

Global Health Innovation Technology Models

Original Research Article

Kimberly Harding^{1*}

¹ Monarch Innovation Partners, Inc, Rockville, USA

*Corresponding author(s) E-mail: kharding@monarchinnovation.com

Received 18 August 2015; Accepted 08 March 2016

DOI: 10.5772/62921

© 2016 Author(s). Licensee InTech. This is an open access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/3.0>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Abstract

Chronic technology and business process disparities between High Income, Low Middle Income and Low Income (HIC, LMIC, LIC) research collaborators directly prevent the growth of sustainable Global Health innovation for infectious and rare diseases. There is a need for an Open Source-Open Science Architecture Framework to bridge this divide. We are proposing such a framework for consideration by the Global Health community, by utilizing a hybrid approach of integrating agnostic Open Source technology and healthcare interoperability standards and Total Quality Management principles. We will validate this architecture framework through our programme called Project Orchid. Project Orchid is a conceptual Clinical Intelligence Exchange and Virtual Innovation platform utilizing this approach to support clinical innovation efforts for multi-national collaboration that can be locally sustainable for LIC and LMIC research cohorts. The goal is to enable LIC and LMIC research organizations to accelerate their clinical trial process maturity in the field of drug discovery, population health innovation initiatives and public domain knowledge networks. When sponsored, this concept will be tested by 12 confirmed clinical research and public health organizations in six countries. The potential impact of this platform is reduced drug discovery and public health innovation lag time and improved clinical trial interventions, due to reliable clinical intelligence and bio-surveillance across all phases of the clinical innovation process.

Keywords Radiology, Imaging, Global Health, Low Income Countries, Low Middle Income Countries, mHealth, ehealth, Clinical Trials; Drug Discovery, CRO, Infectious Diseases, Rare Diseases, Tropical Diseases, TB, Public Health, Health Information Technology, Health Information Management, FDA, WHO, Open Science, Open Source: Big Data, Clinical, Informatics, Bioinformatics, Epidemiology, Medical Device, Software, Hardware, GCP, ICH, Africa, Tanzania, HIV, Malaria, Clinical Imaging, Nanotechnology, Nanomedicine, Innovation, Commercialization, Regulatory, Standards, Frameworks, Semantics, Syntax, Interoperability, Business Process, Product Development

1. Introduction

1.1 Challenges Faced by Emerging Markets and Developing Countries in Global Health Innovation Efforts

LMICs and LICs have been impacted by chronic disparities in the adoption and advancement of clinical trial and population health innovation efforts, due to conflicting regulatory requirements for Phase I to Phase IV clinical trial models primarily defined in the Western world [1, 2]. An excerpt from the WHO Public Health Innovation report [3] summarizes this current dilemma: "Scientists in developing

countries should be involved in the development of the research protocol from the beginning to ensure that local health needs of developing countries are taken account of. Otherwise, the reality will be that physicians and researchers in developing countries who take part in conducting clinical trials are placed in the role of data collectors for trials designed only to fit the needs of people in the developed world. Measures and policies should be implemented to ensure that these physicians and researchers can design and initiate clinical trials that address health problems in their own countries, rather than fulfil research protocols designed elsewhere."

2. Examples of Impeded Progress in Emerging Markets: India

In the last two decades, India has become one of the most sought-after locations within the cluster of emerging markets for global clinical trials, due to its potential for fast recruitment of patients. However, India's ability to sustain its growth within the drug discovery domain continues to be significantly challenged by both regional and global regulatory changes [4]. According to Dr. Arun Bhatt, President of Clininvent Research Private Limited in Mumbai, India, the clinical trial protocols during this period of growth within India became more complex, demanding and inefficient for both the research teams and patients. Between 1999 and 2005 [4], the average number of inclusion criteria increased threefold. The average number of procedures grew annually by 6.5%, reaching a median number of 35 procedures in 2005. In 2012, a typical phase III protocol included 50 eligibility criteria, 167 procedures and 13 endpoints [4].

3. Gaps in Global Health Innovation

The root causes of these disparities are based on a lack of an agile technology infrastructure and robust ideation processes that can be adapted to their clinical research environments. Fragmented clinical trial processes and infrastructure deficiencies have left many promising research scientists with the inability to fully collaborate with their HIC peers. As a result, these researchers are unable to consistently partner on acute pandemic viral outbreaks, preventive medicine initiatives and new vaccine development that could save millions of lives. The International Council for Harmonisation (ICH) has identified the following unresolved areas of drug discovery innovation [5, 6], shown below in Table 1:

According to ICH, if these barriers are addressed, significant progress in the area of drug discovery and medical innovation can be achieved, resulting in [5, 6] a reduction in the costs of internal failures (rejects, reworks, reprocessing and investigations). This also includes optimized regulations to enable LMICs and LICs to meet drug discovery submission criteria and expedite the availability of medicines to patients. There are clear signs that the Healthcare and Life Science industries are ready to address these barriers, due to [7, 8] a growing demand for more

Unresolved Areas of Drug Discovery within the Global Health Innovation Community

Fragmented approaches to quality systems related to Good Clinical Practices (GCP) internationally
Suboptimal deployment of limited resources to identify, enact or support effective elements of a quality system and continual improvement by both industry and regulatory agencies
Delays may occur in the availability of medicines to patients round the world due to vast disparities in access to robust quality management systems and mature clinical trial practices
Delays in the implementation of innovation and continual improvement of existing products may occur due to differences in expectation across differing regulatory bodies around the world
Inability to implement consistently across stakeholder best practices related to Total Quality Management in other industries, which contributes to a lack of agility and repeatability in the quality of clinical trial practices

Table 1. ICH Barriers to Global Health Innovation

focused research on infectious, rare, poverty-based and tropical diseases worldwide. In addition, there is a re-invigorated global movement towards clinical trial policy harmonization and acceptance of imported, de-identified clinical data sets for cross-trial analysis. Collectively, these changes in the Global Health Innovation community have resulted in the formation of new collaboration frameworks designed to bridge infrastructure and clinical intelligence disparities between HICs and LICs.

