

**GENERALIZED SHALIKA MODEL ON  $SO_{4n}(F)$ ,  
SYMPLECTIC LINEAR MODEL ON  $Sp_{4n}(F)$  AND THETA  
CORRESPONDENCE**

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ABSTRACT. We show that if an irreducible admissible representation of  $SO_{4n}(F)$  has a generalized Shalika model, then its small theta lift to  $Sp_{4n}(F)$  has the symplectic linear model, thus answering a question posed by D. Jiang. Here  $F$  is a non-archimedean field of characteristic zero.

1. INTRODUCTION

The fundamental results of Arthur led to the classification of the automorphic discrete spectrum of classical groups. The automorphic representations of a classical group are grouped into global (Arthur) packets. Global Arthur packets are formed using local Arthur packets. It is very important to have a way to distinguish representations inside a local packet, being it Arthur or Langlands packet. The characterization of the representations in a packet by models they have turns out to be very important; let us just mention the landmark work of Gan, Gross, Prasad, Waldspurger and others on restriction problems for classical groups and existence of Bessel and Fourier-Jacobi models ([4],[5], etc.). The second use of models for groups over local fields is their application for the determination of poles of the global L-functions. In that way D. Jiang introduced the generalized Shalika model for the split group  $SO_{4n}(F)$ , where  $F$  is a local non-archimedean field of characteristic zero. In more detail, Jiang introduced this model in [10] with the Langlands-Shahidi method to characterize irreducible automorphic cuspidal representations  $\pi$  of  $GL_{2n}$  whose global L-function  $L(s, \pi, \Lambda^2)$  has a pole for  $s = 1$ . Moreover, Jiang formulated conjectures about the characterizations of local Arthur packets containing a member having a non-zero generalized Shalika model (cf. the fourth section of [9]); these conjectures can be viewed as a specific case of on-going research into spherical varieties (cf. [13]).

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Jiang also observed the following: let  $\sigma$  be an irreducible cuspidal symplectic representation of  $\mathrm{GL}_{2n}(F)$ , where  $F$  is a non-archimedean field of characteristic zero. If we induce parabolically from the representation  $\sigma$  twisted by  $1/2$  to a representation of  $\mathrm{SO}_{4n}(F)$ , we get a reducible representation whose Langlands quotient has a generalized Shalika model. Similarly, if we induce from  $\sigma$  (twisted by  $1/2$ ) parabolically to  $\mathrm{Sp}_{4n}(F)$ , the representation is reducible, and its Langlands quotient has a non-zero symplectic linear model. It turns out that these two Langlands quotients are related through theta correspondence. This fact fits nicely into interpretation of symplecticity of representations of  $\mathrm{GL}_{2n}(F)$  in terms of various functorialities and models existing on members of a dual pair  $(\mathrm{O}_{4n}(F), \mathrm{Sp}_{4n}(F))$ ; this is nicely explained in [8], p. 541.

In this note, we answer a question of Jiang posed in [8], p. 542. Namely, as we mentioned above, in the specific cases of induction from an irreducible supercuspidal symplectic representation of  $\mathrm{GL}_{2n}(F)$ , the corresponding Langlands quotients, which have a non-zero generalized Shalika model, and a non-zero symplectic linear model, respectively, are related through the theta correspondence. We prove that this feature occurs generally; i.e., if an irreducible smooth representation of  $\mathrm{SO}_{4n}(F)$  has a non-zero generalized Shalika model, then its small theta lift to  $\mathrm{Sp}_{4n}(F)$  is non-zero and has a non-zero symplectic linear model. This result suggests that the functorialities mentioned in the preceding paragraph (cf. [8], p. 541) can be generalized in an appropriate setting, raising further questions about Gelfand-Graev models and Fourier-Jacobi models of the representations of  $\mathrm{SO}_{4n}(F)$  and  $\mathrm{Sp}_{4n}(F)$ .

Our proof is based on a direct calculation of a twisted Jacquet module of the Weil representation (for a fixed additive character), and not on the more thorough study of the properties of representations having generalized Shalika or symplectic linear model. We adopted the latter approach in a toy example where we worked out the case of  $n = 1$  ([3]). Here a slight disambiguation is needed (as we explain in the next subsection), since actually  $\mathrm{O}_{4n}(F)$  and  $\mathrm{Sp}_{4n}(F)$  occur as a dual reductive pair, so we need to extend this irreducible representation of  $\mathrm{SO}_{4n}(F)$  to an irreducible representation of  $\mathrm{O}_{4n}(F)$ .

*1.1. Notation and Preliminaries.* Let  $F$  be a non-archimedean field of characteristic zero. We use Howe duality conjecture, which is now proved for any residual characteristic (cf. [6]), so we do not need any additional assumptions on residual characteristic. We fix a non-trivial additive character  $\psi : F \rightarrow \mathbb{C}^*$ .

