis and Characterization of $\beta$ -Cyclodextrin/alginate Nanoparticle as a Novel Drug Delivery System

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The aim of this work was to study a novel nanoparticle system formed from alginate and  $\beta$ -cyclodextrin by ionotropic gelation method and to evaluate their potential for the association and delivery of drugs. The nanoparticles were prepared by electrostatic interactions between Ca²+/alginate gel and  $\beta$ -cyclodextrin. Morphology and structure characterization of nanoparticles was investigated by scanning electron micrographs (SEM), transmission electron microscope (TEM), Fourier transform infrared spectra (FTIR). The nanoparticle size was about 50 – 80 nm and their structure quite regular and consistent. Ketoprofen, chosen as a model drug, was incorporated into the nanocarriers with association efficiency of 50.7 % and loading efficiency of 5.7 %. Ketoprofen released from the nanoparticles was 57 % at pH 7.4 and 69 % at pH 5.0 for 4 h.

Kev words.

nanoparticle, nanocarrier, drug delivery,  $\beta$ -cyclodextrin, alginate, ketoprofen, drug release

#### Introduction

In recent years, biomaterials have been employed increasingly for sustained delivery applications to enhance drug safety and efficacy as well as to improve patient compliance. Among biomaterials, nanomaterials have received considerable interest in the pharmaceutical field. At the nanometer scale, these structures match typical sizes of natural functional units in living organisms, thus allowing them to interact with biomolecules<sup>1</sup>. Most of the nanomaterials used in controlled drug delivery involve non-toxicity, immunogenicity and biorecognition, as well as the overcoming of obstacles arising from low drug solubility, degradation, fast clearance rates, relatively short-lasting biological activity and inability to cross biological barriers<sup>2</sup>.

Nanoparticles have been widely exploited in the pharmaceutical industry for controlling drug release due to fundamental changes related to the particle surface and optical properties<sup>3–5</sup>. Nanoparticulate delivery systems of small molecule drugs have the potential power to enhance drug stability and increase the duration of the therapeutic effect. Nanoparticles prepared from natural, synthetic polymers or lipids have been developed to overcome the enzymatic barrier<sup>6–8</sup>. Among them, algi-

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nate and  $\beta$ -cyclodextrin have provoked great interest because they satisfy almost all the necessary properties of a material for drug delivery nanocarriers.

Alginate (ALG) is a biodegradable polymer used as a suspending agent, a gelling agent, and a colloid stabilizer. It is extracted from brown sea weed and is composed of alternating blocks of 1–4 linked  $\alpha$ -L-guluronic and  $\beta$ -D-mannuronic acid residue (Fig. 1a). A reversibly aqueous alginate gel may be formed in the presence of divalent ions at room temperature<sup>9</sup>. Alginate-based nanoparticles may be obtained easily by ionotropic gelation method. In this method, hydrogel beads, also called gelispheres, are formed from cross-linking between alginate solution and counter ions such as Ca<sup>2+</sup>. Drug molecules are commonly distributed into the gelispheres to form a three-dimensional lattice of ionically cross-linked moiety before the drug-loaded nanoparticles are generated<sup>10</sup>. Studies on alginate-nanoparticle materials have increased greatly over the past decade11-15.

 $\beta$ -Cyclodextrin ( $\beta$ -CD) is a cyclic oligosaccharide consisting of seven ( $\alpha$ -1,4)-linked  $\alpha$ -D-glucopyranose units as shown in Fig. 1b, resulting in the formation of toroidal molecules with internal hydrophobic cavities and external hydrophilic surface. The most common pharmaceutical application of  $\beta$ -CD has been to enhance the solubility, stability, safety and bioavailability of drug molecules<sup>16</sup>. In

aqueous solutions,  $\beta$ -CD can form inclusion complexes with many drugs by taking up the drug molecule or some lipophilic moiety of the molecule, into the central cavity and no covalent bonds are formed or broken during complex formation.  $\beta$ -CD has been an attractive building block for various types of drug delivery systems due to its favorable toxicological profile, inherent ability to partly or completely retain drug molecules and protect them from the external environment<sup>17–19</sup>. In particular, Skiba *et al.* <sup>20</sup> demonstrated that  $\beta$ -CD derivatives could interact with Ca<sup>2+</sup> ions in an electrostatic manner as non-specific adsorption. Therefore, Ca<sup>2+</sup> ion can be used as a bridge ion between negatively charged elements and  $\beta$ -CD compounds.