4. Pharmaceutical and Medical Innovation Model Approaches

In response to the change in the industry for expanded innovation worldwide, the International Federation of Pharmaceutical Manufacturers and Associations (IFPMA) has developed an innovation framework called the Pharmaceutical Innovation Platform (PIP). The focus of PIP is to provide a framework for a sustainable clinical innovation model, supported by collaborative partnerships, to achieve new heights in drug discoveries and healthcare solutions [9]. An excerpt from its PIP framework emphasizes this point [9]:

"Healthcare, science and medicine challenges are global – all parties need to collaborate to meet these challenges effectively. Innovation is the vital element in this effort: when the public sector, industry, and civil society pull together to promote innovation, public health improves and lives are saved."

The IFPMA continues to state that PIP is not only achievable for industrialized countries, but for developing countries as well. *"Partnerships among established R&D companies, local R&D companies, international organizations, and local governments can be effective ways to harness the expertise of the various partners to find new treatments and cures for diseases which primarily affect poor countries [9]"*.

Tenets of IFPMA PIP Model	Healthcare Innovation and Delivery System Attributes
Successful Healthcare Systems	<ul style="list-style-type: none"> • Efficient medical delivery and distribution systems • Overall healthcare culture and policies promoting innovation • Strong patients' groups • Good access to pharmaceutical information
Efficient Markets	<ul style="list-style-type: none"> • Healthcare expenditure seen as investment, not cost • Realistic assessment of the pharmaceuticals in improving healthcare, including the real value of incremental innovation • Efficient and transparent pricing and reimbursement in the decision-making process • International price variations
Effective Use of Intellectual Property	<ul style="list-style-type: none"> • Effective enforcement of intellectual property rights • Sufficient and respected market exclusivity periods • Prevention of parallel trade
Adequate and Predictable Regulatory Requirements	<ul style="list-style-type: none"> • Stable and predictive regulatory environment • Cooperation between regulators and industry • Swift and transparent drug regulatory approval process • Global harmonization of regulatory requirements • Adjustment of regulatory requirements to advances in science and technology

Table 2. IFPMA PIP Model Attributes

Organization	Purpose of Innovation Model	Principles/Tenets
FDA Collaboration Phase Playbook	The Collaboration Playbook was developed to support the Innovation Pathways programme, sponsored by the FDA, in an attempt to simplify and streamline the way that innovators work with the agency. This collaboration model is used to facilitate a more interactive and fluid product development model during the early stages of clinical innovation between the FDA and innovators. As stated on the FDA website, the guiding principles behind the Collaboration Phase include creating a shared understanding of product success including its benefits and risks, creating solutions that facilitate forward progress, allowing experimentation, prototyping, learning, and striving for greater transparency.	<ul style="list-style-type: none"> • Principle 1: Share an In-Depth, Common Understanding of Success • Principle 2: Apply Best Practices in Framing Benefit and Risk • Principle 3: Create Solutions that Facilitate Forward Progress • Principle 4: Improvise, Experiment, Prototype, Test, and Learn • Principle 5: Full Transparency in Decision Making
WHO Health "3D" Innovation Cycle	The 3D Innovation Cycle represents a schema that applies principally to developed countries and the diseases which predominantly affect them, where effective demand and the population's health needs most closely coincide. For conditions such as cancer and asthma, incremental improvements are commonplace, and companies have a reasonable assurance that healthcare providers and patients will purchase their products. That provides the basic economic and financial incentive for innovation. Whatever the various problems encountered in the innovation cycle, either technical or in terms of the policy framework, it broadly works for the developed world and sustains biomedical innovation directed at the improvement of public health. (Excerpt from the 2006 WHO report Public Health Innovation and Intellectual Property Rights: Report of the Commission on Intellectual Property, Property Rights, Innovation and Public Health.)	<p>The 3D Innovation Cycle is an iterative framework that consists of the following collaborative efforts for clinical innovation:</p> <ul style="list-style-type: none"> • Discovery: <ul style="list-style-type: none"> ◦ Lead Identification/Optimization ◦ Basic Research • Translational Research • Development: <ul style="list-style-type: none"> ◦ New/Improved Tools ◦ Preclinical and Clinical Development • Market Approval and Manufacture • Delivery <ul style="list-style-type: none"> ◦ Getting Products to Patients

Table 3. Summarized Collaborative Innovation Models from FDA and WHO

The IFPMA's PIP model is composed of healthcare delivery characteristics that are necessary for an effective clinical innovation environment to grow locally, nationally and internationally [9]. Table 2 lists the attributes that the IFPMA outlines in its PIP model [9].

The model goes into greater detail regarding the tactical aspects of each of these areas. We saw in our research congruent themes between IFPMA, WHO and FDA in this regard, pertaining to scalable clinical innovation models for LMICs and LICs to use as their strategic compass in navigating towards locally sustainable research efforts. Table 3 is a summary of both the FDA's and WHO's adaptable innovation models.

The model ultimately enables LMIC and LIC innovators to transition from being the primary recipients of innovation, to originators of clinical innovation. It will also take bold and unconventional thinking in order to overcome the socioeconomic and infrastructure barriers that innovators face within these regions of the world. Both WHO and the FDA provided solid narrative guidance on implementation approaches to their models. However, there was limited evidence, beyond their illustrative examples, of the measurable outcomes of the use of these models by LMICs and LICs in our systematic review. This has inspired our thinking as healthcare innovators, to re-architect and integrate best practices from IFPMA, WHO, the FDA, and the new agile Open Source technology and Open Science frameworks, which are gaining greater acceptance as a platform of choice for clinical intelligence communities, as the next generation of collaborative research [10].

