A GENERALIZATION OF A RESULT ON MAXIMUM MODULUS OF POLYNOMIALS

V. K. JAIN

Indian Institute of Technology, Kharagpur, India

ABSTRACT. For an arbitrary entire function f(z), let

$$M(f,d) = \max_{|z|=d} |f(z)|.$$

It is known that if the geometric mean of the moduli of the zeros of a polynomial p(z) of degree n is at least 1, and M(p, 1) = 1, then for R > 1

$$M(p,R) \le \begin{cases} \frac{R}{2} + \frac{1}{2}, & \text{if } n = 1\\ \frac{R^n}{2} + \frac{(3+2\sqrt{2})R^{n-2}}{2}, & \text{if } n \ge 2 \end{cases}$$

We have obtained a generalization of this result, by assuming the geometric mean of the moduli of the zeros of the polynomial to be at least k, (k > 0).

1. INTRODUCTION AND STATEMENT OF RESULT

For a polynomial p(z) of degree n, we have, as a simple consequence [4, Part III, Chapter 6, Problem no. 269] of maximum modulus principle

THEOREM 1.1. If p(z) is a polynomial of degree n such that M(p, 1) = 1, then for R > 1

(1.1)
$$M(p,R) \le R^n.$$

Equality holds in (1.1) for $p(z) = az^n$, with |a| = 1.

Ankeny and Rivlin [1] considered a restricted class of polynomials and obtained the following refinement

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²⁰⁰⁰ Mathematics Subject Classification. 30C10, 30A10.

Key words and phrases. Polynomials, zeros, geometric mean, maximum modulus.

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THEOREM 1.2. If the moduli of the zeros of a polynomial p(z) of degree n are all ≥ 1 and M(p, 1) = 1, then for R > 1

(1.2)
$$M(p,R) \le \frac{R^n + 1}{2}.$$

Equality holds in (1.2) for $p(z) = (bz^n + d)/2$, with |b| = |d| = 1.

Frappier and Rahman [3] in a somewhat different context, obtained a similar type of result for a broader class of polynomials and proved

THEOREM 1.3. If the geometric mean of the moduli of the zeros of a polynomial p(z) of degree n is at least 1 and M(p, 1) = 1, then for R > 1

$$M(p,R) \le \begin{cases} \frac{R}{2} + \frac{1}{2}, & n = 1, \\ \frac{R^n}{2} + \frac{(3+2\sqrt{2})R^{n-2}}{2}, & n \ge 2. \end{cases}$$

In this note, we have obtained a generalization of Theorem 1.3, by assuming the geometric mean of the moduli of the zeros of the polynomial p(z)to be at least k, (k > 0). More precisely, we prove

THEOREM 1.4. If the geometric mean of the moduli of the zeros of a polynomial p(z) of degree n is at least k, (k > 0), and M(p, 1) = 1, then for R > 1

(1.3)
$$M(p,R) \leq \begin{cases} \frac{R}{1+k} + \frac{k}{1+k}, & n = 1, \\ \frac{R^n}{1+k^n} + \frac{R^{n-2}}{4} \left[(5+k^n) + \frac{1}{1+k^n} \sqrt{D} \right], & n \ge 2. \end{cases}$$

where

 $D = k^{4n} + 4k^{3n} + 30k^{2n} + 52k^n + 41.$

Equality holds in (1.3) for p(z) = (z+k)/(1+k).

2. Lemmas

For the proof of the theorem, we require following lemmas.

LEMMA 2.1. If $p(z) = \sum_{k=0}^{n} a_k z^k$ is a polynomial of degree n such that M(p,1) = 1, then

$$|a_0| + |a_n| \le 1.$$

This lemma is due to Visser [5].

LEMMA 2.2. If $p(z) = \sum_{k=0}^{n} a_k z^k$ is a polynomial of degree n such that M(p,1) = 1, then

$$2|a_0| \cdot |a_n| + \sum_{k=0}^n |a_k|^2 \le 1.$$

This lemma is due to van der Corput and Visser [2].

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3. Proof of Theorem 1.4

If

$$p(z) = a_0 + a_1 z,$$

then

$$\frac{M(p,R)}{M(p,1)} = \frac{|a_0| + |a_1|R}{|a_0| + |a_1|} \le \frac{R+k}{1+k},$$

thereby proving the theorem for this particular case. Therefore we now assume that

 $n\geq 2,$

and

(3.1)

$$p(z) = a_n z^n + a_{n-1} z^{n-1} + a_{n-2} z^{n-2} + \dots + a_0,$$

= $a_n z^n + a_{n-1} z^{n-1} + r(z).$

As the geometric mean of the moduli of the zeros of the polynomial is at least k, we have

$$(3.2) |a_0| \ge k^n |a_n|,$$

and therefore, by Lemma 2.1

(3.3)
$$\alpha := |a_n| \le \frac{1}{1+k^n}.$$

Further, by Lemma 2.2, we have

$$(|a_0| + |a_n|)^2 + |a_{n-1}|^2 \le 1,$$

which, by (3.2) and (3.3), implies

$$(k^n \alpha + \alpha)^2 + |a_{n-1}|^2 \le 1,$$

i.e.

(3.4)
$$|a_{n-1}| \le \sqrt{\{1 - \alpha^2 (1 + k^n)^2\}}$$

Using (3.3) and (3.4), we can now say that

$$|a_n z^n + a_{n-1} z^{n-1}| \leq \alpha |z|^n + |z|^{n-1} \sqrt{\{1 - \alpha^2 (1+k^n)^2\}} \\ \leq \frac{1}{1+k^n} |z^n| + \frac{(1+k^n) + \alpha (1+k^n)^2}{4} |z|^{n-2},$$

by (3.3). And, by (3.1)

$$r(z) = p(z) - a_n z^n - a_{n-1} z^{n-1}$$

is a polynomial, of degree at most (n-2), with

$$M(r,1) \le 1 + \alpha + \sqrt{\{1 - \alpha^2(1+k^n)^2\}}$$

(by (3.3) and (3.4)), thereby implying, by Theorem 1.1, for R>1

$$M(r, R) \le \left[1 + \alpha + \sqrt{\{1 - \alpha^2 (1 + k^n)^2\}}\right] R^{n-2}.$$

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Hence, by (3.1) and (3.5), we have, for R > 1

$$M(p,R) \leq \frac{R^{n}}{1+k^{n}} + \left[\frac{5+k^{n}}{4} + \alpha \left\{1 + \frac{(1+k^{n})^{2}}{4}\right\} + \sqrt{\left\{1 - \alpha^{2}(1+k^{n})^{2}\right\}} \right] R^{n-2},$$

from which, the inequality (1.3_2) follows, on finding the maximum value of the function

$$\phi(\alpha) = \alpha \left\{ 1 + \frac{(1+k^n)^2}{4} \right\} + \sqrt{\left\{ 1 - \alpha^2 (1+k^n)^2 \right\}}$$

on the interval $[0, 1/(1 + k^n)]$. This completes the proof of Theorem 1.4.

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V. K. Jain Mathematics Department, Indian Institute of Technology, Kharagpur-721302, India

Received: 20.07.2002. Revised: 10.12.2002.

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