### On nilpotent elements in a nearring of polynomials

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**Abstract.** For a ring R, R[x] is a left nearring under addition and substitution, and we denote it by  $(R[x], +, \circ)$ . In this note, we show that if nil(R) is a locally nilpotent ideal of R, then  $nil(R[x], +, \circ) = nil(R)_0[x]$ , where nil(R) is the set of nilpotent elements of R and  $nil(R)_0[x]$  is the 0-symmetric left nearring of polynomials with coefficients in nil(R). As a corollary, if R is a 2-primal ring, then  $nil(R[x], +, \circ) = nil(R)_0[x]$ .

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**Key words**: Armendariz rings, nearring of polynomials, nilpotent elements, insertion of factors property, 2-primal rings

## 1. Introduction

Throughout this paper, all rings are associative and unitary and all nearrings are left nearrings; subrings of a ring need not have the same unit, and *subrng* will denote a subring without unit. For a ring or nearring N, nil(N) denotes the set of nilpotent elements of N. Also, P(R) denotes the prime radical of a ring R. Recall that a ring or a nearring is said to be *reduced* if it has no nonzero nilpotent elements.

Rege and Chhawchharia [20] introduce the notion of an Armendariz ring. A ring R is called Armendariz if whenever f(x)g(x) = 0 where  $f(x) = a_0 + a_1x + \cdots + a_nx +$  $a_n x^n$  and  $g(x) = b_0 + b_1 x + \dots + b_m x^m \in R[x]$ , then  $a_i b_j = 0$  for each i, j. The name of the ring was given to E. Armendariz who proved in [3] that reduced rings satisfied this condition. The interest of this notion lies in its natural and useful role in understanding the relation between the annihilators of the ring R and the annihilators of the polynomial ring R[x]. Let us recall two known facts: A ring R is called *Baer* by Kaplansky [11] if the right annihilator of every nonempty subset of R is generated by an idempotent. An example of Chon shows that the matrix ring  $M_2(\mathbb{Z})$  is Baer but  $M_2(\mathbb{Z})[x]$  is not. A well-known example of Kerr [12] shows that there exists a right Goldie ring R such that R[x] is not right Goldie. But, for an Armendariz ring R, R is Baer if and only if R[x] is Baer (Armendariz [3]; Kim and Lee [14]), and R is right Goldie if and only if R[x] is right Goldie (Hirano [8]). The reason behind these is a natural bijection between the set of annihilators of R and the set of annihilators of R[x] (see Hirano [8]). We refer to [1, 2, 3, 9, 10, 14, 15, 16, 17, 20]for more detail on Armendariz rings.

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Recall from [4] R is said to satisfy the IFP (*insertion of factors property*) if  $r_R(a) = \{b \in R | ab = 0\}$  is an ideal for all  $a \in R$ . Reduced rings satisfy the IFP. Shin [21] proved that R is a division ring if and only if R is a von Neumann regular prime ring and satisfies the IFP. Smoktunowicz [22] showed that there exists a nil ring R such that R[x] is not nil. But if R satisfies the IFP, then Liu and Zhao [16] proved that nil(R) is an ideal of R and nil(R[x]) = nil(R)[x]. Also Antoine [2] proved that if R is an Armendariz ring, then nil(R) is a subrug of R and nil(R[x]) = nil(R)[x]. Properties, examples and counterexamples of rings which satisfy the IFP are given in [4, 7, 8, 10, 18, 21, 23].

A ring R is called 2-primal if the prime radical of R coincides with the set of all nilpotent elements of R (see [6] for details). The class of 2-primal rings is also closed under subrings by [6, Proposition 2.2]. If R satisfies the IFP, then R is 2-primal.

