

Kekulé Count in Toroidal Hexagonal Carbon Cages

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Received March 31, 1998; revised July 20, 1998, accepted July 20, 1998

After the fullerenes have been found, it is a natural question to ask whether there are torus-shaped »graphitoid« carbon molecules which may be called *toroidal graphitoids*. Note that the torus is the only closed surface S that can carry graphs G such that all vertices of G have degree 3 and all faces of the embedding of G in S are hexagons (Figure 1). In what follows, such (hypothetical) molecules (see Ref. 1a) and their molecular graphs will be referred to as »*torenes*«.

Note that the first paper about this topic was given by M. Randić, Y. Tsukano, and H. Hosoya.^{1b}

In this paper, an algorithm is given that enables the number of Kekulé structures of a torene to be calculated in polynomial time (the complexity problem will be discussed elsewhere).

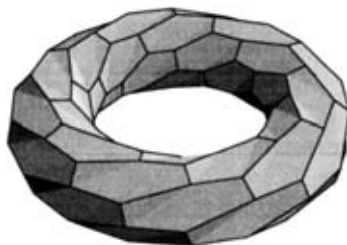


Figure 1

TOROIDAL HEXAGONAL TESSELLATIONS (TORENES)

Let \mathbf{T} denote the class of all torenes. For $T \in \mathbf{T}$ let $h = h(T)$, $v = v(T)$ and $e = e(T)$ denote the numbers of hexagons, vertices and edges of T , respectively. Clearly, $v = 2h$ and $e = 3h$. The graph of $T \in \mathbf{T}$ can be drawn in the

plane (equipped with the regular hexagonal lattice L) using the representation of the torus T by a parallelogram P with the usual boundary identification (see Figure 2, e.g., parallelogram $A = A_1, A'_1, B''_1, B'''_1$ – note that the points π_1, π'_1, π_2 and π'_2 represent the same point of T). Let d_i ($i = 1, 2, 3$) be the edge directions of L (Figure 2).

Select $P = P_i$ such that its sides s_i, s'_{i-1} are perpendicular to d_i, d_{i-1} , respectively, (the subscript $i-1$ is to be reduced to the smallest positive integer modulo 3). Let p_i and q_i denote the number of hexagons met by s_i , and the number of layers of hexagons parallel to s_i that are covered by P , respectively, and let t_i (the *torsion*) denote the number of edges of L intersected by s_i between A and B_i . In this way, for every $T \in \mathbf{T}$, three (not necessarily incongruent) representations T_1, T_2, T_3 of its graph are obtained.

W.l.o.g. we may assume $p_1 \leq p_2 \leq p_3$. Let $p = p_1, q = q_1, t = t_1, s = s_1, T^* = T_1$: then T^* and p, q, t may be considered the canonical representation, and the canonical parameters, of the graph $T \in \mathbf{T}$, respectively. We shall briefly write $T^* = (p, q, t)$. Note that, for fixed p, q, t , all torenes T with parameters p, q, t' where $t' \equiv t \pmod{p}$ have the same canonical representation $T^* = (p, q, t)$. For our example given in Figure 2, clearly $p = 5, q = 6$ and $t = 1$. The canonical parameters can easily be found using, e.g., the method described in Ref. 2.