5. Next Generation of LMIC and LIC Virtual Collaboration Models

The movement towards Open Source-Open Science collaboration is evident with the new e-health platforms that are taking shape to address these challenges. As the Global Health community enters into a broader range of eHealth adoption efforts, we see pioneering growth and expansion of mHealth (mobile phone technology used for healthcare data exchange and patient engagement) solutions that are taking a progressive approach to enabling more effective patient engagement and peer-to-peer clinical decision support at the point of care. This has also led to supporting new patient engagement research models for public health bio-surveillance and education efforts related to infectious disease prevention and control and medication adherence in LIC and LMIC regions of the world. As a result, some mHealth initiatives have moved the needle with the adoption of new patient engagement efforts, simply due to leveraging this new technology. Yet it is still in its infancy as a stand-alone solution that ignites locally based clinical innovation. The impact and sustainability of clinical innovation powered by mHealth solutions are still uncertain, due to the following factors outlined by WHO in its 2015 guide for mHealth solutions [11], shown in Table 4 below:

WHO Factors that Impede mHealth Adoption

- Conflicting healthcare priorities
- Unsustainable operating costs
- Inability to consistently measure clinical and cost effectiveness
- Lack of harmonized healthcare policy and governance models to support mHealth/telehealth initiatives
- Lack of knowledge concerning the possible application of mHealth and public health outcomes
- Lack of IT infrastructure to support mHealth and telehealth programmes
- Patient literacy, privacy and cultural issues

Table 4. Factors that Prohibit Growth of mHealth Solutions for Global Health Innovation

The goal of an integrated Open Source-Open Science platform is to take mHealth one step further, by enabling re-usable clinical intelligence that can be shared and re-distributed in the context of clinical innovation before, during and after care is delivered. As a result, mHealth thus becomes an essential building block to this framework by providing a timely data feed for the innovation process. When mHealth is coupled with an Open Source-Open Science virtual collaboration environment, it will enable LMIC and LIC research scientists to engage in interactive drug discovery and global knowledge sharing for clinical innovation. As a result, it may reduce drug discovery lag time, by enabling timely collaborative clinical trial data sharing and bio-surveillance intelligence across all phases of drug discovery.

6. Conceptual Open Source-Open Science System Design Approach

Our conceptual Open Source-Open Science model, Project Orchid, incorporates the above requirements, in order to strengthen existing clinical trial partnerships and support new collaborative efforts that have disparate geographic, cultural and regulatory drivers. We have designed an integrated operational governance and clinical innovation engagement platform that can be adapted to the needs of each stakeholder organization. The intent of our model is to illustrate, through a multi-national TB clinical trial cohort, the potential outcomes of using the Open Source-Open Science framework (Table 5).

7. Open Source-Open Science Innovation Framework

Project Orchid consists of two integrated offerings: **An Innovation Engagement Framework** and a technology-enabled **Collaboration Platform** (Figures 1 and 2), designed to address disparate institutional and cultural barriers that impede medical innovation, by providing Clinical Research Organizations, government-sponsored public health and research agencies, academia, non-government/non-profit agencies and pharmaceutical and medical device companies with an interactive drug

Collaborative Drug Discovery Strategy & Outcomes	
Goals	<ul style="list-style-type: none"> • Establish an Open World Clinical Trial Collaboration Metaverse environment to facilitate Toxicity and Efficacy data sharing and Bio-surveillance across Clinical Trial Genomic Avatars • Host a scalable technology platform for Adaptive Clinical trial frameworks
Challenges	<ul style="list-style-type: none"> • Language Barriers • Clinical practice and operational governance differences across countries • Lack of integrated Health Information Exchange Networks and/or gateways outside of native Health IT/ Information Management ecosystem for cross-trial data sharing and analysis • Lack of harmonized Clinical Imaging Trial data management and workflow approaches • Clinical practice and operational differences, which limit innovation opportunities outside of organization
Expected Outcomes:	<ul style="list-style-type: none"> • Enable accelerated data harvesting and optimized bio-surveillance of potential serious adverse events across multiple genomic profiles that meet Phase II/Phase III data monitoring requirements for ICH and FDA investigational new drugs/vaccines • An established Open Science Knowledge Network for cross-trial data analysis within a defined research community
Shared Systems:	<ul style="list-style-type: none"> • Project Orchid Platform • mHealth (mobile telecommunication) Platform
Clinical Trial Quality System Management Regulatory Frameworks:	<ul style="list-style-type: none"> • ICH Technical Specifications for Harmonization • The three National and Regional Clinical Trial Regulatory Frameworks

Table 5. Open Source-Open Science Clinical Trial Platform - Multi-National TB Drug Discovery Strategy

discovery environment and best practices framework, to harmonize their clinical trial and public health programme governance approaches to produce improved research and care delivery outcomes.

8. Open Science Collaboration Maturity Model

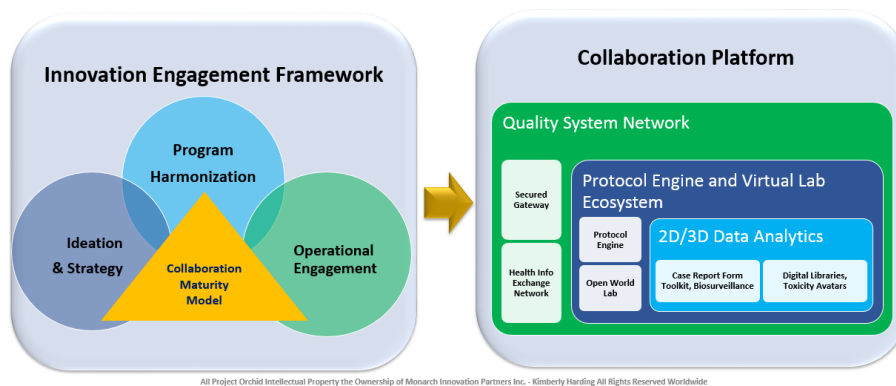
Our proposed **Open Science Collaboration Maturity Model** is used to identify and address current process, resource and competency gaps and disparities in key areas that impede medical innovation across the group of stakeholder organizations. This exercise will enable innovation teams to apply knowledge management, process harmonization and re-engineering techniques and risk mitigation strategies to bridge potential points of failure within the collaboration effort. The model is a process performance hierarchy, designed to address peer-to-peer organizational disruption, due to change manage-

ment stressors that new medical innovation partnerships experience as an outcome of joint programme initiatives with financial and regulatory considerations. The focus of the model is designed for both healthcare solution development (i.e., Population Health initiatives for Public Health programmes) and drug discovery efforts, across national and multi-national engagements. Project Orchid’s Model is based on three integrated aspects of collaboration:

- **Programme and Policy Harmonization:** This consists of addressing regulatory and cross-cultural organizational dynamics, process adoption and motivation models.
- **Ideation and Strategy:** This consists of addressing medical innovation modelling and clinical trial bridging strategies.
- **Operational Engagement:** This consists of facilitating, across the cohorts, the identification of service-level key performance indicators, knowledge management and delivery system optimization efforts after the launch of their medical innovation.

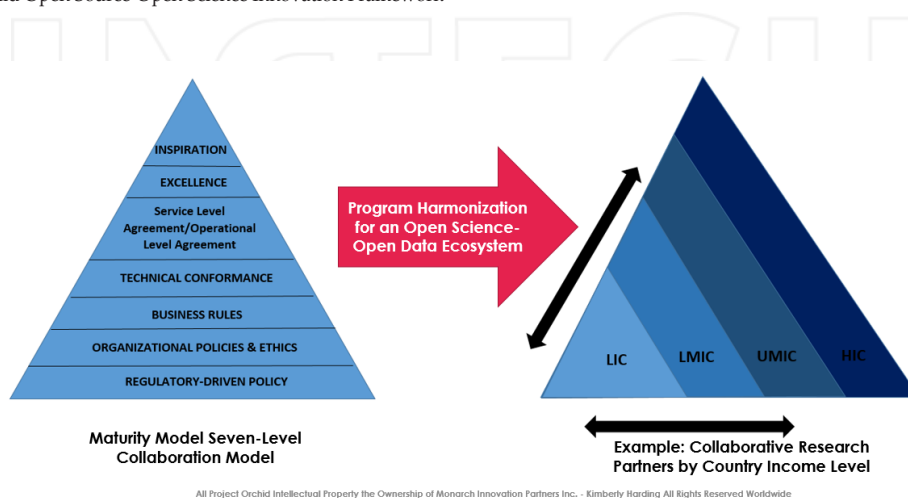
The structure of the model is to encourage transparency and process agility, while leveraging the collective strengths of all stakeholder organizations in order to accelerate opportunities for business development, product innovation and service management in a more fluid manner. This framework aligns to the ICH Quality System Monitoring System Corrective Action/Preventive Action (CA/PA); System Change Management; System Management Review; Knowledge Management and Quality Risk Management [5, 6]. Each level of the maturity model corresponds to a key set of measures and methods that a collaborative partnership focuses on in its efforts to enable sustainable innovation. A facilitated Strengths, Weaknesses, Opportunities and Threats (SWOT) analysis is done across all core stakeholders of the partnership to determine how to address disparities in the “as-is” and “to-be” model for collaboration, followed by a risk mitigation and change management governance model adopted at all levels of the joint venture. The structure of the model is to encourage transparency and process agility, while leveraging the collective strengths of all stakeholder organizations in order to accelerate opportunities for business development, product innovation and service management in a more fluid manner [10-13].

It is recommended that, prior to initiating a formal collaborative partnership, an organization should perform a self-assessment to be fully aware of its SWOT findings and perform an internal cognitive walkthrough, or “what if” scenario with potential partnerships, in preparation for change management stressors or business drivers that may be encountered as part of the harmonization effort for joint ventures [12, 13]. The table below (Table 6) itemizes each level of the maturity model and how it is applied across all three domains of the collaboration framework.



All Project Orchid Intellectual Property the Ownership of Monarch Innovation Partners Inc. - Kimberly Harding All Rights Reserved Worldwide

Figure 1. Project Orchid Open Source-Open Science Innovation Framework



All Project Orchid Intellectual Property the Ownership of Monarch Innovation Partners Inc. - Kimberly Harding All Rights Reserved Worldwide

Figure 2. Project Orchid Collaboration Maturity Model

9. Clinical Trial Data Quality and Regulatory Policy Harmonization

The incremental nature of the Collaboration Maturity Model can be applied when multi-national research teams with LIC and LMIC partners attempt to harmonize the following GCP frameworks and regulatory standards that align to key bioethics and privacy guidelines related to the Clinical Trial Data Management system used in the Life Science industry. They include, at a minimum, the standards listed in Table 7:

10. Open Source-Open Science Solution Architecture and System Design

A proposed Open Source-Open Science Collaboration solution architecture should leverage Open Source technology frameworks for 2D and 3D data visualization and healthcare interoperability standards, such as HL7 Fast Healthcare Interoperability Resource (FHIR), Clinical Data Architecture (CDA) and Digital Imaging and Communication in Medicine (DICOM) standards, which are widely used in electronic medical records, medical devices, picture archiving systems and registries worldwide, to enable system-to-system integration and data exchange across the clinical research and care management continuum [13-20].

Open Source-Open Science platforms, like Project Orchid, will also leverage technology components, such as Linux and Drupal, and long-term scalable telecommunication frameworks, such as Internet2 and Unified Communication protocols, which optimize secured clinical data exchange in low bandwidth regions of the world. The proposed implementation model for Project Orchid is to incorporate these standards (Table 8) within Project Orchid's capabilities as a shared Platform-as-a-Service offering, to enable global pharmaceutical and biotechnology firms and government-based Life Science agencies to bridge the clinical data exchange connectivity divide with their LIC and LMIC pilot sites, which have very limited IT and mHealth infrastructure resources.