Let R be a ring. Since R[x] is an abelian nearring under addition and substitution, it is natural to investigate the nearring of polynomials  $(R[x], +, \circ)$ . The binary operation of substitution, denoted by  $\circ$ , of one polynomial into another is both natural and important in the theory of polynomials. We adopt the convention that for polynomials (x)g and  $(x)f = \sum_{i=0}^{m} f_i x^i \in R[x], (x)g \circ (x)f = \sum_{i=0}^{m} f_i((x)g)^i$ . For example,  $(a_0 + a_1x) \circ x^2 = (a_0 + a_1x)^2 = a_0^2 + (a_0a_1 + a_1a_0)x + a_1^2x^2$ . However, the operation " $\circ$ ", left distributes but does not right distribute over addition. Thus  $(R[x], +, \circ)$  forms a left nearring but not a ring. Unless specifically indicated otherwise, R[x] denotes the left nearring of polynomials  $(R[x], +, \circ)$  with coefficients from R and  $R_0[x] = \{f \in R[x] | f$  has zero constant term} is the 0-symmetric left nearring of polynomials with coefficients in R.

We say that a set  $S \subseteq R$  is *locally nilpotent* if for any subset  $\{s_1, s_2, \dots, s_n\} \subseteq S$ , there exists an integer t, such that any product of t elements from  $\{s_1, s_2, \dots, s_n\}$  is zero.

Antoine [2, Corollaries 3.3 and 5.2] proved that if R is an Armendariz ring, then nil(R) is a subrug of R and nil(R)[x] = nil(R[x]). Hence nil(R) is a locally nilpotent subrug of R, when R is an Armendariz ring.

The following examples show that there exist non Armendariz rings such that the set of its nilpotent elements is a locally nilpotent ideal.

**Example 1.** Let  $\mathbb{Z}$  be the ring of integers and let

$$R = \left\{ \begin{pmatrix} a & c \\ 0 & b \end{pmatrix} | a - b \equiv c \equiv 0 \pmod{2} \right\}.$$

Then by [14, Example 13], R is not Armendariz. Since

$$nil(R) = \left\{ \begin{pmatrix} 0 & c \\ 0 & 0 \end{pmatrix} | c \equiv 0 \pmod{2} \right\},\$$

hence nil(R) is a locally nilpotent ideal of R.

**Example 2.** Let T be a reduced ring and

$$R = \left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} | a, b \in T \right\}.$$

Let

$$S = \left\{ \left( \begin{array}{c} A & B \\ 0 & A \end{array} \right) | A, B \in R \right\}$$

Then by [14, Example 5], S is not Armendariz. Since

$$nil(S) = \left\{ \begin{pmatrix} A & B \\ 0 & A \end{pmatrix} | A \in nil(R) \text{ and } B \in R \right\},$$

hence nil(S) is a locally nilpotent ideal of S.

If R satisfies the IFP, then R is *abelian* (i.e., each idempotent of R is central). The following example shows that there exists a ring R such that it does not satisfy the IFP, but nil(R) is a locally nilpotent ideal of R.

**Example 3.** Let F be a division ring and consider the 2-by-2 upper triangular ring

$$R = \left( \begin{array}{c} F & F \\ 0 & F \end{array} \right).$$

Then R does not satisfy the IFP, since R is not abelian. But

$$nil(R) = \left\{ \begin{pmatrix} 0 & b \\ 0 & 0 \end{pmatrix} | b \in F \right\}$$

is a locally nilpotent ideal of R.

## 2. Nilpotent elements in a nearring of polynomials

**Definition 1.** Let R be a ring. We say R has property (\*), whenever  $(x)f = a_0+a_1x+\cdots+a_mx^m$ ,  $(x)g = b_0+b_1x+\cdots+b_nx^n$  are elements of nearring  $(R[x], +, \circ)$  and  $f \circ g \in nil(R)[x]$ , then  $a_ib_j \in nil(R)$  for  $i = 1, \cdots, m, j = 0, 1, \cdots, n$ .

By [5, Lemma 3.4], every reduced ring has property (\*).

**Proposition 1.** Let I be a nil ideal of a ring R. Then R/I has property (\*) if and only if R has property (\*).

**Proof.** We denote  $\overline{R} = R/I$ . Since I is nil, then  $nil(\overline{R}) = \overline{nil(R)}$ . Let  $(x)f = \sum_{i=0}^{m} a_i x^i$  and  $(x)g = \sum_{j=0}^{n} b_j x^j$  be elements of nearring R[x]. Then  $f \circ g \in nil(R)[x]$ , if and only if  $(\sum_{i=0}^{m} \overline{a}_i x^i) \circ (\sum_{j=0}^{n} \overline{b}_j x^j) \in nil(\overline{R})[x]$ . Also,  $a_i b_j \in nil(R)$  if and only if  $\overline{a_i \overline{b_j}} \in nil(\overline{R})$ , for  $i = 1, \dots, m$  and  $j = 0, 1, \dots, n$ .