Let

$$J_n := \begin{pmatrix} & & & 1 \\ & & 1 & \\ & \ddots & & \\ 1 & & & \end{pmatrix} \in \mathrm{GL}_n(F).$$

We realize the  $F$ -points of (split) special orthogonal group  $O_{4n}$  as

$$O_{4n}(F) = \{A \in \mathrm{GL}_{4n}(F) \mid A^t J_{4n} A = J_{4n}, \}$$

and  $\mathrm{SO}_{4n}(F)$  is realized a subgroup of  $O_{4n}(F)$  consisting of matrices of determinant 1. We fix the maximal diagonal torus  $T$  and the Borel subgroup  $B$  of upper triangular matrices in  $\mathrm{SO}_{4n}(F)$ . We let  $P = MN$  be a standard maximal parabolic subgroup of  $\mathrm{SO}_{4n}(F)$ , whose Levi subgroup  $M$  is isomorphic to  $\mathrm{GL}_{2n}(F)$ .

It is embedded via

$$\iota : \mathrm{GL}_{2n}(F) \hookrightarrow \mathrm{SO}_{4n}(F), \quad g \mapsto \begin{pmatrix} g & 0 \\ 0 & J_{2n} g^{-t} J_{2n} \end{pmatrix}$$

and the  $F$ -points of the unipotent radical  $N$  of  $P$  are given by all matrices

$$y(X) = \begin{pmatrix} I_{2n} & X \\ 0 & I_{2n} \end{pmatrix},$$

such that  $X^t = -J_{2n} X J_{2n}$ . We refer to  $P$  as the Siegel subgroup. The subgroup  $\mathcal{H} \subset P(F)$  generated by all  $\iota(g)$  for  $g \in \mathrm{Sp}_{2n}(F)$  and all  $y \in N(F)$  is called the *generalized Shalika subgroup* of  $\mathrm{SO}_{4n}(F)$ . Here  $\mathrm{Sp}_{2n}(F)$  is the symplectic group realized as

$$\mathrm{Sp}_{2n}(F) = \left\{ A \in \mathrm{GL}_{2n}(F) \mid A^t \begin{bmatrix} 0 & J_n \\ -J_n & 0 \end{bmatrix} A = \begin{bmatrix} 0 & J_n \\ -J_n & 0 \end{bmatrix} \right\}.$$

We consider  $\psi$  to be a character of  $N$  by

$$\psi(y(X)) = \psi \left( \mathrm{tr} \left( \begin{pmatrix} -I_n & 0 \\ 0 & I_n \end{pmatrix} X \right) \right)$$

and then we extend it to a character  $\psi_{\mathcal{H}}$  of  $\mathcal{H}$  by demanding it is trivial on  $\iota(\mathrm{Sp}_{2n}(F))$  (this is well defined because it is easily checked that  $\mathcal{H}$  is the stabilizer of a character  $\psi$  in  $P$ ).

**DEFINITION 1.1.** *An irreducible admissible representation  $\pi$  of  $\mathrm{SO}_{4n}(F)$  is said to have a non-zero generalized Shalika model if*

$$\mathrm{Hom}_{\mathcal{H}}(\pi, \psi_{\mathcal{H}}) \neq 0.$$

The group  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F)$  injects into  $\mathrm{Sp}_{4n}(F)$  via

$$(1.1) \quad \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix} \right) \mapsto \begin{pmatrix} a & & & b \\ & a_1 & b_1 & \\ & c_1 & d_1 & \\ c & & & d \end{pmatrix}.$$

Here  $a, b, c, d, a_1, b_1, c_1, d_1$  are  $n \times n$  matrices.

DEFINITION 1.2. *An irreducible admissible representation  $\pi$  on  $\mathrm{Sp}_{4n}(F)$  has a symplectic linear model if*

$$\mathrm{Hom}_{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F)}(\pi, 1_{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F)}) \neq 0.$$

Since we need representations of the full orthogonal group to enter the theta correspondence, we recall the following well-known criterion. Let  $\epsilon \in \mathrm{O}_{2n}(F)$  be the element

$$\epsilon = \begin{pmatrix} I_{n-1} & & & \\ & 1 & & \\ & & 1 & \\ & & & I_{n-1} \end{pmatrix}.$$

For an irreducible admissible representation  $\tau$  of  $\mathrm{SO}_{2n}(F)$ , we denote by  $\tau^\epsilon$  representation of  $\mathrm{SO}_{2n}(F)$  on the same space, defined by  $\tau^\epsilon(g) = \tau(\epsilon g \epsilon^{-1})$ . We can pass between irreducible admissible representations of  $\mathrm{O}_{2n}(F)$  and  $\mathrm{SO}_{2n}(F)$  as follows:

LEMMA 1.3 (cf.[12] 3.II.5, Lemme).

1. *Let  $\pi$  be an irreducible admissible representation of  $\mathrm{O}_{2n}(F)$ . Then  $\pi|_{\mathrm{SO}_{2n}(F)}$  is irreducible if and only if  $\pi \not\cong \pi \otimes \det$ .*
2. *Let  $\tau$  be an irreducible admissible representation of  $\mathrm{SO}_{2n}(F)$ . Then either*
  - (A)  *$\tau \not\cong \tau^\epsilon$ ; then  $\mathrm{Ind}_{\mathrm{SO}_{2n}(F)}^{\mathrm{O}_{2n}(F)}(\tau) =: \pi$  is irreducible and satisfies  $\pi = \pi \otimes \det$ , or*
  - (B)  *$\tau \cong \tau^\epsilon$ ; then  $\mathrm{Ind}_{\mathrm{SO}_{2n}(F)}^{\mathrm{O}_{2n}(F)}(\tau)$  is reducible and the direct sum of two non-equivalent irreducible representations  $\pi$  and  $\pi \otimes \det$ .*

