

Grüss-Lupas type inequality and its applications for the estimation of p -moments of guessing mappings

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Abstract. *An inequality of Grüss-Lupas type in normed spaces is proved. Some applications in estimating the p -moments of guessing mapping which complement the recent results of Massey [1], Arıkan [2], Boztas [3] and Dragomir-van der Hoek [5]-[7] are also given.*

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1. Introduction

In 1935, G. Grüss proved the following integral inequality which gives an approximation of the integral of the product in terms of the product of integrals as follows

$$\left| \frac{1}{b-a} \int_a^b f(x)g(x) dx - \frac{1}{b-a} \int_a^b f(x) dx \cdot \frac{1}{b-a} \int_a^b g(x) dx \right| \leq \frac{1}{4} (\Phi - \varphi) (\Gamma - \gamma) \quad (1)$$

where $f, g : [a, b] \rightarrow \mathbb{R}$ are integrable on $[a, b]$ and satisfying the assumption

$$\varphi \leq f(x) \leq \Phi, \gamma \leq g(x) \leq \Gamma \quad (2)$$

for each $x \in [a, b]$ where $\varphi, \Phi, \gamma, \Gamma$ are given real constants.

Moreover, the constant $\frac{1}{4}$ is sharp in the sense that it can not be replaced by a smaller one.

For a simple proof of (1) as well as for some other integral inequalities of Grüss' type see Chapter X of the recent book [4] by Mitrinović, Pečarić and Fink.

In 1950, M. Biernacki, H. Pidek and C. Ryll-Nardzewski established the following discrete version of Grüss' inequality [4, Chap. X]:

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Theorem 1. Let $a = (a_1, \dots, a_n), b = (b_1, \dots, b_n)$ be two n -tuples of real numbers such that $r \leq a_i \leq R$ and $s \leq b_i \leq S$ for $i = 1, \dots, n$. Then one has

$$\left| \frac{1}{n} \sum_{i=1}^n a_i b_i - \frac{1}{n} \sum_{i=1}^n a_i \cdot \frac{1}{n} \sum_{i=1}^n b_i \right| \leq \frac{1}{n} \left[\frac{n}{2} \right] \left(1 - \frac{1}{n} \left[\frac{n}{2} \right] \right) (R - r)(S - s) \quad (3)$$

where $[x]$ is the integer part of $x, x \in \mathbb{R}$.

A weighted version of Grüss' discrete inequality was proved by J.E. Pečarić in 1979, [4, Chap. X]:

Theorem 2. Let a, b be two monotonic n -tuples and p a positive one. Then

$$\begin{aligned} & \left| \frac{1}{P_n} \sum_{i=1}^n p_i a_i b_i - \frac{1}{P_n} \sum_{i=1}^n p_i a_i \cdot \frac{1}{P_n} \sum_{i=1}^n p_i b_i \right| \\ & \leq |a_n - a_1| |b_n - b_1| \max_{1 \leq k \leq n-1} \left(\frac{P_k \bar{P}_{k+1}}{P_n^2} \right) \end{aligned} \quad (4)$$

where $P_n := \sum_{i=1}^n p_i$, $\bar{P}_{k+1} = P_n - P_{k+1}$.

In 1981, A. Lupas [4, Chap. X] proved some similar results for the first difference of a as follows :

Theorem 3. Let a, b two monotonic n -tuples in the same sense and p a positive n -tuple. Then

$$\begin{aligned} & \min_{1 \leq i \leq n-1} |a_{i+1} - a_i| \min_{1 \leq i \leq n-1} |b_{i+1} - b_i| \left[\frac{1}{P_n} \sum_{i=1}^n i^2 p_i - \left(\frac{1}{P_n} \sum_{i=1}^n i p_i \right)^2 \right] \\ & \leq \frac{1}{P_n} \sum_{i=1}^n p_i a_i b_i - \frac{1}{P_n} \sum_{i=1}^n p_i a_i \cdot \frac{1}{P_n} \sum_{i=1}^n p_i b_i \\ & \leq \max_{1 \leq i \leq n-1} |a_{i+1} - a_i| \max_{1 \leq i \leq n-1} |b_{i+1} - b_i| \left[\frac{1}{P_n} \sum_{i=1}^n i^2 p_i - \left(\frac{1}{P_n} \sum_{i=1}^n i p_i \right)^2 \right] \end{aligned} \quad (5)$$

If there exist numbers $\bar{a}, \bar{a}_1, r, r_1, (r r_1 > 0)$ such that $a_k = \bar{a} + kr$ and $b_k = \bar{a}_1 + k r_1$, then in (5) the equality holds.