**Proposition 2.** Let R be a ring and e a central idempotent element of R. Then the following statements are equivalent:

1. R has property (\*).

2. eR and (1-e)R have property (\*).

**Proof.** (1)  $\Rightarrow$  (2) It is clear, since eR and (1 - e)R are subrings of R.

(1)  $\Rightarrow$  (2) It is clear, since ent and (1 - e)nt are sublings of n. (2)  $\Rightarrow$  (1) Let  $(x)f = \sum_{i=0}^{m} a_i x^i$  and  $(x)g = \sum_{j=0}^{n} b_j x^j$  be elements of nearring R[x] such that  $f \circ g \in nil(R)[x]$ . Let  $(x)f_1 = \sum_{i=0}^{m} ea_i x^i, (x)f_2 = \sum_{i=0}^{m} (1 - e)a_i x^i, (x)g_1 = \sum_{j=0}^{n} eb_j x^j$  and  $(x)g_2 = \sum_{j=0}^{n} (1 - e)b_j x^j$ . Then  $f_1 \circ g_1 = (\sum_{i=0}^{m} ea_i x^i) \circ (\sum_{j=0}^{n} eb_j x^j) = e.(f \circ g) \in nil(eR)[x]$  and  $f_2 \circ g_2 = (\sum_{i=0}^{m} (1 - e)a_i x^i) \circ (\sum_{j=0}^{n} eb_j x^j) = e.(f \circ g) \in nil(eR)[x]$ .  $(e)b_j x^j) = (1-e).(f \circ g) \in nil((1-e)R)[x]$ , since  $f \circ g \in nil(R)[x]$  and e, (1-e)are central idempotent elements of R. Hence  $ea_ib_i$  and  $(1-e)a_ib_i$  are nilpotent, for each  $i = 1, \dots, m$  and  $j = 0, 1, \dots, n$ , since eR and (1 - e)R have property (\*). Thus there exists  $t \ge 2$  such that  $(ea_ib_j)^t = ((1-e)a_ib_j)^t = 0$  for each  $i = 1, \cdots, m$ and  $j = 0, 1, \dots, n$ . Hence  $(a_i b_j)^t = 0$  for each  $i = 1, \dots, m$  and  $j = 0, 1, \dots, n$ . Therefore R has property (\*). 

**Proposition 3.** Let R be a finite subdirect sum of rings which have property (\*). Then R has property (\*).

**Proof.** Let  $I_k$   $(k = 1, 2, \dots, \ell)$  be ideals of R such that  $R/I_k$  has property (\*) and  $\bigcap_{k=1}^{\ell} I_k = 0. \text{ Suppose that } (x) f = \sum_{i=0}^{m} a_i x^i \text{ and } (x)g = \sum_{j=0}^{n} b_j x^j \text{ be elements}$ of nearring R[x] such that  $f \circ g \in nil(R)[x]$ . Then there exists  $p_{ij} \ge 1$ , such that  $(\overline{a}_i \overline{b}_j)^{p_{ij}} = 0$  in  $R/I_k$ . Thus  $(a_i b_j)^{p_{ij}} \in I_k$ . Set  $p = max\{p_{ij}|i, j \ge 1\}$ . Then  $(a_i b_j)^{p_{ij}} \in I_k$ , for any k, which implies that  $(a_i b_j)^p = 0$ . Therefore R has property (\*).

For a ring R, we denote the *n*-by-*n* upper triangular and full matrix ring over Rby  $T_n(R)$  and  $M_n(R)$ , respectively.