Ketoprofen is one of the propionic acid classes of non-steroidal anti-inflammatory drugs with analgesic, antipyretic effects, and used in the treatment of rheumatoid arthritis<sup>21,22</sup>. Ketoprofen structure is shown in Fig. 1c. Solubility and bioavailability of ketoprofen, a poorly water-soluble drug, can be improved by complexation with  $\beta$ -CD as a drug carrier<sup>23,24</sup>. However, studies on ketoprofen delivery nanomaterials are presently limited.

Fig. 1 – Chemical structures of sodium alginate (a),  $\beta$ -cyclodextrin (b) and ketoprofen (c)

(c)

In recent years,  $\beta$ -CD and its derivatives encapsulated into micro-systems of alginate/polymer have been widely investigated in drug delivery application<sup>12,25,26</sup>. However, nanoparticles containing both ALG and  $\beta$ -CD have not been reported in literature. In this paper, we describe the preparation and characterization of a new nanoparticle containing the two above components as a drug delivery system. In order to evaluate incorporation and delivery from such a system, ketoprofen was chosen as an example of BCS class II drug (according to WHO Guidance, low solubility and high permeability)<sup>27,28</sup>.

#### **Experimental**

#### **Materials**

 $\beta$ -Cyclodextrin, sodium alginate and ketoprofen were purchased from Bodi Chemical Co. (Tianjin, China), Shanghai Chemical Co. Ltd (China) and Merck (Germany), respectively. All other chemicals were of AR grade and used as received without further purification. Distilled water and magnetic stirrer were used throughout.

### Preparation of blank $\beta$ -cyclodextrin/alginate nanoparticles

β-CD/ALG nanoparticles were spontaneously obtained via ionotropic gelation method between the positively charged ALG/Ca<sup>2+</sup> gelispheres and the negatively charged β-CD. 0.42-1.47 mL of aqueous calcium chloride (10.05 mg mL<sup>-1</sup>) was added dropwise to 3.30 mL of aqueous sodium alginate (9.00 mg mL<sup>-1</sup>) under stirring for 30 minutes. Then, 2 mL of β-CD solution (0.99-14.85 mg mL<sup>-1</sup>) was added dropwise into the calcium alginate pregel and stirred for an additional hour. The gel solution was equilibrated overnight to allow formation of nanoparticles in uniform size. The nanoparticles were separated by centrifugation (EBA20S-Hettich Germany, 8,000 g for 30 min, 30 °C) and then freeze-dried to yield the product.

The nanoparticle product yield was calculated as follows:

Nanoparticle product yield =
$$= \frac{Nanoparticles \ weight}{Total \ solids \ (Ca^{2+} + ALG + \beta - CD) \ weight} \cdot 100$$

## Preparation of ketoprofen loading $\beta$ -cyclodextrin/alginate nanoparticles

A constant volume (0.36 mL) of ketoprofen in an ethanol/water mixture (1:1, 1.10 mg mL<sup>-1</sup>) was added to 0.7 mL of calcium chloride solution (10.05 mg mL<sup>-1</sup>). Nanoparticles were prepared as method of blank  $\beta$ -CD/alginate nanoparticles with various concentrations of  $\beta$ -CD solution (0.99 – 2.97 mg mL<sup>-1</sup>).

### Morphology and structure characterization of nanoparticles

The morphology of nanospheres was studied by scanning electronic microscopy (SEM) using JSM7401F (Japan). The samples were lyophilized and then mounted on metal stubs, gold coated under vacuum before examination. The nanoparticles size was measured by transmission electron microscope (TEM, Hitachi H8100 with the acceleration 200 kV, LaB6 source of electrons, resolution 0.14 nm).