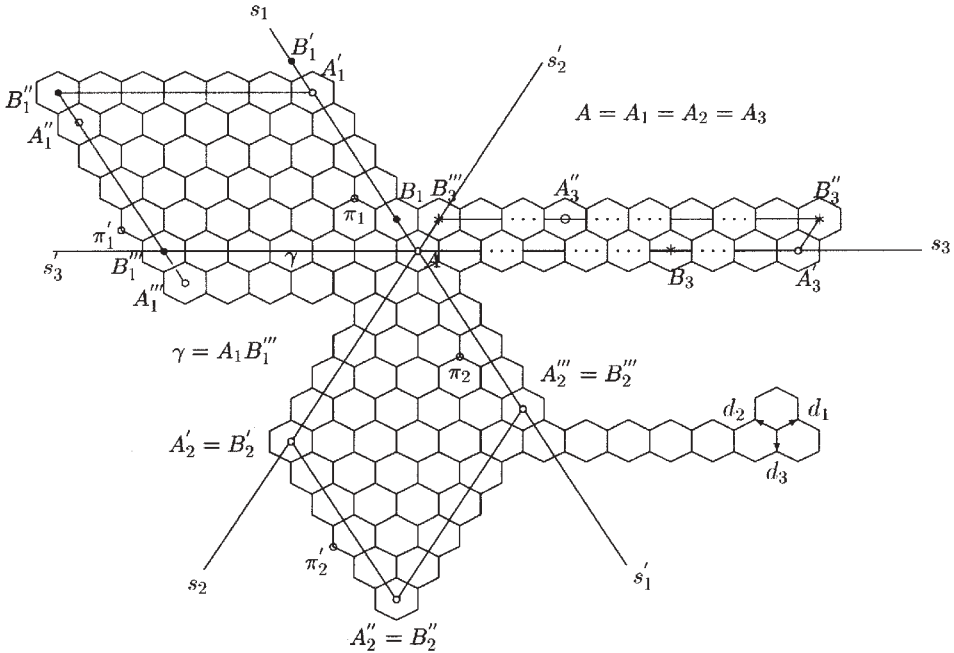


Figure 2

DEFINITIONS

Let $G = (V, E)$ be a connected graph with vertex set $V = V(G)$ and edge set $E = E(G)$. For G , $v = v(G) = |V|$ and $e = e(G) = |E|$ denote the number of vertices and the number of edges of G respectively.

G is called *bipartite* iff its vertices can be coloured white and black such that every edge connects a white vertex with a black one.

A *matching* M of G is a set of pairwise disjoint edges of G . Matching M is called *perfect* iff M covers all vertices of G . Let $\mathbf{M} = \mathbf{M}(G)$ denote the set of all perfect matchings of G ; set $m = m(G) = |\mathbf{M}|$. Let $M \in \mathbf{M}$. The edges of G that belong (do not belong) to M are referred to as the red (blue) edges. The number of perfect matchings of G that contain (do not contain) a given edge $k \in E$ is denoted by $r(G, k)$ ($b(G, k)$): thus $r(G, k) + b(G, k) = m(G)$ for every edge $k \in E$.

Note that, for $i \in \{1, 2, 3\}$, the set of all edges of direction d_i is a perfect matching of T .

PRELIMINARIES

Assume that T^* has been drawn such that s lies horizontally in the plane (Figure 3). Graph T^* being bipartite, its vertices can be coloured such that every vertical edge connects a black top vertex with a white bottom vertex. Let $\mathbf{P} = \mathbf{P}(T^*)$ denote the set of all vertical edges of T^* intersected by s ; clearly, $|\mathbf{P}| = p$. Consider the 2^p subsets \mathbf{R} of \mathbf{P} where $|\mathbf{R}| = r \in \{0, 1, \dots, p\}$. Set $\mathbf{B} = \mathbf{P} - \mathbf{R}$.

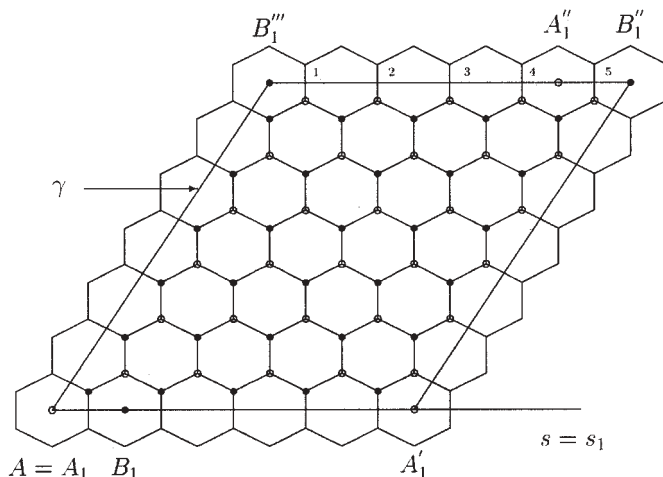


Figure 3

Let $\mathbf{M}(\mathbf{R})$ denote the set of perfect matchings of T that contain all edges of \mathbf{R} but no edge of \mathbf{B} (note that $\mathbf{M}(\mathbf{R})$ may be empty).

$$\mathbf{M}(T) = \bigcup_{\mathbf{R}=\mathbf{P}} \mathbf{M}(\mathbf{R}) . \tag{1}$$