11. Unified Communication and Usability Standards

The following table (Table 9) is a partial list of the protocols and standards that will be used in the development of the Unified Communications recommended by Texas A&M Internet2 Technology and Evaluation Center (ITEC).

We will also use the ISO 9241-11 standard to verify the following criteria for user interface design, recommended by Virginia Tech's Advanced Research and Computing, Visionarium Lab: effectiveness, efficiency and satisfaction

Collaboration Level	Measure Attributes for Level	Method for Assessing Harmonization for Level	Application to Collaboration Domains	Timing in Engagement Lifecycle
Policy	Local, Regional, National, International Regulatory Standards and Guidelines	Self-audit and programme-level audit exercises per the specifications of the regulation(s)	<ul style="list-style-type: none"> • Programme and Policy Harmonization • Ideation and Strategy • Operational Engagement 	Recurring, per the specifications of the regulation(s)
Organizational Ethics	Business Value Statements and Public Policy Guidelines	Self-audit and programme-level audit exercises per the specifications of the adopted set of organizational ethics	<ul style="list-style-type: none"> • Programme and Policy Harmonization • Ideation and Strategy • Operational Engagement 	Recurring, per the specifications of the adopted set of organizational ethics
Business Rules	Standard Operating Procedures, Workflows and Protocols	Workflow re-engineering and synchronization across stakeholders, resulting in measurable process optimization	<ul style="list-style-type: none"> • Programme and Policy Harmonization • Operational Engagement 	Key role-based performance efforts across each business domain of the programme
Technology Conformance	Infrastructure, Security and Data Architectures, System-to-System integration and process automation, Inbound and Outbound Connectivity Channels	Vendor attestation for technical conformance via interoperability assessments and the use of Open Source tools	<ul style="list-style-type: none"> • Programme and Policy Harmonization • Ideation and Strategy • Operational Engagement 	Ideation and Strategy, Operational Engagement phases of the initiative
Service Level Agreements/ Operational Level Agreements	Business, Operations and System-level Performance Agreements	Customer engagement key performance indicators (KPIs) and system-level non-functional requirements that directly impact business and system-level operational performance	<ul style="list-style-type: none"> • Operational Engagement 	Project Initiation and revisited on a recurring basis in Operational engagement through service management efforts
Excellence	Continuous improvement targets for business and system-level key performance indicators	Targeted customer engagement KPIs and system-level non-functional requirements that have been designated as market differentiators in overall performance improvement for the initiative	<ul style="list-style-type: none"> • Ideation and Strategy • Operational Engagement 	Project Initiation and revisited on a recurring basis in Operational engagement through service management efforts
Inspiration	The intrinsic reward of achieving the goals of partnership and its impact on the recipients of the product/ service and industry as a whole	Revisiting the mission and vision statements of each stakeholder, highlighting the ideals they promote and incorporating them within the culture of the initiative	<ul style="list-style-type: none"> • Programme and Policy Harmonization • Ideation and Strategy • Operational Engagement 	Throughout the course of the partnership as a method of team building and fostering trust across organizational and geographical boundaries

Table 6. Project Orchid Collaboration Maturity Model Reference Table

(ISO 1998). This will take into account both the visually and hearing impaired as well. The use of these standards will ensure effective adoption across diverse organizational, language and cultural attributes for our proposed multi-national pilot programme.

12. Open Science User Profiles

In order to ensure that each member of the initiative has the appropriate access rights to the Open Source-Open Science Platform, the system will provide role-based capabilities to maintain the data integrity of the system and ensure

alignment to Good Clinical Practices (GCP). Table 10 is a limited representation of a typical user profile configuration that a Clinical Research Organization (CRO) may collaborate with.

13. Implementation Model for an Open Source-Open Science Initiative

In order to illustrate how an Open Source-Open Science platform can be implemented for a viable clinical innovation effort across multi-national stakeholders, Project Orchid has targeted two scenarios: a multi-national Phase

International-Based Clinical Data Quality Standards and Guidelines	US-Based Clinical Quality Data Standards and Guidelines
ICD-10	• Dept. of Health and Human Services Agency for Healthcare, Research and Quality Registry Standards and Guidelines
ICH (International Council for Harmonisation) Guidance Documents for Good Clinical Practices	• Dept. of Health and Human Services FDA Code of Federal Regulations Part II Electronic Signatures and Records
ICH Common Technical Document (CTD)	• Dept. of Health and Human Services FDA Computerized System Used in Clinical Trials - Good Clinical Data Management Practices, version 4
ICH MedDRA	• (CDISC) Clinical Data Interchange Standards Consortium, Operational Data model (ODM)
EU Directive 2001/20/EC	• (CONSORT) Consolidated Standards of Reporting Trials documentation
EU Directive 2005/28/EC	• Dept. of Human Services Health Insurance Portability and Accountability Act (HIPAA) Personal Health Information (PHI) regulations for covered entities and business associates and Federal Patient's Rights policies for Opt-In/Opt-Out Data Sharing events
World Medical Association Declaration of Helsinki	• Society for Clinical Data Management Guidelines for clinical trial quality management systems

Table 7. Project Orchid Clinical Trial and Data Management Standards

Web and Telecom Infrastructure	Data Architecture & Governance Frameworks	Open Source Software Architecture Standards	Healthcare Interoperability and IT Quality Standards	Health Technology Assessment and Service Management Frameworks
<ul style="list-style-type: none"> • Cloud Computing • Wireless Telecom • Web2.0/3D • Open Source Server Solutions • HTML 5 	<ul style="list-style-type: none"> • NIH Common Data Element • NIH - Agency for Healthcare Quality and Research Patient Registry • HealthIT.gov Quality Data Model • Schema-less and Dynamic Data Architecture Frameworks 	<ul style="list-style-type: none"> • Drupal • OpenGL • X3DOM • X3D • The Open Group Architecture Framework v 9.1 	<ul style="list-style-type: none"> • HL7 FHIR, CDA R2 • DICOM for Radiology • IHE Quality Profiles • Health IT.gov S&I Framework • ISO 9241-11 • My Blue Button 	<ul style="list-style-type: none"> • WHO Health Technology Assessment for Medical Devices • European Network for Health Technology Assessment – The HTA Core Model