For some generalizations of Grüss' inequality for isotonic linear functionals defined on certain spaces of mappings see Chapter X of the book [4] where further references are given.

2. Some Grüss-Lupas type inequalities

The following inequality of Grüss-Lupas type in normed linear spaces holds:

Theorem 4. Let $(X, \|\cdot\|)$ be a normed linear space over $K = (\mathbb{R}, \mathbb{C})$, $x_i \in X$, $\alpha_i \in K$ and $p_i \geq 0$ ($i = 1, \dots, n$) such that $\sum_{i=1}^n p_i = 1$. Then we have the inequality:

$$\begin{aligned} & \left\| \sum_{i=1}^n p_i \alpha_i x_i - \sum_{i=1}^n p_i \alpha_i \cdot \sum_{i=1}^n p_i x_i \right\| \\ & \leq \max_{1 \leq j \leq n-1} |\alpha_{j+1} - \alpha_j| \max_{1 \leq j \leq n-1} \|x_{j+1} - x_j\| \left[\sum_{i=1}^n i^2 p_i - \left(\sum_{i=1}^n i p_i \right)^2 \right]. \quad (6) \end{aligned}$$

Inequality (6) is sharp in the sense that the constant $C = 1$ in the right membership cannot be replaced by a smaller one.

Proof. Let us start with the following identity which can be proved by direct computation:

$$\begin{aligned} \sum_{i=1}^n p_i \alpha_i x_i - \sum_{i=1}^n p_i \alpha_i \sum_{i=1}^n p_i x_i &= \frac{1}{2} \sum_{i,j=1}^n p_i p_j (\alpha_j - \alpha_i) (x_j - x_i) \\ &= \sum_{1 \leq i < j \leq n} p_i p_j (\alpha_j - \alpha_i) (x_j - x_i). \end{aligned}$$

As $i < j$, we can write that

$$\alpha_j - \alpha_i = \sum_{k=i}^{j-1} (\alpha_{k+1} - \alpha_k)$$

and

$$x_j - x_i = \sum_{k=i}^{j-1} (x_{k+1} - x_k).$$

Using the generalized triangle inequality we have successively:

$$\begin{aligned} \left\| \sum_{i=1}^n p_i \alpha_i x_i - \sum_{i=1}^n p_i \alpha_i \sum_{i=1}^n p_i x_i \right\| &= \left\| \sum_{1 \leq i < j \leq n} p_i p_j \sum_{k=i}^{j-1} (\alpha_{k+1} - \alpha_k) \sum_{k=i}^{j-1} (x_{k+1} - x_k) \right\| \\ &\leq \sum_{1 \leq i < j \leq n} p_i p_j \left\| \sum_{k=i}^{j-1} (\alpha_{k+1} - \alpha_k) \right\| \left\| \sum_{k=i}^{j-1} (x_{k+1} - x_k) \right\| \\ &\leq \sum_{1 \leq i < j \leq n} p_i p_j \sum_{k=i}^{j-1} |\alpha_{k+1} - \alpha_k| \sum_{k=i}^{j-1} \|x_{k+1} - x_k\| =: A. \end{aligned}$$