Set

$$m(\mathbf{R}) = |\mathbf{M}(\mathbf{R})| . \tag{2}$$

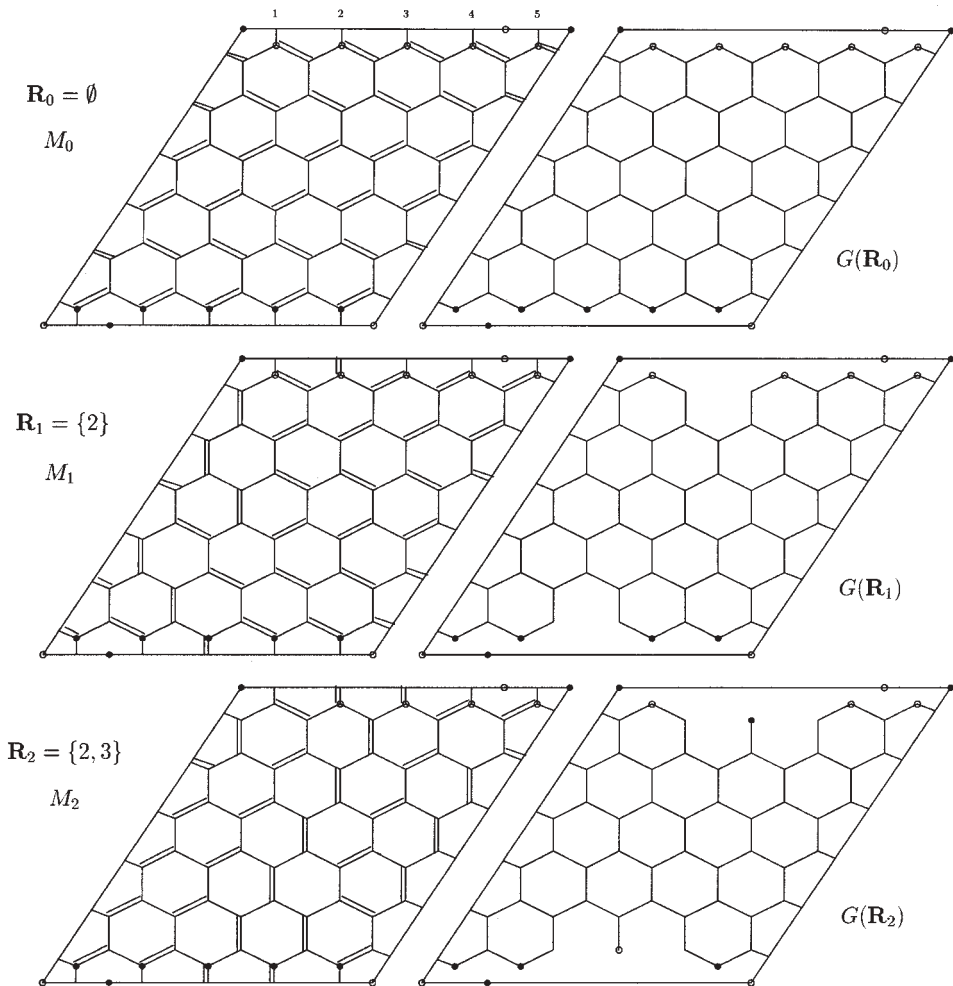


Figure 4.1. In the left-hand figures, one of the matchings in $\mathbf{M}(\mathbf{R})$ is depicted using double lines.

Graph $G(\mathbf{R})$ is obtained from T by omitting all edges of \mathbf{P} , all end vertices of the edges of \mathbf{R} , and all edges incident upon these vertices (Figure 4.1.). Set $\mathbf{G} = \{G(\mathbf{R}) | \mathbf{R} \subseteq \mathbf{P}\}$. Clearly, $m(G(\mathbf{R})) = m(\mathbf{R})$ thus by Eqs. (1) and (2),

$$m(T) = \sum_{\mathbf{R} \subseteq \mathbf{P}} m(\mathbf{R}) = \sum_{r=0}^p \sum_{|\mathbf{R}|=r} m(\mathbf{R}). \tag{3}$$

Direct all edges of $G \in \mathbf{G}$ from top to bottom, thus turning G into a directed (bipartite) graph \vec{G} (Figure 4.2.). Every \vec{G} is the embedding of a planar

