THE SHAPES IN A CONCRETE CATEGORY

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ABSTRACT. We show under what conditions, and how, one can obtain a shape theory (various shape theories) in a concrete category. The technique is, roughly speaking, reduced to the quotients by congruences providing the objects of lower cardinalities. The application yields the new (coarser) classifications in every concrete category which admits sufficiently many non-trivial quotients. Thus, the ordering, (ultra)pseudometric, uniform and topological structures, as well as many algebraic and mixed (multi-) structures, give rise to interesting results.

1. INTRODUCTION

Although founded purely categorically (after Borsuk's "geometric" approach for compact subsets of the Hilbert cube ([1, 2, 3]), a shape theory is, mostly, well known only as *the* shape theory of topological spaces with respect to spaces having homotopy types of polyhedra ([7, 8, 13]). The generalizations founded in [11] and [18] are, primarily, also on that line. (An exception to that kind could be [4], where the shape is treated in somewhat more general categorical frame.) Briefly, the well-studied shape theories are appropriate operable extensions of the homotopy theory to all (i.e., locally bad) spaces. They share many useful invariants with the standard homotopy and bring some new ones ([13, Chapter II], [18, Section 9]).

The key notion in a shape theory is that of expansion ([13, I. 2]). The idea is, as in many other theories, to approximate an object with the "nice" ones. In some cases the inverse limit approach is satisfactory. However, an inverse

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²⁵⁵

limit ([9, VIII. 2, 3], [13, I. 2]) is only accidentally an expansion. Namely, although both are pro-morphisms ([13, I. 1]) from an object to an associated inverse system, the corresponding universal properties are quite different:

In order to establish the shape theory of topological spaces, the main problem was to prove the existence of polyhedral (ANR-) expansions of noncompact spaces. It was solved by proving the existence of the associated inverse systems called resolutions (and by constructing them), which then are transferred into the homotopy category.

In our approach, for any concrete category \mathcal{C} , we firstly propose a subclass of "nice" objects depending on a given cardinal. More precisely, given an infinite cardinal κ , we choose the full subcategory \mathcal{C}_{κ^-} (\mathcal{C}_{κ}) of \mathcal{C} determined by all the objects having cardinalities less than (less or equal to) κ . Then we used to say that the objects of \mathcal{C}_{κ^-} (\mathcal{C}_{κ}) are nice comparing to those of $\mathcal{C} \setminus \mathcal{C}_{\kappa^-}$ ($\mathcal{C} \setminus \mathcal{C}_{\kappa}$). Further, we show that every object X admits the *canonical* \mathcal{C}_{κ^-} expansion (\mathcal{C}_{κ} -expansion) via the appropriate quotient objects and quotient morphisms by congruences on X, denoted by (the notations for objects and morphisms of \mathcal{C} or *pro*- \mathcal{C} are those of [13]):

$$\boldsymbol{p}_{\kappa^{-}} = (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa^{-}} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^{-}})$$
$$(\boldsymbol{p}_{\kappa} = (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa})).$$

Clearly, in the case of $\kappa > |X| (\kappa \ge |X|)$, the inverse system $X_{\kappa^-} (X_{\kappa})$ has the maximal term, and thus, it is " κ^- -stable" (" κ -stable"), i.e., isomorphic in pro- \mathcal{C}_{κ^-} (pro- \mathcal{C}_{κ}) to the rudimentary (constant) system [X]. If one wants more special expansions, it has to choose a special subcategory $\mathcal{D} \subseteq \mathcal{C}$ and make the expansions in \mathcal{D}_{κ^-} and \mathcal{D}_{κ} (if they exist!). After this, the construction of the corresponding shape categories $Sh(\mathcal{C}, \mathcal{C}_{\kappa^-}) \equiv Sh_{\kappa^-}(\mathcal{C}), Sh(\mathcal{C}, \mathcal{C}_{\kappa}) \equiv Sh_{\kappa}(\mathcal{C})$ and functors S_{κ^-}, S_{κ} as well as of the relating functors $S_{\kappa^-\kappa}, S_{\kappa\kappa'}, \kappa < \kappa'$, follows the general procedure. In the case of κ^- for $\kappa = \aleph_0$, we speak about finite shape and denote it by Sh_0 .