Note that

$$|\alpha_{k+1} - \alpha_k| \leq \max_{1 \leq s \leq n-1} |\alpha_{s+1} - \alpha_s|$$

and

$$\|x_{k+1} - x_k\| \leq \max_{1 \leq s \leq n-1} \|x_{s+1} - x_s\|$$

for all $k = i, \dots, j-1$ and then by summation,

$$\sum_{k=i}^{j-1} |\alpha_{k+1} - \alpha_k| \leq (j-i) \max_{1 \leq s \leq n-1} |\alpha_{s+1} - \alpha_s|$$

and

$$\sum_{k=i}^{j-1} \|x_{k+1} - x_k\| \leq (j-i) \max_{1 \leq s \leq n-1} \|x_{s+1} - x_s\|.$$

Taking into account the above estimations, we can write

$$A \leq \left[\sum_{1 \leq i < j \leq n} p_i p_j (j-i)^2 \right] \max_{1 \leq s \leq n-1} |\alpha_{s+1} - \alpha_s| \max_{1 \leq s \leq n-1} \|x_{s+1} - x_s\|.$$

As a simple calculation shows that

$$\sum_{1 \leq i < j \leq n} p_i p_j (j-i)^2 = \sum_{i=1}^n i^2 p_i - \left(\sum_{i=1}^n i p_i \right)^2,$$

inequality (6) is proved.

Assume that inequality (6) holds with a constant $c > 0$, i.e.,

$$\begin{aligned} & \left\| \sum_{i=1}^n p_i \alpha_i x_i - \sum_{i=1}^n p_i \alpha_i \sum_{i=1}^n p_i x_i \right\| \\ & \leq c \max_{1 \leq j \leq n-1} |\alpha_{j+1} - \alpha_j| \max_{1 \leq j \leq n-1} \|x_{j+1} - x_j\| \left[\sum_{i=1}^n i^2 p_i - \left(\sum_{i=1}^n i p_i \right)^2 \right]. \quad (7) \end{aligned}$$

Now, choose the sequences $\alpha_k = \alpha + k\beta$ ($\beta \neq 0$), $x_k = x + ky$ ($y \neq 0$) ($k = 1, \dots, n$).

We get

$$\begin{aligned} & \left\| \sum_{i=1}^n p_i \alpha_i x_i - \sum_{i=1}^n p_i \alpha_i \sum_{i=1}^n p_i x_i \right\| \\ & = \frac{1}{2} \left\| \sum_{i,j=1}^n p_i p_j (i-j)^2 \beta y \right\| = |\beta| \|y\| \left[\sum_{i=1}^n i^2 p_i - \left(\sum_{i=1}^n i p_i \right)^2 \right] \end{aligned}$$

and

$$\begin{aligned} & \max_{1 \leq j \leq n-1} |\alpha_{j+1} - \alpha_j| \max_{1 \leq j \leq n-1} \|x_{j+1} - x_j\| \left[\sum_{i=1}^n i^2 p_i - \left(\sum_{i=1}^n i p_i \right)^2 \right] \\ & = |\beta| \|y\| \left[\sum_{i=1}^n i^2 p_i - \left(\sum_{i=1}^n i p_i \right)^2 \right] \end{aligned}$$

and then by (7) we get $c \geq 1$, which proves the sharpness of the constant $c = 1$. \square

The following corollary holds:

Corollary 1. *Under the above assumptions for α_i, x_i ($i = 1, \dots, n$) we have the inequality:*

$$\begin{aligned} & \left\| \frac{1}{n} \sum_{i=1}^n \alpha_i x_i - \frac{1}{n} \sum_{i=1}^n \alpha_i \cdot \frac{1}{n} \sum_{i=1}^n x_i \right\| \\ & \leq \frac{n^2 - 1}{12} \max_{1 \leq j \leq n-1} |\alpha_{j+1} - \alpha_j| \max_{1 \leq j \leq n-1} \|x_{j+1} - x_j\|. \end{aligned} \quad (8)$$

The constant $\frac{1}{12}$ is sharp in the sense that it cannot be replaced by a smaller one.

The proof follows by the above theorem, putting $p_i = \frac{1}{n}$ and taking into account that:

$$\sum_{i=1}^n i^2 p_i - \left(\sum_{i=1}^n i p_i \right)^2 = \frac{n^2 - 1}{12}.$$

3. Applications for the moments of guessing mappings

J.L.Massey in [1] considered the problem of guessing the value of realization of random variable X by asking questions of the form: "Is X equal to x ? " until the answer is "Yes" .