Table 8. Project Orchid Open Source Technology, Data Architecture and Health Interoperability Frameworks

Standard Unified Communication Protocols	Description
IETF RFC 3261 – Session Initiation Protocol (SIP)	• This is the basis of all current voice and video communications globally. It is used for establishing communications channels. There are at least 40 other RFCs associated with SIP that are used for various aspects of the call setup
IETF RFC 3428 SIMPLE Protocol	• This standard supports Instant Messaging over SIP
IETF RFC 5139 Presence Information Data Format Location Object (PIDF-LO)	• This is the industry standard format for embedding location information into a message
IETF RFC 4566 Session Description Protocol	• This standard allows end users to connect via voice, video, text or other media
OASIS Common Alerting Protocol (CAP)	• This is the standard that allows the transmission of emergency alert mass notifications

Table 9. Project Orchid Unified Communication Protocols

III TB Clinical Trial for Drug Toxicity/Efficacy and Bio-surveillance; and a supporting Open Science Knowledge Network to disseminate clinical intelligence to a specific TB research collaboration community. Our goal is to pilot this concept with our research partners.

14. Multi-National TB Phase II/III Clinical Trial Toxicity and Efficacy 3D Bio-surveillance

The scope of the proof of concept and pilot is to build, test and deploy, in the field, an Open World Clinical Trial Collaboration Metaverse ecosystem between several

central and sub-Saharan African countries, India, and the US, to facilitate a TB Vaccine Toxicity and Efficacy cross-trial data sharing initiative. The technology platform will simulate a vaccine development initiative across these locations with a shared virtual collaboration network that has a 2D/3D Genomic Toxicity and Efficacy Avatar environment, to detect and monitor toxicity and efficacy outcomes of specific formularies in Phase II/III TB clinical trials. The Human Avatar will display growing or diminishing contraindications that are reported via Case Report Forms from the participating researchers, as well as other relevant data feeds, such as laboratory information sys-

Clinical Innovation User Profiles
Principal/Chief Investigator
CRO/Site Level Clinical Investigator
Clinical Trial Quality System Lead/Engineer
Investigational Review Board Member
Data Monitoring Members, Research Librarians and Healthcare Data Stewards
Pharma/Biotech CRO sponsors
Site Level Research Scientists and Epidemiologists
IT and Telecommunication System Administrators
Clinician and Healthcare Workers
Clinical and Public Health Regulatory Grantor Representatives

Table 10. Project Orchid Open Science User Categories

tems, health information systems, or radiological imaging systems, with projected toxicity or efficacy modelled by the current drug formulary under investigation. These results will be viewable on a toxicity and efficacy intelligence dashboard and a three-dimensional heat map of the areas affected on the Avatar. For example, targeted organs, such as the kidneys or liver, can be highlighted and “virtually scanned” for image manipulation and shared across the clinical research community for analysis. We will also include Open Source and DICOM viewers for 2D and 3D radiology images and pathology samples from submitted patient-level data sets for peer-to-peer consultations and retrospective clinical analysis on short- and long-term therapeutic outcomes.

The results will also be fed into a Cross-Trial Drug Toxicity and Efficacy Registry for concurrent and systematic review by regulatory bodies, the sponsoring IRB, and Data Monitoring committees within the research community. The following organizations have confirmed their interest in participating in usability testing and piloting this Project Orchid scenario:

- D-Tree International: An NGO that specializes in Public Health programmes and mHealth solutions in sub-Saharan Africa and India
- Handheld Solutions and Research Labs (HANDSREL): HANDSREL is a company based in Bangalore, India, which develops and provides mobile data collection solutions and services
- ITOCA (Information Training and Outreach Centre for Africa): ITOCA is a South Africa-based NGO training, research and outreach centre for clinical research and academic institutions in Africa and their partners
- Muhimbili University of Health and Allied Sciences, Dar es Salaam, Tanzania
- Central Africa Network on TB, HIV/AIDS and Malaria: A consortium of the University of Tubingen, Germany, and eight clinical research institutions in the Republic of the Congo, Gabon and Cameroon

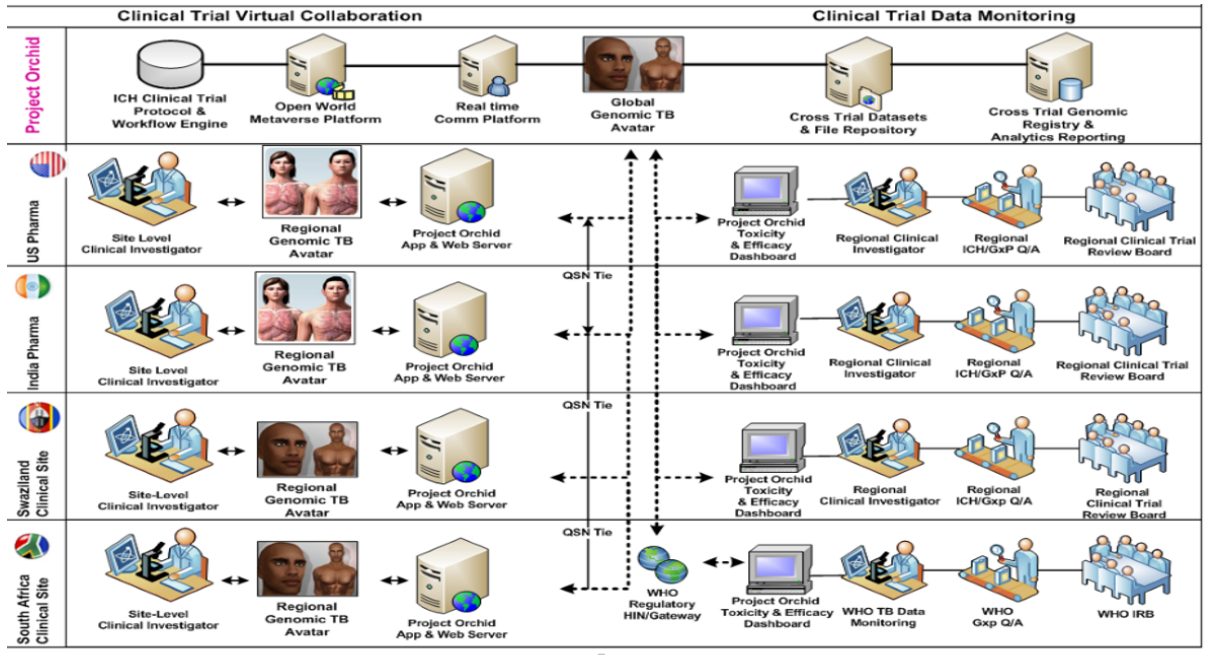
- Programme of Health of Reproduction and Family, an NGO from the Democratic Republic of Congo
- Virginia Tech Advanced Research Computing-Information Technology and Visionarium Lab
- Texas A&M Internet2 Technology Evaluation Center
- University of Maryland College of Information Studies