**Proposition 4.** A ring R has property (\*) if and only if, for any n,  $T_n(R)$  has property (\*).

**Proof.** If  $T_n(R)$  has property (\*), then so R has property (\*) as a subring of  $T_n(R)$ . Conversely, let  $(x)f = \sum_{i=0}^{p} A_i x^i$  and  $(x)g = \sum_{j=0}^{q} B_j x^j$  be elements of nearring  $T_n(R)[x]$  such that  $f \circ g \in nil(T_n(R))[x]$ . Let

$$A_{i} = \begin{pmatrix} a_{11}^{i} & a_{12}^{i} & \cdots & a_{1n}^{i} \\ 0 & a_{22}^{i} & \cdots & a_{2n}^{i} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_{nn}^{i} \end{pmatrix}$$

and

$$B_{j} = \begin{pmatrix} b_{11}^{i} & b_{12}^{i} & \cdots & b_{1n}^{i} \\ 0 & b_{22}^{i} & \cdots & b_{2n}^{i} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & b_{nn}^{i} \end{pmatrix}.$$

Then from  $f \circ g \in nil(T_n(R))[x]$  it follows that  $(\sum_{i=0}^p a_{ss}^i x^i) \circ (\sum_{j=0}^q b_{ss}^j x^j) \in$ nil(R)[x] for  $s = 1, \dots, n$ . Since R has property  $(*), a_{ss}^i b_{ss}^j \in nil(R)$ , for each  $i = 1, \dots, p, j = 0, 1, \dots, q$  and  $s = 1, \dots, n$ . Then  $A_i B_j \in nil(T_n(R))$  for each  $i = 1, \dots, p, j = 0, 1, \dots, q$ . Therefore  $T_n(R)$  has property (\*).

Let R be a ring. Then

$$R_n = \left\{ \begin{pmatrix} a \ a_{12} \ a_{13} \ \cdots \ a_{1n} \\ 0 \ a \ a_{23} \ \cdots \ a_{2n} \\ 0 \ 0 \ a \ \cdots \ a_{3n} \\ \vdots \ \vdots \ \vdots \ \ddots \ \vdots \\ 0 \ 0 \ 0 \ \cdots \ a \end{pmatrix} | a, a_{ij} \in R \right\}$$

is a subring of  $T_n(R)$ , for each  $n \ge 2$ . By a similar argument as used in the proof of Proposition 4, we can show that R has property (\*) if and only if, for any  $n, R_n$ has property (\*).

The same idea can be used to prove the following.

**Proposition 5.** Let R, S be rings and  $_RM_S$  an (R, S)-bimodule. Then  $T = \begin{pmatrix} R & M \\ 0 & S \end{pmatrix}$  has property (\*) if and only if R and S have property (\*).

**Theorem 1.** If nil(R) is an ideal of R, then R has property (\*).

**Proof.** Since R/nil(R) is a reduced ring, hence by [5, Lemma 3.4], R/nil(R) has property (\*). Hence by Proposition 1, R has property (\*).

**Lemma 1** (see [16]). If R satisfies the IFP, then

- 1. nil(R) is a locally nilpotent ideal of R;
- 2. nil(R[x]) = nil(R)[x].

**Proposition 6.** If R satisfies the IFP, then R has property (\*).

**Proof.** It follows from Lemma 1 and Theorem 1.

The following example shows that the condition " nil(R) be an ideal of R " in Theorem 1 is not superfluous.

**Example 4.** Let F be a field and  $S = M_2(F)$ . Then nil(S) is not ideal of R. Let

$$(x)f = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ -1 & -1 \end{pmatrix} x$$

and

$$(x)g = \begin{pmatrix} -1 & -1 \\ 0 & 0 \end{pmatrix} x + \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} x^2$$

be polynomials in S[x]. Then  $f \circ g = 0 \in nil(S)[x]$ , but

$$\begin{pmatrix} -1 & -1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ -1 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \notin nil(S).$$

**Lemma 2.** Let nil(R) be an ideal of R, and  $a_1, a_2, \dots, a_n, a, b \in R$ .

1. If  $ab \in nil(R)$ , then  $arb \in nil(R)$  for each  $r \in R$ .

- 2. If  $ab^n \in nil(R)$  for some  $n \ge 1$ , then  $ab \in nil(R)$ .
- 3. If  $b_1 b_2 \cdots b_m \in nil(R)$ , where  $b_i \in \{a_1, a_2, \cdots, a_n\}$ , then  $a_1 a_2 \cdots a_n \in nil(R)$ .