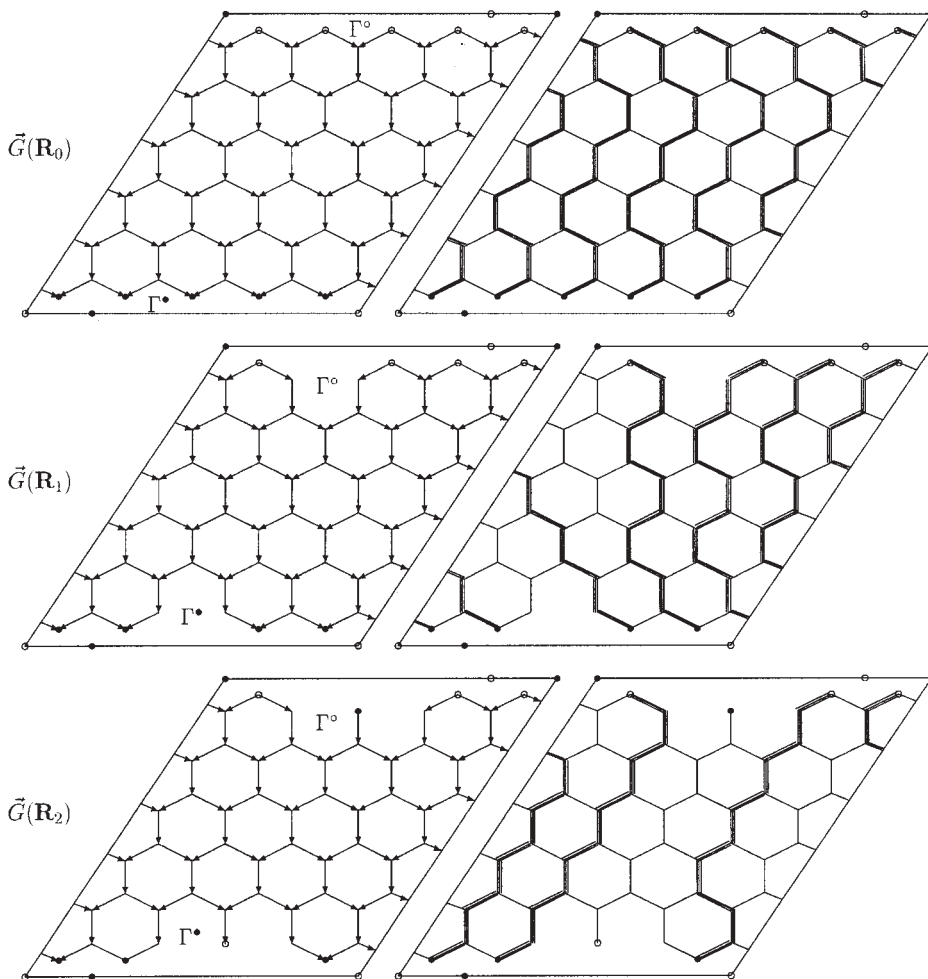


Figure 4.2. Note that the non-vertical edges that belong to \mathbf{M}_i together with the vertical edges that do not belong to \mathbf{M}_i ($i = 0, 1, 2$) form systems of disjoint monotone paths in $G(\mathbf{R}_i)$ connecting all peaks with all valleys of $G(\mathbf{R}_i)$; these path systems are depicted (see the heavy lines) in the figures on the right-hand side.

(not necessarily connected) directed graph in a cylinder. Set $\vec{\mathbf{G}} = \{\vec{G} | G \in \mathbf{G}\}$. The white sources (black sinks) of $G \in \mathbf{G}$ are called its *peaks (valleys)*. Note that a black source (white sink) is not a peak (valley). As in the case of hexagonal systems in the plane,^{3,4} for each $\vec{G} \in \vec{\mathbf{G}}$.

- (i) the number of peaks of a graph $\vec{G} = \vec{G}(\mathbf{R})$ is equal to the number of its valleys, namely, $p - r$;
- (ii) for each $\mathbf{R} \subseteq \mathbf{P}$ there is a (1,1)-correspondence between the set $\mathbf{M}(\mathbf{R})$ and the set of systems of disjoint monotone paths connecting the peaks of $\vec{G}(\mathbf{R})$ with its valleys such that in every such path system each peak and each valley are the end vertices of exactly one (directed) path (Figure 4.2). Every $\vec{G} \in \vec{\mathbf{G}}$ is the embedding of a planar (not necessarily connected) directed graph in a cylinder.

Some immediate observations.

- (a) $\vec{G}(\mathbf{R})$ is the empty graph (without edges and vertices) if and only if $\mathbf{R} = \mathbf{P}$ and $q = 1$. By convention, the number of monotone path systems of the empty graph is assumed to be equal to 1.
- (b) If $\vec{G} = \vec{G}(\mathbf{R})$ is non-empty and connected, then it has two particular (in general, non-hexagonal) faces which result from the edge-and-vertex deleting process described above, namely a top face $\Gamma^\circ = \Gamma^\circ(\vec{G})$ with all sources of \vec{G} on its boundary and a bottom face $\Gamma^\bullet = \Gamma^\bullet(\vec{G})$ with all sinks of \vec{G} on its boundary (see Figure 4.2.).
- (c) If \vec{G} is disconnected with components $\vec{G}_1, \vec{G}_2, \dots, \vec{G}_c$, then, necessarily, $1 \leq q \leq 2$, and $m(G) = m(G_1) \cdot m(G_2) \cdot \dots \cdot m(G_c)$. (Note that some component G_γ may have an odd number of vertices, implying $m(G) = 0$.)