We use this lemma to adapt the theta correspondence to representations of  $\mathrm{SO}_{2n}(F)$ . Let  $\omega_{m,k}$  denote the Weil representation (with respect to an additive character  $\psi'$ ) of a dual pair consisting of the split orthogonal group  $O(V)(F)$  where dimension of  $V$  is  $2m$  and of the symplectic group  $\mathrm{Sp}(W)$  where the dimension of  $W$  is  $2k$ . The maximal quotient of  $\omega_{m,k}$  on which  $O(V)(F) = \mathrm{O}_{2m}(F)$  acts as a multiple of an irreducible representation  $\pi$  decomposes as  $\pi \otimes \Theta(\pi, k)$ , where  $\Theta(\pi, k)$  is a finite-length  $\mathrm{Sp}_{2k}(F)$ -module. This module has the unique irreducible quotient (Howe conjecture) which we denote by  $\theta(\pi, k)$ . We analogously define an irreducible  $\mathrm{Sp}_{2k}(F)$ -module  $\theta(\tau, k)$  for an irreducible representation  $\tau$  of  $\mathrm{SO}_{2m}(F)$ . We have

$$(1.2) \quad \theta(\tau, k) \cong \theta(\pi, k) \text{ if (A)}$$

$$\theta(\tau, k) := \theta(\pi, k) \oplus \theta(\pi \otimes \det, k) \text{ if (B)}.$$

In the remainder of this paper, we are concerned with the theta lifts of irreducible representations of  $\mathrm{SO}_{4n}(F)$  to irreducible representations of  $\mathrm{Sp}_{4n}(F)$ ,

so we are always dealing with the Weil representation  $\omega_{2n,2n}$  so we denote  $\theta(\tau, 2n)$  by  $\theta(\tau)$ .

We retain the notation from Lemma 1.3. Assume that  $\tau$  is in irreducible representation of  $\mathrm{SO}_{4n}(F)$  such that it satisfies condition (A) from Lemma 1.3 and that it has a non-zero generalized Shalika functional, say  $\lambda$ . Then,  $\pi|_{\mathrm{SO}_{4n}(F)} = \tau \oplus \tau^\epsilon$  and we can define a generalized Shalika functional on the representation  $\pi$  by prescribing that it is equal to  $\lambda$  on  $\tau$  and zero on  $\tau^\epsilon$ . If  $\tau$  with a non-zero generalized Shalika model satisfies (B) then the situation is even more straightforward since then  $\pi|_{\mathrm{SO}_{4n}(F)} = \tau$ . So, we may conclude that we can always extend generalized Shalika functional from irreducible representation of  $\mathrm{SO}_{4n}(F)$  to an irreducible representation of  $\mathrm{O}_{4n}(F)$  in the sense of Lemma 1.3.

We note that in (very limited number) of explicitly known representations  $\tau$  with the non-zero generalized Shalika models ([8],[10],[3]), we always had in these examples the situation (A). We know that the following holds ([14]):

**THEOREM 1.4.** *Assume that  $\sigma$  is an irreducible admissible representation of the split  $\mathrm{O}_{2m}(F)$ . Then the following holds:*

$$n(\sigma) + n(\sigma \otimes \det) = 2m.$$

Here  $n(\sigma)$  denotes the rank of the first non-zero occurrence of the representation  $\sigma$  in theta correspondence.

Because of that, if  $\tau$  is irreducible representation of  $\mathrm{SO}_{4n}(F)$  in situation (A), we have that  $n(\pi) = 2n$  and  $\theta(\tau, 2n) = \theta(\pi, 2n) \neq 0$ . We denote  $\theta'(\tau) = \theta(\tau, 2n)$ .

If  $\tau$  is in situation (B), at least one of the representations  $\pi$ ,  $\pi \otimes \det$  has a non-zero theta lift to the rank  $2n$ . Now, we denote by  $\theta'(\tau)$  one of the non-zero lifts  $\theta(\pi, 2n)$  or  $\theta(\pi \otimes \det, 2n)$  (and both  $\pi$  and  $\pi \otimes \det$  have a non-zero generalized Shalika model).

We use  $\mathrm{ind}$  to denote the compact induction, and  $\mathrm{Ind}$  to denote the non-compact induction. By  $\twoheadrightarrow$  we denote a surjective mapping. From now on, we study representations of groups  $\mathrm{SO}_{4n}(F)$  and  $\mathrm{Sp}_{4n}(F)$  for  $n \geq 2$ , since  $n = 1$  case is resolved in [3].