Figuratively speaking, the quotient shapes of an object are (changeable) "pictures of that object viewing it from outside at different distances". Really, if one wants to estimate ("roughly") a large object in whole and get its global picture, he has to stay outside or to move far enough from the object. Of course, in that case one cannot see a fine (local) structure, and ignores it purposely. Since this kind of shape obviously depends on a given "distance", one, firstly, has to define and fix a distance ("view point for observing"), and, secondly, to propose the "referent" ("nice") objects for the chosen distance, in order to "recognize" ("compare") the shape of a considered object with respect to nice objects at that distance. In our approach, the distance is defined by means of cardinality such that a larger (infinite) cardinal means the smaller distance, while the nice objects are those of lower cardinalities. Thus, the largest distance (the "coarsest" κ^{-} -shape) corresponds to $\kappa = \aleph_0$. The minimal nontrivial distance (the "finest" nontrivial κ^{-} -shape) corresponds to cardinality κ of the object Clearly, if an object has cardinality κ , then, for every $\kappa' \geq \kappa$, its κ' -shape class becomes the category isomorphism class because, in that case, one is "in" the object.

Some interesting concrete categories \mathcal{C} for considering the quotient shapes are as follows:

Set - sets and functions;

Ordg - partially ordered sets and genuine order-preserving functions;

- (U)PMet (ultra)pseudometric spaces with various kinds of morphisms;
- Top topological spaces and mappings (and many of its subcategories); Unf - uniform spaces and uniformly continuous functions;
- Mon monoids and their homomorphisms;
- Grp groups and homomorphisms;

TGrp - topological groups and continuous homomorphisms;

- *Ring* rings and ring homomorphisms;
- *R-Mod* (and *Mod-R*) left (and right) *R*-modules and *R*-module homomorphisms, as well as many of its important subcategories;

 $Vect_F$ - vectorial spaces (over a fixed field F) and their linear functions.

In each of them, except Set and $Vect_F$ (with the algebraic structure only), we have found examples which show that the quotient shape classifications are strictly coarser than the classification by isomorphisms.

Finally, the paper is written as a program (bringing only the main constructions and motivating examples in a few fairly known concrete categories), and the author expects an appropriate response of the specialists in the various concrete categories.

2. Preliminaries

Let S denote the class of all sets. Recall ([10], III. 2) that a *concrete* category, denoted by C, is a triple (\mathcal{O}, U , hom), where

- (i) \mathcal{O} is a class whose members are called \mathcal{C} -objects;
- (ii) $U: \mathcal{O} \to \mathcal{S}$ is a set-valued function, where, for each \mathcal{C} -object X, U(X) is called the *underlying set of* X;
- (iii) hom: $\mathcal{O} \times \mathcal{O} \to \mathcal{S}$ is a set-valued function, where, for each ordered pair (X, Y) of \mathcal{C} -objects, hom(X, Y) is called the set of all \mathcal{C} -morphisms with domain X and codomain Y;

such the following conditions are satisfied:

- (1) for each ordered pair (X, Y) of C-objects, hom(X, Y) is a subset of the set $U(Y)^{U(X)}$ of all functions from U(X) to U(Y);
- (2) for each C-object X, the identity function $1_{U(X)}$ on the set U(X) is a member of hom(X, X);
- (3) for each triple (X, Y, Z) of \mathcal{C} -objects, $f \in \hom(X, Y)$ and $g \in \hom(Y, Z)$ implies that the function composite $gf \in \hom(X, Z)$.

For instance, Set (the category of all sets and functions), Grp (the category of all groups and homomorphisms), Top (the category of all topological spaces and mappings - continuous functions) are concrete categories, while HTop(the category of all topological spaces and homotopy classes of mappings) is not a concrete category (proven by P. Freyd; see [10], III.4.7, p. 25). Roughly speaking, a concrete category C have the objects (X, σ) , where X is a set and σ is a structure, and the morphisms $f : (X, \sigma) \to (Y, \tau)$, where f is a function of X to Y which preserves the structures by a given rule. Further, the function U turns into so called forgetful functor $U : C \to Set$, $U(X, \sigma) = X$ and U(f) = f, that is faithful.