KASTELEYN'S ORIENTATION

Graph orientations of the kind to be considered next were first used by P. W. Kasteleyn⁵ in his pioneering work on dimer coverings of the square lattice graph. Let \vec{G} be any directed plane graph – i.e., an embedding of a directed planar graph in the plane – with an even number of vertices. Let $\omega = \omega(\vec{G})$ denote the orientation of \vec{G} , and let Γ be a (finite or infinite) face of G . Orientation ω is said to be *Kasteleyn with respect to* Γ iff, for each component C of the boundary of Γ , the number of arcs of C whose left bank belongs to Γ is odd; ω is *Kasteleyn for* G iff ω is Kasteleyn with respect to all faces (including the infinite face) of G .

It is not difficult to prove that a (finite) plane graph G has a Kasteleyn orientation if and only if each component of G has an even number of vertices. Thus, if G does not have a Kasteleyn orientation, then $m(G) = 0$. Let G be a plane graph equipped with a Kasteleyn orientation and let $\mathbf{A} = (a_{i,j})$ denote its Kasteleyn adjacency matrix defined by

$$a_{ij} = \begin{cases} 1 & \text{if there is an arc from vertex } i \text{ to vertex } j, \\ -1 & \text{if there is an arc from vertex } j \text{ to vertex } i, \\ 0 & \text{otherwise.} \end{cases}$$

Kasteleyn's Theorem.^{5,6}

$$m(G) = \sqrt{\det \mathbf{A}} . \tag{4}$$

For $\mathbf{R} \subseteq \mathbf{P}$, besides $\omega_1 = \omega(\vec{G}(\mathbf{R}))$, consider the orientation ω_2 that is obtained from ω_1 by reversing the direction of all arcs intersected by the line segment $\gamma = AB_1'''$ of P (Figures 2, 3). Clearly, both orientations ω_1, ω_2 are Kasteleyn with respect to every hexagon of every graph $G(\mathbf{R}), \mathbf{R} \subseteq \mathbf{P}$; in addition, it is easy to check that ω_ρ is Kasteleyn with respect to both Γ° and Γ^\bullet if and only if $p - r \equiv \rho, \text{ mod } 2$. Define $\lambda = \lambda(\mathbf{R})$ by $\lambda \in \{1, 2\}, \lambda \equiv p - r, \text{ mod } 2$. The above now yields

Lemma 1.

Orientation $\omega_{\lambda(\mathbf{R})}$ is Kasteleyn for $G(\mathbf{R})$. □

For $\lambda = 1, 2$ and for every directed edge (arc) $a \in E(\vec{G})$ define $\sigma_\lambda(a)$ by

$$\sigma_\lambda(a) = 1, \sigma_\lambda(a) = \begin{cases} -1 & \text{if } a \text{ is intersected by } \gamma \\ 1 & \text{otherwise} \end{cases}$$

CALCULATING THE NUMBER OF KEKULÉ STRUCTURES

For $T \in \mathbf{T}$ we shall now determine the numbers $m(\mathbf{R})$ and $m(T)$ (see equation (3)).

We start with $\mathbf{R} = \emptyset$ and set $\vec{G}(\emptyset) = \vec{G}_0$.

Let $\{x_1, x_2, \dots, x_p\}$ and $\{y_{1-t}, y_{2-t}, \dots, y_{p-t}\}$ (labelled from left to right), be the set of peaks and the set of valleys of \vec{G}_0 , respectively. Recall that t stands for the torsion, the subscripts $1 - t, 2 - t, \dots, p - t$ are to be reduced to the smallest positive residues modulo p .

Algorithm A (see Figure 5):

The entries are arranged as indicated in Figure 5a. For $\lambda = 1, 2$ assign to every vertex z of \vec{G}_0 a vector $\mathbf{w}_\lambda(z) = (w_{\lambda 1}(z), w_{\lambda 2}(z), \dots, w_{\lambda p}(z))$ according to the following rules.