## 2.

We continue to assume that  $(\pi, V)$  is an irreducible representation of  $\mathrm{O}_{4n}(F)$  with a non-zero generalized Shalika model such that  $\theta(\pi) \neq 0$ . We want to express a property of having non-zero generalized Shalika model in terms of twisted Jacquet modules. We continue to use the notation from the previous section. We form a subspace

$$V_\psi(N) := \mathrm{span}\{\pi(n)v - \psi(n)v : v \in V, n \in N\},$$

where  $N$  is the unipotent radical of the Siegel standard parabolic subgroup of  $\mathrm{SO}_{4n}(F)$ . Then, it is straightforward that the twisted Jacquet module  $R_{\mathcal{H},\psi}(\pi) := V/V_\psi(N)$  is a  $\mathrm{Sp}_{2n}(F)$ -module, since, by definition,  $\mathrm{Sp}_{2n}(F) \subset \mathrm{GL}_{2n} \cong M$  is a stabilizer of a character  $\psi$  of  $N$ . Now, the existence of the non-zero generalized Shalika model on  $\pi$  is equivalent to the fact that  $R_{\mathcal{H},\psi}(\pi)$ , as a  $\mathrm{Sp}_{2n}(F)$ -module, has the trivial quotient, i.e., there exists a non-zero functional  $\lambda$  on  $R_{\mathcal{H},\psi}(\pi)$  satisfying

$$\lambda(\pi(s)v + V_\psi(N)) = \lambda(v + V_\psi(N)).$$

**2.1. Calculation of  $R_{\mathcal{H},\psi}(\omega_{2n,2n})$ .** Recall that we view  $\omega_{2n,2n}$  as a representation of  $\mathrm{O}_{4n}(F) \times \mathrm{Sp}_{4n}(F)$ . The above discussion motivates us to examine  $R_{\mathcal{H},\psi}(\omega_{2n,2n})$  more thoroughly. This is obviously an  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$ -module. Note that we have a non-trivial additive character  $\psi$  appearing in the definition of the generalized Shalika model; assume that an additive character  $\psi_a(x) := \psi(ax)$ , where  $a \in F^*$ , enters the definition of theta correspondence (we do not emphasize  $\psi_a$  in the notation of  $\omega_{2n,2n}$ ). A general description of the twisted Jacquet modules of this kind is given in ([12], pp. 72, 73). We further elaborate on this description which is given not necessarily for the Weil representation, but in the more general context.

We study the Schroedinger model of the Weil representation  $\omega_{2n,2n}$  defined in the following way: let  $V = V'_{2n} \oplus V''_{2n}$  be a complete polarization of the quadratic space  $V$  on which  $\mathrm{O}_{4n}(F)$  acts. Let  $W$  be  $4n$ -dimensional skew-symmetric space on which  $\mathrm{Sp}_{4n}(F)$  acts. We denote by  $\mathbf{W} = V \otimes W = V'_{2n} \otimes W \oplus V''_{2n} \otimes W$ . Then, the Schroedinger model of  $\omega_{2n,2n}$  is realized on the Schwartz space  $S(V'_{2n} \otimes W)$ . Sometimes we use an isomorphism  $V'_{2n} \otimes W \cong W^{2n}$ , so that given a basis  $\{e_1, \dots, e_{2n}\}$  of an isotropic space  $V'_{2n}$  we have

$$e_1 \otimes w_1 + \dots + e_{2n} \otimes w_{2n} \mapsto (w_1, \dots, w_{2n}).$$

To be able to directly apply formulas for the Weil representation given in ([11], p. 38) we take a little bit different matrix realization of  $\mathrm{O}_{4n}(F)$  (isomorphic to ours defined above) where in the definition of  $\mathrm{O}_{4n}(F)$  the symmetric form is defined not by using the matrix  $J_{4n}$  but the matrix  $\begin{bmatrix} 0 & I_{2n} \\ I_{2n} & 0 \end{bmatrix}$ . Then,

$$N = \left\{ n(S) = \begin{bmatrix} I_{2n} & S \\ 0 & I_{2n} \end{bmatrix} : S^t = -S \right\}.$$

Note that then the action of  $N$  in  $\omega_{2n,2n}$  is given by the homothety ([11], p. 38)

$$\omega_{2n,2n}(n(S), 1)\phi(w) = \psi_a\left(\frac{1}{2}\mathrm{tr}(\langle w, w \rangle S)\right)\phi(w),$$

where  $w = (w_1, \dots, w_{2n}) \in W^{2n}$ ,  $\phi \in S(W^{2n})$ . Here  $\langle x, x \rangle$  denotes  $2n \times 2n$  skew-symmetric matrix whose  $(i, j)$ -entry is  $\langle w_i, w_j \rangle$ . We examine (we adopt

the notation of [12], p. 72)

$$\Omega(\psi) = \left\{ w \in W^{2n} : \psi_a\left(\frac{1}{2}\text{tr}(\langle w, w \rangle S)\right) = \psi_{\mathcal{H}}(S) = \psi\left(\text{tr}\left(\begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix} S\right)\right) \right\},$$

where the action of the Shalika character is adjusted because of the modified definition of  $N$ . By changing  $a \mapsto a^{-1}$ , we get the condition

$$\Omega(\psi) = \left\{ w \in W^{2n} : \psi\left(\text{tr}\left(S\left(\frac{1}{2}(\langle w, w \rangle - a \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix})\right)\right)\right) = 1, \right. \\ \left. \forall S^t = -S \in M_n(F) \right\}.$$

By Lemma on p. 73 of [12], the restriction on  $\Omega(\psi)$  gives the isomorphism of  $R_{\mathcal{H},\psi}(\omega_{2n,2n})$  with the action of  $\text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)$  on  $S(\Omega(\psi))$ . Now we examine this action more thoroughly.