**Proof**. The details are left to the reader.

For any  $(x)f \in R[x]$ , we denote by  $C_f$  the set of all coefficients of f. Let  $C_f^* = C_f - \{a_0\}$ , where  $a_0$  is the constant term of f.

**Proposition 7.** Let  $(x)f_1, (x)f_2, \dots, (x)f_n$  be elements of nearring R[x], such that  $f_1 \circ f_2 \circ \dots \circ f_n \in nil(R)[x]$ . If nil(R) is an ideal of R, then  $C_{f_1}^*C_{f_2}^* \cdots C_{f_n}^* \subseteq nil(R)$ .

**Proof.** We use induction on n. The case n = 2 follows from Theorem 1.

Suppose n > 2. Consider  $g = f_2 \circ f_3 \circ \cdots \circ f_n$ . Then  $f_1 \circ g \in nil(R)[x]$  and hence by Theorem 1,  $a_1a_g \in nil(R)$  where  $a_g \in C_g$  and  $a_1 \in C_{f_1}^*$ . Therefore for all  $a_1 \in C_{f_1}^*$ ,

$$g \circ a_1 x = (f_2 \circ f_3 \circ \dots \circ f_n) \circ a_1 x = f_2 \circ f_3 \circ \dots \circ f_{n-1} \circ (f_n \circ a_1 x)$$
$$= f_2 \circ f_3 \circ \dots \circ f_{n-1} \circ (a_1 f_n) \in nil(R)[x]$$

and by induction, since the coefficients of  $a_1f_n$  are  $a_1a_n$ , where  $a_n$  is a coefficient of  $f_n$ , we obtain  $a_2a_3\cdots a_{n-1}a_1a_n \in nil(R)$ . Hence  $C_{f_1}^*C_{f_2}^*\cdots C_{f_n}^*\subseteq nil(R)$ , by Lemma 2.

**Theorem 2.** Let  $(x)f = a_0 + a_1x + \cdots + a_mx^m$  be a nilpotent element of nearring R[x] and nil(R) an ideal of R. Then  $a_i \in nil(R)$  for  $i = 0, 1, \cdots, m$ .

**Proof.** Let  $(x)f = a_0 + a_1x + \dots + a_mx^m \in nil(R[x])$ . Then there exists  $k \ge 2$  such that

$$f^{k} = \underbrace{f \circ f \circ f \cdots \circ f}_{k} = 0 \in nil(R)[x].$$

By Proposition 7,  $a_i \in nil(R)$  for each  $i = 1, \dots, m$ . We claim that  $a_0 \in nil(R)$ . The constant term of  $f^k$  is  $a_0 + \beta$ , where  $\beta$  is a sum of elements  $a_{i_1}a_{i_2}\cdots a_{i_t}$  such that  $t \ge 2$  and  $\{a_{i_1}, a_{i_2}, \dots, a_{i_t}\} \cap \{a_1, a_2, \dots, a_m\} \ne \phi$ . Then  $\beta \in nil(R)$ , and since  $a_0 + \beta \in nil(R)$ , we have  $a_0 \in nil(R)$ . Therefore  $a_i \in nil(R)$ , for  $i = 0, 1, \dots, m$ .  $\Box$ 

**Theorem 3.** If nil(R) is a locally nilpotent ideal of a ring R, then  $nil(R[x]) = nil(R)_0[x]$ .

**Proof.** Let  $(x)f = a_0 + a_1x + \cdots + a_mx^m$  be a nilpotent element of nearring R[x]. By Theorem 2,  $a_i \in nil(R)$  for  $i = 0, 1, \cdots, m$ . Thus  $\{a_0, a_1, \cdots, a_m\} \subseteq nil(R)$ , and since nil(R) is a locally nilpotent subset of R, there exists  $t \ge 2$  such that  $\{a_0, a_1, \cdots, a_n\}^t = 0$ . Since  $f \in nil(R[x])$ , hence