- (A.1) Start with the sources.
 For peak x_i ($i = 1, 2, \dots, p$), set $\mathbf{w}_\lambda(x_i) = (\delta_{i1}, \delta_{i2}, \dots, \delta_{ip})$ where $\delta_{ii} = 1$ and $\delta_{ij} = 0$ iff $i \neq j$.
 For a black source y , set $\mathbf{w}_\lambda(y) = (0, 0, \dots, 0)$ ($\lambda = 1, 2$).
- (A.2) For every vertex z of \vec{G}_0 that is not a source, following the arrows of \vec{G}_0 calculate the vectors

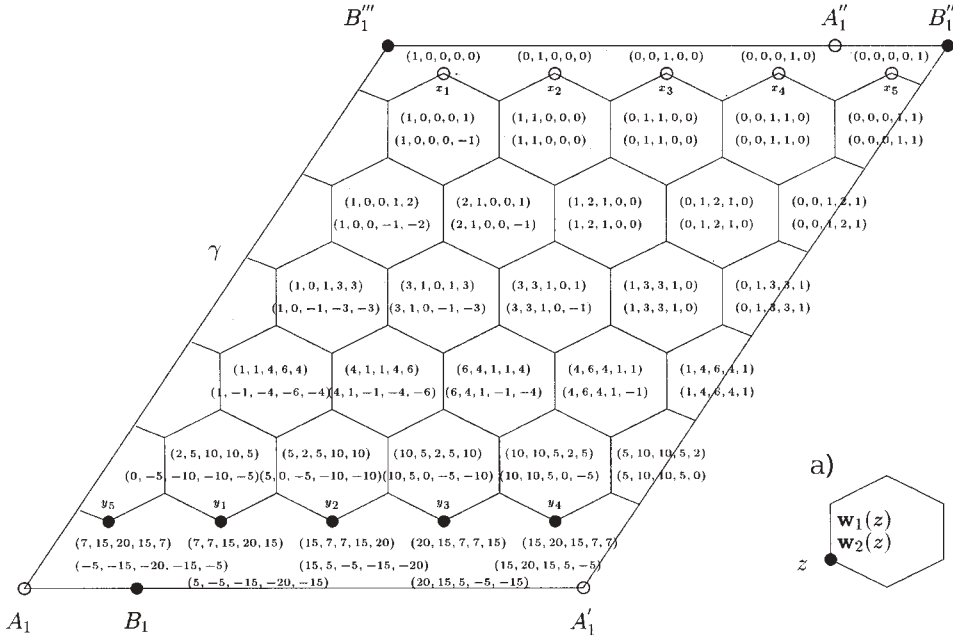


Figure 5. The entries are arranged as indicated in Figure 5a.

$$w_\lambda(z) = \sum \sigma_\lambda(z', z) \cdot W_\lambda(z'), \quad (\lambda = 1, 2),$$

where the sum is taken over the one or two predecessors z' of z .

(A.3) For valley $y_j, j = 1, 2, \dots, p$, let $w_{\lambda,ij} = w_\lambda(y_j)$ and $w_\lambda(y_j) = (w_{\lambda,1j}, w_{\lambda,2j}, \dots, w_{\lambda,pj})$. Form the $p \times p$ -matrices

$$\begin{aligned} W_\lambda(t) &= W_\lambda(\vec{G}_0) \\ &= (w_\lambda^T(y_1), w_\lambda^T(y_2), \dots, w_\lambda^T(y_p))^T \\ &= (w_{\lambda,ij}), \end{aligned}$$

$i, j = 1, 2, \dots, p \quad (\lambda = 1, 2)$.

It is not difficult to see that the matrices $W_1(0), W_2(0)$ resulting from the above algorithm can also be written as powers of the circulant $Z_+ = circ(1, 0, \dots, 0, 1)$ and the skew circulant $Z_- = scirc(1, 0, \dots, 0, -1)$, respectively, namely, $W_1(0) = Z_+^q, W_2(0) = Z_-^q$ (see Ref. 7). From $W_\lambda(0)$ matrix $W_\lambda(t)$ is obtained just by rotating (*i.e.*, cyclically permuting) the rows of $W_\lambda(0)$: the $(t + 1)st$ row of $W_\lambda(0)$ multiplied by $(-1)^{\lambda-1}$ becomes the first row of $W_\lambda(t)$, etc.