We can get rid of  $\psi$  in the above definition of  $\Omega(\psi)$ . We see that in the following calculation. We define an skew-symmetric matrix  $A := \frac{1}{2}\langle w, w \rangle - a \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}$ . We put  $A = \begin{bmatrix} x & b \\ -b^t & d \end{bmatrix}$ , where  $x^t = -x, d^t = -d$  and  $S = \begin{bmatrix} a_1 & b_1 \\ -b_1^t & d_1 \end{bmatrix}$  with  $a_1^t = -a_1, d_1^t = -d_1$ . The condition becomes

$$\psi(\text{tr}(a_1 x - b_1 b^t - b_1^t b + d_1 d)) = 1,$$

for all  $\begin{bmatrix} a_1 & b_1 \\ -b_1^t & d_1 \end{bmatrix}$ . We take  $a_1 = d_1 = 0$  and  $b_1 = \lambda e_{i,j}$ , where  $e_{i,j}$  is a  $n \times n$  matrix whose entries are all zero except the entry  $(i, j)$  which is 1. We get that  $\psi(2\lambda b_{i,j}) = 1$ , for all  $\lambda \in F^*$ . Since  $\psi$  is non-trivial, we get that  $b_{i,j} = 0$ . This holds for every  $(i, j)$  so that  $b = 0$ . Since  $n \geq 2$ , we can take  $a_1 = d_1 = \lambda e_{i,j} - \lambda e_{j,i}$ , for some  $i \neq j$ . Then, the condition becomes  $\psi(2\lambda(x + d)_{j,i}) = 1, \forall \lambda \in F^*$ . We get that  $(x + d)_{j,i} = 0$ , for all  $j \neq i$ . We get that  $x + d = 0$ . If we take  $a_1 = -d_1 = \lambda e_{i,j} - \lambda e_{j,i}$ , for some  $i \neq j$ , we analogously get  $(x - d)_{j,i} = 0$  and then we get  $x = d = 0$ . Thus,

$$A = \frac{1}{2}\langle w, w \rangle - a \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix} = 0.$$

Thus,

$$\Omega(\psi) = \left\{ w \in W^{2n} : \frac{1}{2}\langle w, w \rangle - a \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix} = 0 \right\}.$$

Recall that the action of  $\text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)$  on  $w = e_1 \otimes w_1 + \cdots e_{2n} \otimes w_{2n}$  is given as follows: for  $(g_1, g_2) \in \text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)$  we have

$$(g_1, g_2)(e_1 \otimes w_1 + \cdots e_{2n} \otimes w_{2n}) = g_1 e_1 \otimes g_2 w_1 + \cdots + g_1 e_{2n} \otimes g_2 w_{2n}.$$

We put  $g_1 e_i = \sum_{l=1}^{2n} a_{l,i} e_l$ ,  $i = 1, \dots, 2n$ . So we get

$$(2.1) \quad (g_1, g_2)(e_1 \otimes w_1 + \dots + e_{2n} \otimes w_{2n}) = e_1 \otimes \left( \sum_{i=1}^{2n} a_{1,i} g_2 w_i \right) + \dots + e_{2n} \otimes \left( \sum_{i=1}^{2n} a_{2n,i} g_2 w_i \right).$$

We denote  $w'_j = \sum_{i=1}^{2n} a_{j,i} g_2 w_i$ . Now, it is a straightforward that

$$(2.2) \quad \langle w'_i, w'_j \rangle = \langle w_i, w_j \rangle, \forall i, j$$

(we use that  $g_1 \in \mathrm{Sp}_{2n}(F)$ , where we now realize  $\mathrm{Sp}_{2n}(F)$  as

$$\mathrm{Sp}_{2n}(F) = \left\{ g_1 \in \mathrm{GL}_{2n}(F) : g_1^t \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix} g_1 = \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix} \right\}.$$

This is, of course, what we knew in advance and it just means that the action of  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  preserves  $\Omega(\psi)$ .

We want to analyze the orbits of this action.

LEMMA 2.1. *The action of  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  on  $\Omega(\psi)$  is transitive.*

PROOF. Note that for  $w = e_1 \otimes w_1 + \dots + e_{2n} \otimes w_{2n} = (w_1, \dots, w_{2n}) \in \Omega(\psi)$  the defining relation of  $\Omega(\psi)$  guarantees that the set  $\{w_1, w_2, \dots, w_{2n}\}$  is linearly independent (these vectors form a symplectic basis (up to scalar) of  $2n$ -dimensional non-degenerate subspace of  $W$ ). An element  $g_2 \in \mathrm{Sp}_{4n}(F)$  turns  $\mathrm{span}\{w_1, w_2, \dots, w_{2n}\}$  into another non-degenerate  $2n$ -dimensional subspace of  $W$  with a (up to scalar) symplectic basis  $\{g_2 w_1, \dots, g_2 w_{2n}\}$ , and then  $g_1$  acts on the  $\{g_2 w_1, \dots, g_2 w_{2n}\}$  by turning it into another basis of the same space.

Let  $w = (w_1, \dots, w_{2n})$ ,  $w' = (w'_1, \dots, w'_{2n}) \in \Omega(\psi)$  and denote

$$V_1 = \mathrm{span}\{w_1, \dots, w_{2n}\} \text{ and } V_2 = \mathrm{span}\{w'_1, \dots, w'_{2n}\}.$$

We define  $f : V_1 \rightarrow V_2$  with  $f(w_i) = w'_i$ ,  $i = 1, 2, \dots, 2n$ . It is obvious that  $f$  is an isometry. By the Witt's theorem, there exists an isometry on  $W$  (thus an element  $g_2 \in \mathrm{Sp}_{4n}(F)$ ) extending  $f$ . This means that  $(1, g_2)w = w'$ .