$$f^k = \underbrace{f \circ f \circ f \cdots \circ f}_k = 0,$$

for some  $k \ge t$ . For each  $j \ge 1$ , the coefficient of  $x^j$  in the polynomial  $f^k$  is a sum of elements  $a_{i_1}a_{i_2}\cdots a_{i_\ell}$ , where  $a_{i_r} \in \{a_0, a_1, \cdots, a_m\}$ , and  $\ell \ge k$ . Also the

constant term of the polynomial  $f^k$  is  $a_0 + a_1a_0 + a_1^2a_0 + \dots + a_1^{k-2}a_0 + \alpha$ , where  $\alpha$  is a sum of elements  $a_{i_1}a_{i_2}\cdots a_{i_\ell}$ , where  $a_{i_r} \in \{a_0, a_1, \cdots, a_m\}$  and  $\ell \ge k$ . Since  $\{a_0, a_1, \cdots, a_m\}^t = 0$ , hence  $\alpha = 0$ , and since  $a_0 + a_1a_0 + a_1^2a_0 + \dots + a_1^{k-2}a_0 + \alpha = 0$ , we have  $a_0 + a_1a_0 + a_1^2a_0 + \dots + a_1^{k-2}a_0 = 0$ . Multiplying this equation by  $a_1$  from the left yields  $a_1a_0 + a_1^2a_0 + \dots + a_1^{k-1}a_0 = 0$ , and since  $a_1^{k-1}a_0 = 0$  we have  $a_1a_0 + a_1^2a_0 + \dots + a_1^{k-2}a_0 = 0$ . Hence  $a_0 = 0$  and  $nil(R[x]) \subseteq nil(R)_0[x]$ .

Now let  $(x)f = a_1x + \cdots + a_mx^m \in nil(R)_0[x]$ . Since nil(R) is a locally nilpotent subset of R, there exists  $t \ge 2$  such that  $\{a_1, \cdots, a_m\}^t = 0$ . Since for each  $j \ge 2$ , the coefficient of  $x^j$  in the polynomial

$$f^t = \underbrace{f \circ f \circ f \cdots \circ f}_t$$

is a sum of elements  $a_{i_1}a_{i_2}\cdots a_{i_\ell}$ , where  $a_{i_r} \in \{a_1, \cdots, a_m\}$  and  $\ell \ge t$ , hence  $f^t = 0$ . Therefore  $nil(R)_0[x] \subseteq nil(R[x])$  and hence  $nil(R[x]) = nil(R)_0[x]$ .

By [13, Proposition 10.31], the sum of all locally nilpotent ideals in a ring R (denoted by *L*-rad R) is locally nilpotent, and  $P(R) \subseteq L$ -rad  $R \subseteq nil(R)$ . Then P(R) = L-rad R = nil(R), if R is a 2-primal ring. Thus we have the following result:

**Corollary 1.** If R is a 2-primal ring, then  $nil(R[x]) = nil(R)_0[x]$ .

**Corollary 2.** If R satisfies the IFP, then  $nil(R[x]) = nil(R)_0[x]$ .

**Corollary 3.** If R is an Armendariz ring and nil(R) an ideal of R, then  $nil(R[x]) = nil(R)_0[x]$ .

**Proof.** Since R is an Armendariz ring, hence by [2, Corollary 5.2] nil(R)[x] = nil(R[x]). Thus by [1, Proposition 1], nil(R) is a locally nilpotent subset of R. Now the result follows from Theorem 3.

**Proposition 8.** If nil(R) is a locally nilpotent ideal of R, then nil(R[x]) is a right ideal of  $(R[x], +, \circ)$ .

**Proof**. Let

$$(x)f = f_0 + f_1 x + \dots + f_m x^m, (x)h = h_0 + h_1 x + \dots + h_m x^m \in R[x]$$

and

$$(x)g = g_1x + \dots + g_mx^m \in nil(R[x]).$$

Then

$$(f+g) \circ h - f \circ h = h_1[(f+g) - f] + h_2[(f+g)^2 - f^2] + \dots + h_m[(f+g)^m - f^m].$$
  
Since for each  $i \ge 2$ ,  $[(f+g)^i - f^i] \in nil(R)_0[x]$ , hence  $(f+g) \circ h - f \circ h \in nil(R)_0[x]$ .

Thus nil(R[x]) is a right ideal of  $(R[x], +, \circ)$ .

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