(A.4) For $\mathbf{R} \subseteq \mathbf{P}$, set $r = |\mathbf{R}|$ ($0 \leq r \leq p$). Clearly, the graph $G(\mathbf{R})$ is an induced subgraph of $G(\emptyset)$ with precisely $p - r$ peaks and as many valleys. Let $\mathbf{W} = \mathbf{W}(\mathbf{R})$ denote the principal submatrix of $\mathbf{W}_{\lambda(\mathbf{R})} = \mathbf{W}_{\lambda(\mathbf{R})}(t)$ that corresponds to the complement of \mathbf{R} . Note that $\mathbf{W}(\mathbf{R})$ is a square matrix of order $p - r$, obtained from $\mathbf{W}_{\lambda(\mathbf{R})}$ by deleting all those rows and columns that correspond to the elements of \mathbf{R} . By Kasteleyn's theorem (formula (4), specified for bipartite graphs),

Lemma 2.

$$m(\mathbf{R}) = |\det \mathbf{W}(\mathbf{R})|. \quad \square \quad (5)$$

The extreme cases

1. $\mathbf{R} = \mathbf{P}$, $p - r = 0$.

$G(\mathbf{P})$ has no peak and no valley, $\mathbf{W}(\mathbf{P})$ is the empty matrix. $G(\mathbf{P})$ has exactly one perfect matching which consists of all vertical edges (all edges perpendicular to s). Thus, in accordance with Eq. (5),

$$m(\mathbf{P}) = |\det \mathbf{W}(\mathbf{P})| = 1.$$

2. $\mathbf{R} = \emptyset$, $p - r = p$.

In this simple case, we do not need Lemma 2: by immediate inspection we see that none of the vertical edges can belong to a perfect matching of $G(\emptyset)$ implying

$$m(\emptyset) = s^q.$$

Equations (3) and (5) now allow $m = m(T)$ to be calculated:

$$m(T) = \sum_{\mathbf{R} \subseteq \mathbf{P}} m(\mathbf{R}) = \sum_{\mathbf{R} \subseteq \mathbf{P}} |\det \mathbf{W}(\mathbf{R})|. \quad (6)$$

Let $\mathbf{P} = \{1, 2, \dots, p\}$ be the set of edges intersected by s where edge i is incident upon peak v_i (see Figures 3).

Call two sets $\mathbf{R}, \mathbf{R}' \subseteq \mathbf{P}$ *cyclically equivalent* (briefly: *c-equivalent*) iff \mathbf{R}' is obtained from \mathbf{R} by shifting the subscripts defining \mathbf{R} modulo p . Clearly, for all members \mathbf{R} of a c -equivalence class the graphs $G(\mathbf{R})$ are isomorphic, thus it suffices to calculate $m(\mathbf{R}) = |\det \mathbf{W}(\mathbf{R})|$ for only one representative \mathbf{R} of each c -equivalence class C and multiply by $\mu = \mu(\mathbf{R}) = |C|$.

Note that the above algorithm is applicable for any (not necessarily canonic) representation (p_i, q_i, t_i) ($i = 1, 2, 3$) of T .

For our example, the calculations and results are summarized in Table I, which is self-explanatory.

To make the situation more transparent, $m(T)$ is calculated a second time in Table II, where another (non-canonical) representation of T —namely with $p_2 = 6, q_2 = 5, t_2 = 0$ (see Table II) — is used.

TABLE I
(see Figure 3)

R	<i>r</i>	μ	λ	W	<i>m</i>	$\mu \cdot m$
\emptyset	0	1	1	W_1	$2^6 = 64$	64
{1}	1	5	2	$\begin{pmatrix} 5 & -5 & -15 & -20 \\ 15 & 5 & -5 & -15 \\ 20 & 15 & 5 & -5 \\ -15 & -20 & -15 & -5 \end{pmatrix}$	3125	15625
{1,2}	2	5	1	$\begin{pmatrix} 7 & 7 & 15 \\ 15 & 7 & 7 \\ 20 & 15 & 7 \end{pmatrix}$	1128	5640
{1,3}		5	1	$\begin{pmatrix} 7 & 15 & 20 \\ 20 & 7 & 7 \\ 15 & 15 & 7 \end{pmatrix}$	2983	14915
{1,2,3}	3	5	2	$\begin{pmatrix} 5 & -5 \\ -15 & -5 \end{pmatrix}$	100	500
{1,2,4}		5	2	$\begin{pmatrix} 5 & -15 \\ 20 & -5 \end{pmatrix}$	325	1625
{1,2,3,4}	4	5	1	(7)	7	35
{1,2,3,4,5}	5	1	2	\emptyset	1	1
Σ		$2^5 = 32$	/	/	/	$m(T) = 38405$