□

We fix  $w_0 = (w_1, \dots, w_{2n})$  in  $\Omega(\psi)$  and let  $G_1 \subset \mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  be the stabilizer of that point. By the known results (cf. [12], p.73), since there is only one orbit for this action on  $\Omega(\psi)$ , we have

$$(2.3) \quad R_{\mathcal{H}, \psi}(\omega_{2n, 2n}) \cong \mathrm{ind}_{G_1}^{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)} \omega_{w_0}.$$

Here  $\omega_{w_0}$  is a representation of  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  satisfying

$$(\omega_{2n, 2n})(g_1, g_2)f(w_0) = \omega_{w_0}(g_1, g_2)f(w_0(g_1, g_2)).$$



Since  $\omega_{w_0}$  is a character, it must be equal to 1. Indeed, when we check the formulas from ([11], p. 38) we get

$$(\omega_{2n,2n})(g_1, 1)f(w_0) = f(g_1^t e_1 \otimes w_1 + \cdots + g_2^t e_{2n} \otimes w_{2n}),$$

and

$$(\omega_{2n,2n})(1, g_2)f(w_0) = f(e_1 \otimes g_2^{-1} w_1 + \cdots + e_{2n} \otimes g_2^{-1} w_{2n}).$$

LEMMA 2.2. *Let  $G_1$  be the stabilizer of  $w_0$  with respect to  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  action given by (2.1). Then,*

$$G_1 \cong \mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F)$$

given with

$$(g_1, g_2) \mapsto (g_1^{-t}, (g_1, g_2)),$$

where  $(g_1, g_2)$  from the right hand side belongs to  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F) \subset \mathrm{Sp}_{4n}(F)$ , and where  $W$  is decomposed as a orthogonal direct sum of non-degenerate symplectic spaces of dimensions  $2n$  and each copy of  $\mathrm{Sp}_{2n}(F)$  is the symplectic group of the corresponding subspace.

PROOF. According to the interpretation of this action given in the proof of Lemma 2.1, for  $(g_1, g_2) \in \mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  to be in  $G_1$ , it is needed that, for  $V_1 := \mathrm{span}\{w_1, \dots, w_{2n}\}$ , we have  $g_2(V_1) = V_1$ . Since  $V_1$  is non degenerate, we have the orthogonal direct decomposition

$$W = V_1 \oplus V_1^\perp,$$

where  $V_1^\perp$  denotes the orthogonal complement of  $V_1$ . Now, we immediately have  $g_2(V_1^\perp) = V_1^\perp$  and  $g_2 \mapsto (g_2|_{V_1}, g_2|_{V_1^\perp})$  is injective. Note that  $g_2|_{V_1}$  and  $g_2|_{V_1^\perp}$  belong to the symplectic groups of  $V_1$  and  $V_1^\perp$ , respectively. Then, for  $g_1$  such that  $(g_1, g_2) \in G_1$  we must have (from (2.1)) that  $g_1 = (g_2|_{V_1})^{-t}$ .  $\square$

Note that a function  $f$  from  $\mathrm{ind}_{G_1}^{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)} \mathbf{1} = \mathrm{ind}_{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F)}^{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)} \mathbf{1}$  satisfies

$$f(g_1'^{-t}, (g_1', g_2'))(\alpha, \beta) = f((\alpha, \beta)),$$

for all  $(\alpha, \beta) \in \mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  and  $(g_1'^{-t}, (g_1', g_2')) \in \mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$ .  $f$  is also smooth and compactly supported in  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  modulo  $G_1$ . Note that this means that  $f((\alpha, \beta)) = f(1, (\alpha^t, 1)\beta)$ , so that  $f$  is completely determined by its restriction to  $\mathrm{Sp}_{4n}(F)$ . We define

$$\phi_f(\beta) = f(1, \beta).$$

We also note that  $\phi_f : \mathrm{Sp}_{4n}(F) \rightarrow \mathbb{C}$  is left  $\mathrm{Sp}_{2n}(F)$ -invariant with respect to the second copy of  $\mathrm{Sp}_{2n}(F)$ . We get that

$$f \mapsto \phi_f$$

is a bijection from  $\text{ind}_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)}^{\text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)} \mathbf{1}$  to  $\text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}$  (we easily get that  $\phi_f$  is smooth and compactly supported modulo the second copy of  $\text{Sp}_{2n}(F)$ ). The action of  $\text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)$  on  $\text{ind}_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)}^{\text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)} \mathbf{1}$  becomes

$$(2.4) \quad R(g_1, g_2)\phi(\beta) = \phi((g_1^t, 1)\beta g_2)$$

on  $\text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}$ . We have proved

PROPOSITION 2.3.  *$R_{\mathcal{H}, \psi}(\omega_{2n, 2n})$  is, as a  $\text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)$  module, isomorphic to  $\text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}$  with the action of  $\text{Sp}_{2n}(F) \times \text{Sp}_{4n}(F)$  given by (2.4).*

Note that the first copy of  $\text{Sp}_{2n}(F)$  acts as the left translation; we denote this action by  $\lambda$ .