Fourier transform infrared (FTIR) spectra of blank and loaded nanoparticles were taken with KBr pellets on a FTIR spectrometer (Equinox 55 IR – Bruker (Germany)). The same was obtained for alginate,  $\beta$ -CD, ketoprofen as standards.

#### Drugs association efficiency and loading efficiency

The association efficiency was determined indirectly after separation of nanoparticles from the aqueous medium containing nonassociated drug. The drug was obtained from the separated solution by extraction with diethyl ether. The amount of drug associated with the particles was calculated by the difference between the total amount used to prepare the particles and the amount of drug present in the aqueous phase after centrifugation.

$$Association efficiency = \\ = \frac{Total \ amount \ of \ drug - free \ drug \ in \ supernatant}{total \ amount \ of \ drug} \cdot 100 \ \%$$

A difference between the total amount of drug and the amount of residual nonassociated drug as a percent of total nanoparticle dry mass is determined as loading efficiency. Dry mass was obtained by freeze-drying an aliquot of hydrated nanoparticles.

$$Loading \ efficiency = \\ = \frac{Total \ amount \ of \ drug - free \ drug \ in \ supernatant}{total \ dry \ weight \ of \ nanoparticles} \cdot 100 \ \%$$

#### Quantitative analysis of ketoprofen

Ketoprofen was assayed by reversed-phase liquid chromatography (RP-HPLC) using an Agilent HPLC system (Agilent 1100, USA) equipped with UV detector and a ZORBAX SB – C<sub>18</sub>. For preparation of standard solutions, a standard solution was prepared by diluting a stock solution at a concentration of 10 mg mL<sup>-1</sup> in methanol. The standard solutions were prepared at concentrations of 4.0, 2.0, 1.0 and 0.5 mg mL<sup>-1</sup>. For preparation of sample solutions, the supernatant which was obtained by centrifuging nanoparticle solutions was extracted with diethyl ether three times and the residue was dissolved in methanol after evaporation of diethyl ether.

#### **Drug release profiles**

Ketoprofen release studies were performed by stirring 10 mg dried nanoparticles in 10 mL of phosphate buffer solutions (pH 5.0 and 7.4) at 37 °C. At appropriate intervals, the samples were separated by centrifugation (8,000g for 30 min, 30 °C) and the amount of drug released from the nanoparticles was evaluated by HPLC as described above. The release was quantified as follows:

$$Release~(\%) = \frac{Released~ketoprofen}{Total~ketoprofen}$$

To determine the mechanism of ketoprofen release, we analysed the release data applying the Ridger-Peppas model<sup>29</sup>:

$$\frac{M_t}{M_{\infty}} = kt^n$$

Where  $M_1/M_\infty$  is the fractional drug release, t is the release time,  $\hat{k}$  is a kinetic constant characteristic of the system, and n is the diffusional exponent which is indicative of the transport mechanism. This equation is useful for a generalized analysis of release data, although it may only be used for up to 60 % of the drug released<sup>30</sup>. The fitting model was conducted with OriginPro 8.5 software.

#### Results and discussion

## Preparation and structure characterization $\beta$ -cyclodextrin/alginate nanoparticles

Preparation of  $\beta$ -CD/ALG nanoparticles was carried out by simple ionotropic gelation method. The hydrogel beads were formed between Ca<sup>2+</sup> solution and aqueous alginate solution.  $\beta$ -CD drops were diffused into a three-dimensional lattice of the ionically crosslinking gel. In order to study structure of formation of nanoparticles, prior to incorporation of the gel and  $\beta$ -CD suspension, morphological information of the distinct suspension was observed by SEM (Fig. 2). The images confirmed that both individual components, the alginate/ $Ca^{2+}$  gel and  $\beta$ -CD, had micrometer size with a disjointed composition. After the incorporation was performed, the distinct spherical particles with solid dense structure were observed. Nanoparticle sizes were less than 100 nm according to SEM image (Fig. 2c).