Let $G(X)$ denote the number of guesses required by a particular guessing strategy when $X = x$.

Massey observed that $E(G(x))$, the average number of guesses, is minimized by a guessing strategy that guesses the possible values of X in decreasing order of probability.

We begin by giving a formal and generalized statement of the above problem by following E. Arikan [2].

Let (X, Y) be a pair of random variables with X taking values in a finite set χ of size n , Y taking values in a countable set \mathcal{Y} . Call a function $G(X)$ of the random variable X a *guessing function* for X if $G : \chi \rightarrow \{1, \dots, n\}$ is one-to-one. Call a function $G(X | Y)$ a *guessing function for X given Y* if for any fixed value $Y = y$, $G(X | y)$ is a guessing function for X . $G(X | y)$ will be thought of as the number of guessing required to determine X when the value of Y is given.

The following inequalities on the moments of $G(X)$ and $G(X|Y)$ were proved by E. Arikan in the recent paper [2].

Theorem 5. *For an arbitrary guessing function $G(X)$ and $G(X | Y)$ and any $p > 0$, we have:*

$$E(G(X)^p) \geq (1 + \ln n)^{-p} \left[\sum_{x \in \chi} P_X(x)^{\frac{1}{1+p}} \right]^{1+p} \quad (9)$$

and

$$E(G(X | Y)^p) \geq (1 + \ln n)^{-p} \sum_{y \in \mathcal{Y}} \left[\sum_{x \in \chi} P_{X,Y}(x, y)^{\frac{1}{1+p}} \right]^{1+p} \quad (10)$$

where $P_{X,Y}$ and P_X are probability distributions of (X, Y) and X , respectively.

Note that, for $p = 1$, we get the following estimations on the average number of guesses:

$$E(G(X)) \geq \frac{\left[\sum_{x \in X} P_X(x)^{\frac{1}{2}} \right]^2}{1 + \ln n}$$

and

$$E(G(X)) \geq \frac{\sum_{y \in \mathcal{Y}} \left[\sum_{x \in X} P_{X,Y}(x,y)^{\frac{1}{2}} \right]^2}{1 + \ln n}.$$

In paper [3], Boztas proved the following analytic inequality and applied it for the moments of guessing mappings:

Theorem 6. *The relation*

$$\left[\sum_{k=1}^n p_k^{\frac{1}{r}} \right]^r \geq \sum_{k=1}^n (k^r - (k-1)^r) p_k \tag{11}$$

where $r \geq 1$ holds for any positive integer n , provided that the weights p_1, \dots, p_n are nonnegative real numbers satisfying the condition:

$$p_{k+1}^{\frac{1}{r}} \leq \frac{1}{k} \left(p_1^{\frac{1}{r}} + \dots + p_k^{\frac{1}{r}} \right), k = 1, 2, \dots, n-1 \tag{12}$$

To simplify the notation further, we assume that the x_i are numbered such that x_k is always the k^{th} guess. This yields:

$$E(G^p) = \sum_{k=1}^n k^p p_k, p \geq 0.$$

If we now consider the guessing problem, we note that (9) can be written (see for example [3]) as:

$$\left[\sum_{k=1}^n p_k^{\frac{1}{1+p}} \right]^{1+p} \geq E(G^{1+p}) - E((G-1)^{1+p})$$

for guessing sequences obeying (12).