Below are diagrams (Figures 3 and 4) that illustrate the Project Orchid conceptual collaboration platform.

15. Conclusion

The current solutions in the LIC and LMIC clinical innovation domain are primarily third party vendor systems, which require unsustainable IT infrastructure and software management support, or heavily paper-based processes supplemented with standard IT desktop applications and databases. There are also very limited Open Source-Open Science, health information exchange and mHealth networks that are integrated into multi-national cohorts and these do not address the dynamic clinical trial frameworks used in drug discovery. Collectively, these systems do not enable the robust capabilities needed to sustain near-real time clinical innovation or meet the regulatory standards for compliance to GCP systems for clinical trial data management. As a result, LICs and LMICs cannot transition effectively from manual administrative efforts in order to participate in multi-national clinical trials and public health innovation, which directly impedes their ability to mature as an organization.

As a Global Health community, we must advocate for both agnostic and agile technology architecture frameworks that enable technical, syntactical and semantic interoperability and business process harmonization across LIC and LMIC cohorts and their MIC and HIC stakeholders, for true clinical innovation progress worldwide. Open Source and Open Science Innovation frameworks are leading this incremental transformation in our Life Science domain. This movement has become our next wave of healthcare



(Countries in illustration do not represent current partners)

Figure 3. Project Orchid Clinical Trial Innovation Platform for Infectious Disease Drug Discovery

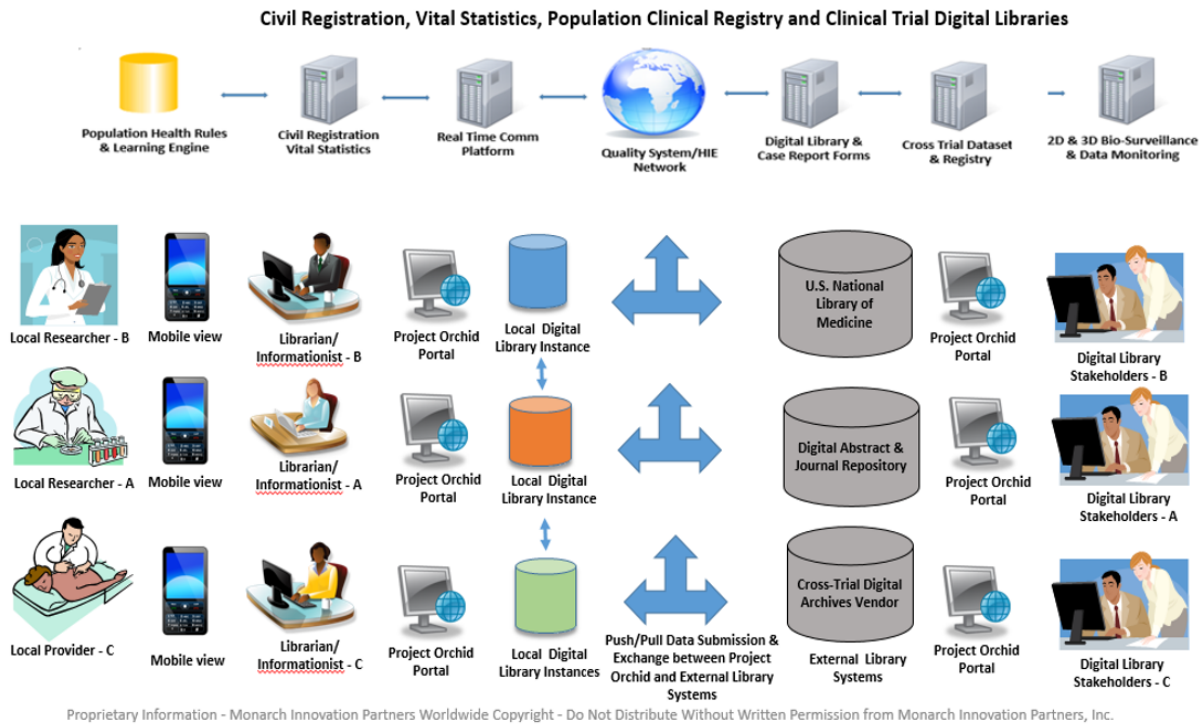


Figure 4. Project Orchid Open Science Digital Library and Knowledge Network

modernization and disruption, in bridging the digital divide within our Global Health community.