Now we want to analyze the biggest quotient of  $\text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}$  on which  $\text{Sp}_{2n}(F)$  (through  $\lambda$ ) acts trivially. To that end, we define

$$S' = \text{span}\{\lambda(g_2)\phi - \phi : g_2 \in \text{Sp}_{2n}(F), \phi \in \text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}\}.$$

Obviously,  $\text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}/S'$  is that quotient; we consider it as a  $\text{Sp}_{4n}(F)$ -module.

THEOREM 2.4. *There is an isomorphism of  $\text{Sp}_{4n}(F)$ -modules:*

$$\text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}/S' \cong \text{ind}_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}.$$

PROOF. We denote

$$T(\phi)(g) = \int_{\text{Sp}_{2n}(F)} \phi((x, 1)g) dx.$$

For  $\phi \in \text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} \mathbf{1}$  the integral on the right hand side converges. Indeed, fix  $g \in \text{Sp}_{4n}(F)$ . We know that there exist a compact set  $C_1 \subset \text{Sp}_{4n}(F)$  such that  $\text{supp}\phi \subset (\{1\} \times \text{Sp}_{2n}(F))C_1$ . Assume that  $\phi((x, 1)g) \neq 0$ , which means that  $(x, 1) \in (\{1\} \times \text{Sp}_{2n}(F))C_1g^{-1}$ . We denote  $C'_1 := C_1g^{-1}$ . Note that  $C'_1 \cap \text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)$  is a compact set in  $\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)$ . We denote by  $p_i$ ,  $i = 1, 2$  the projections from  $\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)$  to the first and the second copy of  $\text{Sp}_{2n}(F)$ . This means that

$$(x, 1) \in (\{1\} \times \text{Sp}_{2n}(F))(p_1(C'_1) \times p_2(C'_1)) = p_1(C'_1) \times \text{Sp}_{2n}(F).$$

This means that  $x \in p_1(C'_1)$ , which is a compact set in (the first copy of)  $\text{Sp}_{2n}(F)$ . Thus,  $x \mapsto \phi((x, 1)g)$  is a smooth function with the compact support in  $\text{Sp}_{2n}(F)$ . Thus,  $T(\phi)$  is well defined function on  $\text{Sp}_{4n}(F)$ . Also, it is smooth. Again, if  $C_1$  denotes the compact set in  $\text{Sp}_{4n}(F)$  related to the support of  $\phi$  as above, then it is easy to see that  $\text{supp}T(\phi) \subset (\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F))C_1$ . Also, it is immediate that the following holds

$$T(\phi)((g_1, g_2)g) = T(\phi)(g), \forall (g_1, g_2) \in \text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F), g \in \text{Sp}_{4n}(F),$$

and

$$T(R(g)\phi) = R(g)T(\phi).$$

Therefore,  $T$  is  $Sp_{4n}(F)$ -intertwining operator between  $\text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} 1$  and  $\text{ind}_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} 1$ . We immediately see that  $T|_{S'} = 0$ .

We now prove the surjectivity of the operator  $T$ . We use ([1], cf. [2], p. 27) to introduce the mapping

$$P_{\delta_1} : C_c^\infty(\text{Sp}_{4n}(F)) \rightarrow \text{ind}_{\text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} 1$$

given by

$$P_{\delta_1}(f)(g) = \int_{\text{Sp}_{2n}(F)} f((1, x)g) dx.$$

It is known that  $P_{\delta_1}$  is surjective ([2], p. 27). Analogously we define a (surjective) mapping

$$P_{\delta_2} : C_c^\infty(\text{Sp}_{4n}(F)) \rightarrow \text{ind}_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} 1$$

given by

$$P_{\delta_2}(f)(g) = \int_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)} f((x, y)g) dx dy.$$

We immediately see that

$$\begin{aligned} (2.5) \quad P_{\delta_2}(f)(g) &= \int_{\text{Sp}_{2n}(F)} P_{\delta_1}(\lambda(x^t)f)(g) dx = \int_{\text{Sp}_{2n}(F)} \lambda(x^t) P_{\delta_1}(f)(g) dx \\ &= T(P_{\delta_1}(f))(g). \end{aligned}$$

Thus,  $P_{\delta_2}(f) = T(P_{\delta_1}(f))$  and  $T$  is surjective.

Now we prove that  $\text{Ker } T = S'$ . Assume that  $\phi \in \text{Ker } T$ . Then, there exists  $f \in C_c^\infty(\text{Sp}_{4n}(F))$  such that  $\phi = P_{\delta_1}(f)$ . Thus,  $T(\phi) = P_{\delta_2}(f) = 0$ . There exist an open compact subgroup  $K$  of  $\text{Sp}_{4n}(F)$ ,  $g_1, \dots, g_m \in \text{Sp}_{4n}(F)$  and  $c_1, \dots, c_m \in \mathbb{C}$  such that

$$f = \sum_{i=1}^m c_i \chi_{Kg_i}.$$

Here we assume that for  $i \neq j$   $Kg_i \cap Kg_j = \emptyset$  and  $\chi_{Kg_i}$  denotes the characteristic function on the right coset  $Kg_i$ . We examine the first equation in (2.5). The integrating function,