In particular, using the binomial expansion of $(G-1)^{1+p}$ we have the following corollary [3]:

Corollary 2. *For guessing sequences obeying (12) with $r = 1+m$, the m^{th} guessing moment, when $m \geq 1$ is an integer satisfies:*

$$E(G^m) \leq \frac{1}{1+m} \left[\sum_{k=1}^n p_k^{\frac{1}{1+m}} \right]^{1+m} + \frac{1}{1+m} \left\{ \binom{m+1}{2} E(G^{m-1}) - \binom{m+1}{3} E(G^{m-2}) + \dots + (-1)^{m+1} \right\}. \tag{13}$$

The following inequalities immediately follow from *Corollary 2*:

$$E(G) \leq \frac{1}{2} \left[\sum_{k=1}^n p_k^{\frac{1}{2}} \right]^2 + \frac{1}{2}$$

and

$$E(G^2) \leq \frac{1}{3} \left[\sum_{k=1}^n p_k^{\frac{1}{3}} \right]^3 + E(G) - \frac{1}{3}.$$

We are able now to point out some new results for the p -moment of guessing mapping as follows.

Using Pečarić's result (4), we can state the following inequality for the moments of a guessing mapping $G(X)$:

Theorem 7. *Let $p, q > 0$. Then we have the inequality:*

$$\begin{aligned} 0 &\leq E(G^{p+q}) - E(G^p)E(G^q) \\ &\leq (n^p - 1)(n^q - 1) \max_{1 \leq k \leq n-1} \{P_k(1 - P_k)\} \end{aligned} \quad (14)$$

where $P_k = \sum_{i=1}^k p_i$.

Proof. Define the sequences $a_i = i^p$, $b_i = i^q$ which are monotonous nondecreasing. Using both Čebyšev's and Pečarić's results we can state

$$\begin{aligned} 0 &\leq \sum_{i=1}^n i^{p+q} p_i - \sum_{i=1}^n i^p p_i \sum_{i=1}^n i^q p_i \\ &\leq (n^p - 1)(n^q - 1) \max_{1 \leq k \leq n-1} \{P_k(1 - P_k)\} \end{aligned}$$

which is exactly (14). □

Now, let us define the mappings $m_n, M_n : (0, \infty) \rightarrow (0, \infty)$ given by

$$m_n(t) := \begin{cases} n^t - (n-1)^t, & \text{if } t \in (0, 1) \\ 2^t - 1, & \text{if } t \in [1, \infty) \end{cases}$$

and

$$M_n(t) := \begin{cases} 2^t - 1, & \text{if } t \in (0, 1) \\ n^t - (n-1)^t, & \text{if } t \in [1, \infty) \end{cases}.$$

Now, using Lupas' result (see *Theorem 3*.) we can state the following result:

Theorem 8. *Let $p, q > 0$. Then we have the inequality*

$$\begin{aligned} m_n(p) m_n(q) [E(G^2) - E^2(G)] &\leq E(G^{p+q}) - E(G^p)E(G^q) \\ &\leq M_n(p) M_n(q) [E(G^2) - E^2(G)]. \end{aligned} \quad (15)$$

Proof. Consider the sequences $a_i = i^p$, $b_i = i^q$ in Lupas' theorem (note that a_i, b_i are monotonous nondecreasing) to get:

$$\begin{aligned} & \min_{1 \leq i \leq n-1} [(i+1)^p - i^p] \min_{1 \leq i \leq n-1} [(i+1)^q - i^q] [E(G^2) - E^2(G)] \\ & \leq E(G^{p+q}) - E(G^p)E(G^q) \\ & \leq \max_{1 \leq i \leq n-1} [(i+1)^p - i^p] \min_{1 \leq i \leq n-1} [(i+1)^q - i^q] [E(G^2) - E^2(G)]. \quad (16) \end{aligned}$$

Now, let us observe that if $p \in (0, 1)$, then the sequence $\alpha_i = i^p$ is concave, i.e.,

$$\alpha_{i+1} - \alpha_i \leq \alpha_i - \alpha_{i-1} \text{ for all } i = 2, \dots, n-1$$

and if $p \in [1, \infty)$ then $\alpha_i = i^p$ is convex, i.e.,

$$\alpha_{i+1} - \alpha_i \geq \alpha_i - \alpha_{i-1} \text{ for all } i = 2, \dots, n-1.$$

Consequently

$$\min_{1 \leq j \leq n-1} [(j+1)^p - j^p] = m_n(p)$$

and

$$\max_{1 \leq j \leq n-1} [(j+1)^p - j^p] = M_n(p).$$

Using (16) we get the desired inequality (15). □

Now, for a given $p > 0$, consider the sum

$$S_p(n) := \sum_{i=1}^n i^p.$$

We know that

$$S_1(n) = \frac{n(n+1)}{2},$$

$$S_2(n) = \frac{n(n+1)(2n+1)}{6}$$

and

$$S_3(n) = \left[\frac{n(n+1)}{2} \right]^2.$$

Using Biernaki-Pidek-Nardzewski's result (see *Theorem 1*.) we can state and prove the following approximation result concerning the p -moment of guessing mapping $G(X)$.