This is also driving the development of new health IT solutions around the world. From our observation and implementation experience, the most effective disruptive

technology solutions within the Global Health domain for clinical innovation will need to provide scalable Open Science-Open Source life science solutions, specifically designed and priced to meet the data sharing challenges that LIC and LMIC clinical research and public health organizations face in clinical trial and medication innova-

tion efforts in a global market. This entails developing reusable and extensible technology that can integrate with local and international health information exchange networks that support data liquidity between proprietary and Open Source electronic medical record systems, laboratory and radiology systems and mHealth platforms, which are on a par with MICs and HICs. In addition, they will need to provide virtual Open Science collaboration environments, which enable access to near-real time clinical intelligence, science breakthroughs and new drug discovery partnerships that can accelerate the business development capabilities of LMICs and LICs in the Life Science industry. An example of this is the 2D/3D Open World Metaverse and Digital Library we are developing within our proof of concept.

The opportunity for innovation empowerment is within reach for emerging markets and LMICs, when global ingenuity meets Open Source-Open Science technology.

16. Conflict of Interest

The author declare no conflicts of interest.

17. References

- [1] WHO 2012 - Management of patient information: trends and challenges in Member States: based on findings of the second global survey on eHealth, ehealth series v6 [Internet]. 2012. Available from: http://apps.who.int/iris/bitstream/10665/76794/1/9789241504645_eng.pdf [Accessed 2015-05-28]
- [2] WHO Health Statistics 2014 [Internet]. 2014. Available from: http://apps.who.int/iris/bitstream/10665/112738/1/9789240692671_eng.pdf [Accessed 2015-05-28]
- [3] WHO Report of the Commission on Intellectual Property Rights, Innovation and Public Health, 2006 [Internet]. 2006. Available from: <http://www.who.int/intellectualproperty/documents/thereport/CIPHRReport23032006.pdf> [Accessed 2015-05-28]
- [4] Perspectives in Clinical Research | July-September 2014 | Vol 5 | Issue 3 [Internet]. Available from: <http://www.picronline.org> on Friday, July 11, 2014, IP: 73.180.207.142
- [5] ICH Final Concept Paper Q10: Pharmaceutical Quality Systems [Internet]. 2008. Available from: <http://www.ich.org/products/guidelines/quality/article/quality-guidelines.html> [Accessed 2015-05-28]
- [6] ICH Q12: Technical and Regulatory Considerations for Pharmaceutical Product Lifecycle Management [Internet]. 2014. Available from: <http://www.ich.org/products/guidelines/quality/article/quality-guidelines.html> [Accessed 2015-05-28]
- [7] FDA Perspective on International Clinical Trials, Office of Compliance, CDER, Kassa Ayalew, M.D., M.P.H., December 12, 2013 [Internet]. 2013. Available from: <http://www.fda.gov/downloads/Training/ClinicalInvestigatorTrainingCourse/UCM378499.pdf> [Accessed 2015-05-28]
- [8] Trends, Charts, and Maps [Internet]. Available from: <https://clinicaltrials.gov/ct2/resources/trends> [Accessed 2015-05-28]
- [9] International Federation of Pharmaceutical Manufacturers and Associations (IFPMA), The Pharmaceutical Innovation Platform, Sustaining Better Health for Patients Worldwide, IFPMA October 2004 [Internet]. 2004. Available from: http://www.who.int/intellectualproperty/Pharmaceutical_innovation.pdf [Accessed 2015-05-28]
- [10] ASQ – Lean Six Sigma in Healthcare [Internet]. 2015. Available from: <http://asq.org/healthcaresixsigma/lean-six-sigma.html> [Accessed 2015-05-28]
- [11] WHO A practical guide for engaging with mobile operators in mHealth for reproductive, maternal, newborn and child health, 2015 [Internet]. Available from: <http://www.who.int/reproductivehealth/publications/mhealth/mobile-operators-mhealth/en/> [Accessed 2015-05-28]
- [12] EUnetHTA Joint Action 2, Work Package 8. HTA Core Model version 2.0 2013. Pdf. Available from: <http://www.corehta.info/BrowseModel.aspx>. [Accessed 2015-05-28]
- [13] Health Technology Assessment of Medical Devices. (WHO Medical Device Technical Series) [Internet]. 2011. Available from: <http://apps.who.int/medicinedocs/en/d/Js21560en/> [Accessed 2015-05-28]
- [14] HealthIT.gov Interoperability [Internet]. 2015. Available from: <http://www.healthit.gov/policy-researchers-implementers/interoperability> [Accessed 2015-05-28]
- [15] HL7 Standards Master Grid [Internet]. 2015. Available from: http://www.hl7.org/implement/standards/product_matrix.cfm?ref=nav [Accessed 2015-05-28]
- [16] TOGAF (The Open Group Architecture Framework) 9.1 Enterprise Edition [Internet]. 2011. Available from: <https://www.opengroup.org/architecture/togaf9/downloads.htm> [Accessed 2015-05-28]
- [17] Platform as a Service (Paas) (Public, Private and Hybrid) Cloud Market – Global Industry Analysis, Size, Share, Growth, Trends and Forecast 2014 – 2020 [Internet]. 2015. Available from: <http://www.thestreet.com/story/12999830/1/global-platform-as-a-service-paas-public-private-and-hybrid-cloud-market--trends-and-forecast-2014--2020.html> [Accessed 2015-05-28]

- [18] What is X3d? [Internet]. 2015. Available from: <http://www.web3d.org/x3d/what-x3d> [Accessed 2015-05-28]
- [19] Accelerating Genomic Research with Advanced Networking Collaborations [Internet]. 2015. Available from: <http://www.internet2.edu/research-solutions/case-studies/accelerating-genomic-research-advanced-networking-collaborations/> [Accessed 2015-05-28]
- [20] ACUTA – The Association for College and University Technology Advancement DAS Architecture Specifications Task Force Report [Internet]. Available from: https://www.acuta.org/ACUTA/Member_Services/ACUTA_DAS_Architectural_Specifications_Task_Force_Report/ACUTA/MemberServices/ACUTA_DAS_Architectural_Specifications_Task_Force_Report.aspx?hkey=1b4d2797-c577-4166-ab62-d3ebb28a7bce [Accessed 2015-05-28]

INTECH

INTECH