The  $\beta$ -CD/ALG/Ca<sup>2+</sup> nanoparticles might be formed by electrostatic interactions in solution according to polyelectrolyte complexes mechanism as illustrated in Fig. 3. The mechanism is based on electrostatic interactions between the oligosaccharide and the oppositely charged ionic crosslinker<sup>31,32</sup>. In this nanoparticle, ion Ca<sup>2+</sup> of the hydrogel beads

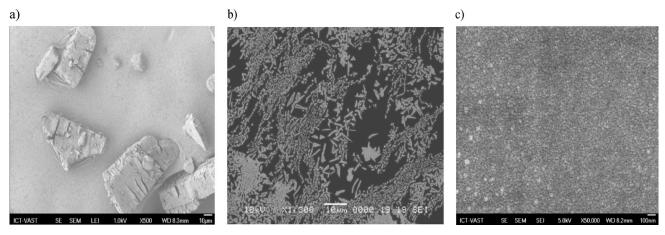


Fig. 2 – Scanning electron micrographs of  $\beta$ -cyclodextrin (a), alginate/Ca<sup>2+</sup> (b), and ALG/ $\beta$ -CD nanoparticles with Ca<sup>2+</sup>/ALG/ $\beta$ -CD w/w/w ratio of 1/4.2/0.28 (c)

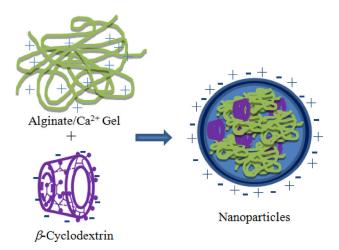


Fig. 3 – Mechanism for formation of  $\beta$ -cyclodextrin/alginate nanoparticles

played a role as a bridge ion interacting with the  $\beta$ -CD compounds by virtue of its charge in an electrostatic manner<sup>20</sup>. These complexes resemble ionic cross-linking, since non-permanent networks are formed that are more sensitive to changes in environmental conditions.

In order to determine conditions suitable for the formation of nanoparticles, in the first step, we studied formation of nanoparticles with different  $Ca^{2+}/ALG$  w/w ratios in the presence of  $\beta$ -CD. Different volumes of calcium chloride (10.07 mg mL<sup>-1</sup>) were added into the constant volume of ALG solution (9.0 mg mL<sup>-1</sup>) to obtain Ca<sup>2+</sup>/ALG ratios from 1:2 to 1:7 (w/w). In the presence of  $\beta$ -CD, a high amount of Ca2+ ion with 1:2 to 1:3 w/w ratios gave the unstable nanoparticles the tendency to agglomerate due to increased electrostatic interaction between  $Ca^{2+}$  ion and  $\beta$ -CD compounds<sup>28</sup>. Meanwhile, the high amount of ALG with 1:6 to 1:7 w/w ratios could not generate the formation of nanoparticles. As a result, Ca<sup>2+</sup>/ALG ratios for formation of nanoparticles in the presence of  $\beta$ -CD were optimized from 1:4 to 1:5. Alternatively, 1:4.2 w/w Ca<sup>2+</sup>/ALG ratio was finally chosen for further study.

The aim of the next step was to investigate the effect of  $\beta$ -CD concentration on size and yield of product nanoparticles. The results showed that  $\beta$ -CD concentration influenced the size of the resulting nanoparticles (Table 1). In the case of high  $\beta$ -CD concentration (14.85 mg mL<sup>-1</sup>), due to agglomeration of individual nanoparticles, the observed nanoparticles possessing size less than 100 nm could not be obtained. At 1/4.2/0.42 ratio, a highest product yield obtained with a nanoparticle size less than 100 nm was 90.1 %. Therefore, these ratios would be used for further studies on the drug loading nanoparticles.