TABLE II

R	<i>r</i>	μ	λ	W	<i>m</i>	$\mu \cdot m$
\emptyset	0	1	2	W_2	$2^5 = 32$	32
{1}	1	6	1	$\begin{pmatrix} 1 & 1 & 5 & 10 & 10 \\ 5 & 1 & 1 & 5 & 10 \\ 10 & 5 & 1 & 1 & 5 \\ 10 & 10 & 5 & 1 & 1 \\ 5 & 10 & 10 & 5 & 1 \end{pmatrix}$	1296	7776
{1,2}	2	6	2	$\begin{pmatrix} 1 & -1 & -5 & -10 \\ 5 & 1 & -1 & -5 \\ 10 & 5 & 1 & -1 \\ 10 & 10 & 5 & 1 \end{pmatrix}$	621	3726

R	<i>r</i>	μ	λ	W	<i>m</i>	$\mu \cdot m$
\emptyset	0	1	2	W_2	$2^5 = 32$	32
$\{1,3\}$		6	2	$\begin{pmatrix} 1 & -5 & -10 & -10 \\ 10 & 1 & -1 & -5 \\ 10 & 5 & 1 & -1 \\ 5 & 10 & 5 & 1 \end{pmatrix}$	1896	11376
$\{1,4\}$		3	2	$\begin{pmatrix} 1 & -1 & -10 & -10 \\ 5 & 1 & -5 & -10 \\ 10 & 10 & 1 & -1 \\ 5 & 10 & -5 & 1 \end{pmatrix}$	2561	7683
$\{1,2,3\}$	3	6	1	$\begin{pmatrix} 1 & 1 & 5 \\ 5 & 1 & 1 \\ 10 & 5 & 1 \end{pmatrix}$	76	456
$\{1,2,4\}$		6	1	$\begin{pmatrix} 1 & 5 & 10 \\ 10 & 1 & 1 \\ 10 & 5 & 1 \end{pmatrix}$	396	2376
$\{1,2,5\}$		6	1	$\begin{pmatrix} 1 & 1 & 10 \\ 5 & 1 & 5 \\ 10 & 10 & 1 \end{pmatrix}$	396	2376
$\{1,2,5\}$		2	1	$\begin{pmatrix} 1 & 5 & 10 \\ 10 & 1 & 5 \\ 5 & 10 & 1 \end{pmatrix}$	976	1952
$\{1,2,3,4\}$	4	6		$\begin{pmatrix} 1 & -1 \\ 5 & 1 \end{pmatrix}$	6	36
$\{1,2,3,5\}$		6	22	$\begin{pmatrix} 1 & -5 \\ 10 & 1 \end{pmatrix}$	51	306
$\{1,2,4,5\}$		3	2	$\begin{pmatrix} 1 & -10 \\ 10 & 1 \end{pmatrix}$	101	303
$\{1,2,3,4,5\}$	5	6	1	(1)	1	6
$\{1,2,3,4,5,6\}$	6	1	2	\emptyset	1	1
Σ		$2^6 = 64$	/	/	/	$m(T) = 38405$

For $T = (p, q, t)$ write $m(T) = m(p, q, t)$.

In order to show that $m(T)$ depends on the torsion t of T , we calculated $m(5, 6, t)$ for $t = 0, 1, 2, 3, 4$ and obtained

$$\begin{aligned}m(5, 6, 0) &= m(5, 6, 1) = 38405, \\m(5, 6, 2) &= m(5, 6, 4) = 38405, \\m(5, 6, 3) &= 39440 \text{ (see also Ref. 8).}\end{aligned}$$

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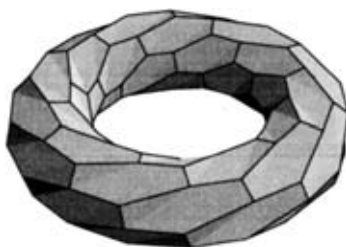
SAŽETAK

Broj Kekuléovih struktura u torusnim heksagonalnim ugljikovim kavezima*Peter E. John*

Nakon otkrića fullerena, prirodno se postavilo pitanje postojanja čisto ugljikovih heksagonalnih kaveza u obliku torusa koje bismo mogli nazvati »torusnim grafitom«. Istaknimo da je torus jedina zatvorena površina S na koju su mogu smjestiti trovalentni grafovi G čija su sva lica heksagoni (Ref. 1a, Slika 1). Predloženo je da se takve molekule i pripadni im molekularni grafovi zovu *torenima*.

Napomenimo da su se ovom temom prvi puta bavili M. Randić, Y. Tsukano i H. Hosoya.^{1b}

Ovdje je prikazan algoritam koji omogućava izračunavanje broja Kekuléovih struktura torena u polinomskom vremenu.



Slika 1