Theorem 9. *Let $p > 0$. Then we have the estimation*

$$\left| E(G^p(X)) - \frac{1}{n} S_p(n) \right| \leq \left[\frac{n}{2} \right] \left(1 - \frac{1}{n} \left[\frac{n}{2} \right] \right) (n^p - 1) (p_M - p_m) \quad (17)$$

where $p_M := \max \{p_i \mid i = 1, \dots, n\}$ and $p_m := \min \{p_i \mid i = 1, \dots, n\}$.

Proof. Let us choose in *Theorem 1.*, $a_i = p_i$, $b_i = i^p$. Then $p_m \leq a_i \leq p_M$, $1 \leq b_i \leq n^p$ for all $i = 1, \dots, n$ and by (3) we get

$$\left| \sum_{i=1}^n i^p p_i - \frac{1}{n} \sum_{i=1}^n i^p \sum_{i=1}^n p_i \right| \leq \left[\frac{n}{2} \right] \left(1 - \frac{1}{n} \left[\frac{n}{2} \right] \right) (n^p - 1) (p_M - p_m),$$

which proves the theorem. \square

Remark 1. 1. If in (17) we put $p = 1$, we get

$$\left| E(G(X)) - \frac{n+1}{2} \right| \leq (n-1) \left[\frac{n}{2} \right] \left(1 - \frac{1}{n} \left[\frac{n}{2} \right] \right) (p_M - p_m) \quad (18)$$

which is an estimation of the average number of guesses in term of the size n of X and $p_M - p_m$.

2. Note that if $p = (p_1, \dots, p_n)$ is close to the uniform distribution $(\frac{1}{n}, \dots, \frac{1}{n})$, i.e.,

$$0 \leq p_M - p_m \leq \frac{\varepsilon}{(n-1) \left[\frac{n}{2} \right] \left(1 - \frac{1}{n} \left[\frac{n}{2} \right] \right)}, \varepsilon > 0 \quad (19)$$

then the error of approximating $E(G(X))$ by $\frac{n+1}{2}$ is less than $\varepsilon > 0$.

Now, using our new inequality in *Corollary 1.* we shall be able to prove another type of estimation for the p -moment of guessing mapping $G(X)$ as follows:

Theorem 10. Let $p > 0$. Then we have the estimation:

$$\left| E(G^p(X)) - \frac{1}{n} S_p(n) \right| \leq \frac{(n^2-1)n}{12} M_n(p) \max_{1 \leq j \leq n-1} |p_{j+1} - p_j|. \quad (20)$$

Proof. Follows by *Corollary 1.*, choosing $\alpha_i = i^p$, $x_i = p_i$ and $\|\cdot\|$ is the usual modulus $|\cdot|$ from the real number field \mathbb{R} . \square

Remark 2. 1. If in (20) we put $p = 1$, we get

$$\left| E(G(X)) - \frac{n+1}{2} \right| \leq \frac{n(n^2-1)}{12} \max_{1 \leq j \leq n-1} |p_{j+1} - p_j|, \quad (21)$$

which is another type of estimation for the average number of guesses in terms of the size of X and of the "step size" of probabilities p_i .

2. Note that if we choose

$$\max_{1 \leq j \leq n-1} |p_{j+1} - p_j| < \frac{12\varepsilon}{n(n^2-1)}, \quad \varepsilon > 0$$

then

$$\left| E(G^p(X)) - \frac{n+1}{2} \right| < \varepsilon.$$

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