Table 1 – Effect of  $\beta$ -CD concentration on nanoparticle product size and yield (mean  $\pm$  SD, n = 3)

β-CD concentration (mg mL <sup>-1</sup> )	Ca <sup>2+</sup> /ALG/β-CD w/w/w ratio	Size (nm)	Nanoparticle product yield (%)
0	1/4.2/0	>1000	_
1.0	1/4.2/0.28	< 100	$84.7 \pm 2.5$
1.49	1/4.2/0.42	< 100	$90.1\pm0.8$
2.97	1/4.2/0.84	< 100	$75.0 \pm 5.1$
14.85	1/4.2/4.2	> 100	$41.7 \pm 1.5$

# Preparation and structure characterization of drug loading $\beta$ -cyclodextrin/alginate nanoparticles

To investigate whether these nanocarriers could be used advantageously for hydrophobic drug delivery, we used ketoprofen as a model drug for studies of nanoparticle structure and drug release. The preparation of ketoprofen-loaded  $\beta$ -CD/ALG nano-

particles is actually difficult because ketoprofen is a hydrophobic drug, while the  $\beta$ -CD/ALG nanoparticles are hydrophilic systems. Precipitation process of water-miscible organic solvents could occur in an aqueous phase as discrete spherical particles. However, a successful entrapment was achieved by dissolving the drug in an alcohol/water mixture (1:1) prior to its incorporation into the calcium chloride solution.

Electron microscopy analysis confirmed the presence of nanoparticles and provided morphological information of ketoprofen-loaded  $\beta$ -CD/ALG nanoparticles (Fig. 4). Thus, the SEM image (Fig. 4a) showed the morphology of the drug-loaded nanoparticle to be solid dense. Additionally, nanoparticles possessing a bunch-like structure with sizes 50-80 nm were seemingly formed from agglomeration of smaller nanosized units.

FT-IR spectra were analysed to characterize the potential interactions in the nanoparticles. FT-IR spectra of alginate,  $\beta$ -CD, blank, and ketoprofen-loaded nanoparticles and ketoprofen are shown in Fig. 5. In the spectrum of sodium alginate, the broad band at 3430 cm<sup>-1</sup> might be due to OH groups; the peaks near 1635 cm<sup>-1</sup> and 1419 cm<sup>-1</sup> were assigned to symmetric and asymmetric stretching vibration of COO- groups, respectively. The bands around 1050 cm<sup>-1</sup> (C-O-C stretching) are attributed to its saccharide structure. The absorption band characteristics for  $\beta$ -CD were observed at 3340 cm<sup>-1</sup>, 2928 cm<sup>-1</sup>, 1157 cm<sup>-1</sup>, and 1029 cm<sup>-1</sup>, which correspond to the symmetrical and asymmetrical stretching of OH, CH, C-C groups and bending vibration of O-H groups, respectively. After the reaction with alginate, the OH stretching vibration at 3340 cm<sup>-1</sup> of  $\beta$ -CD shifts to 3430 cm<sup>-1</sup> and the peaks at 1157 cm<sup>-1</sup>, and 1029 cm<sup>-1</sup> shifted similarly by a few cm<sup>-1</sup>. The peaks of ketoprofen at 1697 cm<sup>-1</sup> (C=O stretching of acid group) and 1617 cm<sup>-1</sup> (C=O stretching of acid group) also appeared in the FT-IR spectra of the drug-loaded nanoparticles.

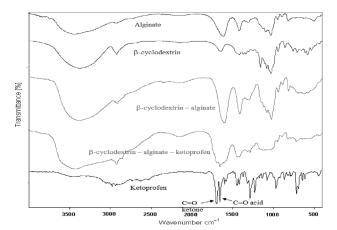
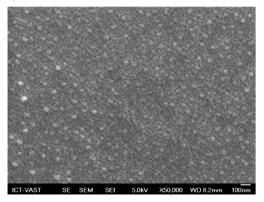


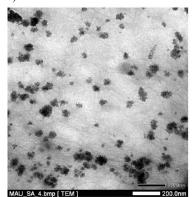
Fig. 5 – FTIR spectra of sodium alginate, β-cyclodextrin, blank nanoparticles, ketoprofen-loaded β-CD/ALG nanoparticles, ketoprofen

Taking into account blank  $\beta$ -CD/ALG nanoparticle results, we selected three Ca²+/ALG/ $\beta$ -CD ratios (1/4.2/0.28, 1/4.2/0.42 and 1/4.2/0.84 w/w/w), which created the nanoparticle sizes smaller than 100 nm, for further studies aimed at investigating the effect of  $\beta$ -CD concentration used to prepare the nanoparticles on loading efficiency and association efficiency of ketoprofen. The results are shown in Table 2. Increasing the amount of  $\beta$ -CD led to an increase in the loading efficiency and association efficiency values of ketoprofen. This could be explained by the fact that  $\beta$ -CD molecules formed inclusion complexes with ketoprofen by taking up the ketoprofen molecule into the central cavities.