$$x \mapsto P_{\delta_1}((x, 1)g) = \sum_{i=1}^m c_i \mu_{\{1\} \times \text{Sp}_{2n}(F)}((x^{-1}, 1)Kg_i g^{-1} \cap \{1\} \times \text{Sp}_{2n}(F))$$

is locally (uniformly) constant. Here  $\mu_{\{1\} \times \text{Sp}_{2n}(F)}$  denotes a Haar measure on  $\{1\} \times \text{Sp}_{2n}(F)$ . Indeed, if we denote by  $K_0 := K \cap \text{Sp}_{2n}(F) \times \{1\}$ , which is

compact and open in  $\mathrm{Sp}_{2n}(F) \times \{1\}$ , we see that the function

$$x \mapsto \sum_{i=1}^m c_i \mu_{\{1\} \times \mathrm{Sp}_{2n}(F)}((x^{-1}, 1) K g_i g^{-1} \cap \{1\} \times \mathrm{Sp}_{2n}(F))$$

is a constant on cosets  $K_0 \backslash \mathrm{Sp}_{2n}(F) \times \{1\}$ . Also, we effectively integrate in (2.5) over a compact set. We integrate over a finite set of different cosets of  $K_0 \backslash \mathrm{Sp}_{2n}(F) \times \{1\}$ . Thus, there exist  $x_1, \dots, x_l \in \mathrm{Sp}_{2n}(F) \times \{1\}$  such that

$$0 = \sum_{j=1}^l \int_{K_0 x_j} (\lambda(x^t) P_{\delta_1}(f))(g) dx = \mu_{\{1\} \times \mathrm{Sp}_{2n}(F)}(K_0) \sum_{j=1}^l P_{\delta_1}(f)((x_j, 1)g),$$

for every  $g \in \mathrm{Sp}_{4n}(F)$ . This means

$$\lambda(x_1^t) P_{\delta_1}(f) = - \sum_{j=2}^l \lambda(x_j^t) P_{\delta_1}(f),$$

so that

$$P_{\delta_1}(f) = - \sum_{j=2}^l \lambda(x_1^{-t} x_j^t) P_{\delta_1}(f).$$

This means

$$P_{\delta_1}(f) = \phi = - \frac{1}{l} \sum_{j=2}^l (\lambda(x_1^{-t} x_j^t) \phi - \phi),$$

and this means that  $\mathrm{Ker} T = S'$ .  $\square$

**2.2. Conclusion.** We continue to assume that  $\pi$  is an irreducible representation of  $\mathrm{O}_{4n}(F)$  with a non-zero Shalika model such that  $\theta(\pi) \neq 0$  is its (irreducible) small theta lift. We thus have

$$\omega_{2n, 2n} \twoheadrightarrow \pi \otimes \theta(\pi),$$

and, since taking a twisted Jacquet module is exact, we have

$$R_{\mathcal{H}, \psi}(\omega_{2n, 2n}) \twoheadrightarrow R_{\mathcal{H}, \psi}(\pi) \otimes \theta(\pi).$$

Since we assumed that  $\pi$  has a non-zero Shalika model, there is a surjective  $\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{4n}(F)$  intertwining

$$R_{\mathcal{H}, \psi}(\omega_{2n, 2n}) \twoheadrightarrow 1_{\mathrm{Sp}_{2n}(F)} \otimes \theta(\pi).$$

From Theorem 2.4 it follows that there is an epimorphism

$$\mathrm{ind}_{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F)}^{\mathrm{Sp}_{4n}(F)} 1 \twoheadrightarrow \theta(\pi).$$

Taking the smooth adjoint of an epimorphism above, we get that

$$\mathrm{Hom}(\widetilde{\theta(\pi)}, \mathrm{Ind}_{\mathrm{Sp}_{2n}(F) \times \mathrm{Sp}_{2n}(F)}^{\mathrm{Sp}_{4n}(F)} 1) \neq 0,$$

since  $\text{ind}_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)}^{\widetilde{\text{Sp}_{4n}(F)}} 1 \cong \text{Ind}_{\text{Sp}_{2n}(F) \times \text{Sp}_{2n}(F)}^{\text{Sp}_{4n}(F)} 1$ . This is equivalent to the fact that the representation  $\theta(\pi)$  of  $\text{Sp}_{4n}(F)$  has a non-zero symplectic linear model. But if  $\theta(\pi)$  has this model, the representation  $\theta(\tau)$  also has it (cf. the proof of Theorem 17 of [7]) and we have proved the following theorem.

**THEOREM 2.5.** *Assume  $\tau$  is an irreducible smooth representation of  $\text{SO}_{4n}(F)$  having a non-zero generalized Shalika model. Then, the irreducible non-zero representation  $\theta'(\tau)$  (the small theta lift of  $\tau$ , as explained in Introduction) has a non-zero symplectic linear model.*

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**Generalizirani Shalikin model na  $SO_{4n}(F)$ , simplektički linearni model na  $Sp_{4n}(F)$  i theta korespodencija**

*Marcela Hanzer*

SAŽETAK. Pokazujemo da ako ireducibilna dopustiva reprezentacija grupe  $SO_{4n}(F)$  ima generalizirani Shalikin model, tada njezin mali theta lift na  $Sp_{4n}(F)$  ima simplektički linearni model i time odgovaramo na pitanje koje je postavio D. Jiang. Ovdje je  $F$  nearhimedsko lokalno polje karakteristike nula.

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