Table 2 – Effect of  $\beta$ -CD concentration on loading efficiency and association efficiency of ketoprofen into the  $\beta$ -CD/ALG nanoparticles (mean  $\pm$  SD, n = 3).

Ca <sup>2+</sup> /ALG/β-CD w/w/w ratio	Loading efficiency (%)	Association efficiency (%)
1/4.2/0.28	$1.9 \pm 0.1$	40.2 ± 1.8
1/4.2/0.42	$4.3 \pm 0.2$	$45.4\pm2.9$
1/4.2/0.84	$5.7 \pm 0.2$	$50.7 \pm 1.3$
	c)	





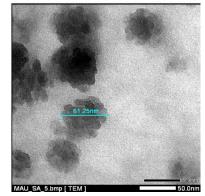


Fig. 4 – Scanning electron micrograph (a) and transmission electron micrograph (b x30000); (c x100000) of ketoprofen-loaded nanoparticles with Ca<sup>2+</sup>/ALG/β-CD w/w/w ratio of 1/4.2/0.42

#### Release properties

Release curves of ketoprofen from drug-loaded  $\beta$ -CD/ALG nanoparticles at various pH values at 37 °C as a function of time are shown in Fig. 6. It can be seen that ketoprofen released from  $\beta$ -CD/ ALG nanoparticles was 69 % at pH 5.0 and 57 % at pH 7.4 within 4 h. This difference indicated that the drug release properties of  $\beta$ -CD/ALG nanoparticles are pH sensitive. As seen, at pH 7.4 drug was released only 27 % within the first two hours and then above 50 % within the next two hours. This showed that ketoprofen not only protected drug loss but also controlled drug release in a neutral environment. A faster release occurred at pH 5.0. Within the first two hours, more than half of the ketoprofen was released at pH 5.0. The initial burst release results in the light acid medium seem to indicate that a significant amount of ketoprofen had associated with nanoparticles by electrostatic interaction forces.

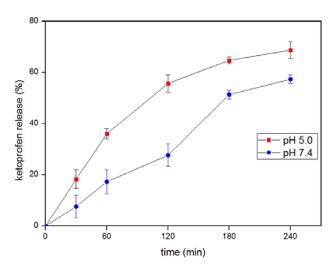


Fig. 6 – Ketoprofen release of ketoprofen-loaded  $\beta$ -CD/ALG nanoparticles at various pH (5.0 and 7.4), at 37 °C (mean  $\pm$  SD, n=3)

To investigate more precisely the mechanism of the ketoprofen release from nanoparticles, the results were analysed according to Ritger-Peppas equation. Moreover, according to this equation, the regression coefficient ( $R^2$ ) was above 0.90 and the values of the release exponent (n) for spheres were between 0.45 and 0.85 in both pH values (Table 3). This means that drug release followed both diffu-

Table 3 – In-vitro release kinetics of nanoparticles loading ketoprofen at different pH values

pН	n	k	$R^2$	Drug release mechanism
5.0	0.53	4.11	0.96	Anomalous transport
7.4	0.77	0.85	0.92	Anomalous transport

sion-controlled drug release and swelling-controlled drug release (anomalous transport)<sup>33</sup>. Besides, there was a significant difference between the k values when compared as a function of the medium. The drug profiles in light acid showed significantly higher k value than in the neutral one, which is consistent with a faster release. These results confirm that acid medium could reduce the electrostatic interactions.

#### Conclusion

A new nanocarrier containing alginate and  $\beta$ -cyclodextrin as a drug delivery system is first prepared by an ionotropic gelation technique. Ketoprofen, as a hydrophobic drug, was successfully entrapped within  $\beta$ -CD/ALG nanoparticles. The final composition of the nanoparticles can be modified by controlling the formulation conditions. Further studies on this nanosystem loading of other important drugs are in progress.

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