One usually denotes \mathcal{O} by $Ob(\mathcal{C})$ and hom(X, Y) by $\mathcal{C}(X, Y)$, while the union of all hom(X, Y) of \mathcal{C} one denotes by $Mor(\mathcal{C})$. Further, an element $f \in \mathcal{C}(X, Y)$ one often writes down as $f : X \to Y$.

According to [10], a congruence (or natural equivalence relation) on a category \mathcal{C} (not necessarily concrete) is an equivalence relation ~ on the class $Mor(\mathcal{C})$ satisfying the next two conditions:

- (a) every equivalence class by \sim is contained in a unique $\mathcal{C}(X, Y)$;
- (b) if $f \sim f'$ and $g \sim g'$, then $gf \sim g'f'$, whenever the compositions are meaningful.

A natural equivalence relation \sim on \mathcal{C} makes the composition of equivalence classes possible by putting [g][f] = [gf]. Consequently, there exists the category having the object class $Ob(\mathcal{C})$, while the morphisms are the equivalence classes of those from \mathcal{C} by \sim . It is called the *quotient category* of \mathcal{C} with respect to \sim , and is denoted by \mathcal{C}/\sim .

We assume that the notion of a *functor* of a category \mathcal{C} to a category \mathcal{D} , $F: \mathcal{C} \to \mathcal{D}$, is well known.

Further, we recall some basic notions and facts about a pro-category, as well as about an expansion and shape, by following [13]. A preordered set is an ordered pair (Λ, \leq) consisting of a set Λ and a preordering \leq (reflexive and transitive). A preordered set (Λ, \leq) is said to be *directed* provided

$$(\forall \lambda, \lambda' \in \Lambda) (\exists \lambda'' \in \Lambda) \lambda, \lambda' \le \lambda''.$$

Let \mathcal{C} be a category. An *inverse system*, denoted by \boldsymbol{X} , in the category \mathcal{C} is a triple $((X_{\lambda}), (p_{\lambda\lambda'}), (\Lambda, \leq))$, abbreviated to $(X_{\lambda}, p_{\lambda\lambda'}, \Lambda)$, where

- (Λ, \leq) is a directed set, called the *index set*;
- for each $\lambda \in \Lambda$, X_{λ} is a *C*-object, called the *term*;
- for every related pair $\lambda \leq \lambda'$ in Λ , $p_{\lambda\lambda'} : X_{\lambda'} \to X_{\lambda}$ is a \mathcal{C} -morphism, called the *bonding morphism*, such that $p_{\lambda\lambda} = 1_{X_{\lambda}} (\equiv 1_{\lambda})$ and $p_{\lambda\lambda'}p_{\lambda'\lambda''} = p_{\lambda\lambda''}$ whenever $\lambda \leq \lambda' \leq \lambda''$.

In the case of $(\Lambda, \leq) = (\mathbb{N}, \leq)$ (the natural numbers), an inverse system $\mathbf{X} = (X_i, p_{ii'}, \mathbb{N})$ is called an *inverse sequence*. An inverse system indexed by a singleton is called a *rudimentary* (or *constant*) system and is denoted by

 $\lfloor X \rfloor$ where X is the only term. We shall often abbreviate (not identify; see [17], Section 2) |X| to X.

A morphism of inverse systems, denoted by

$$(f_{\mu}, \phi) : \mathbf{X} \to \mathbf{Y} = (Y_{\mu}, q_{\mu\mu'}, M),$$

consists of a function $\phi: M \to \Lambda$ and of \mathcal{C} -morphisms $f_{\mu}: X_{\phi(\mu)} \to Y_{\mu}$, for each $\mu \in M$, such that

$$(\forall \mu \le \mu')(\exists \lambda \ge \phi(\mu), \phi(\mu')) \qquad f_{\mu} p_{\phi(\mu)\lambda} = q_{\mu\mu'} f_{\mu'} p_{\phi(\mu')\lambda}$$

The composition of morphisms of inverse systems, $(f_{\mu}, \phi) : \mathbf{X} \to \mathbf{Y}$ and $(g_{\nu}, \psi) : \mathbf{Y} \to \mathbf{Z} = (Z_{\nu}, r_{\nu\nu'}, N)$, is well defined by putting

$$(g_{\nu},\psi)(f_{\mu},\phi) = (h_{\nu},\chi): \boldsymbol{X} \to \boldsymbol{Z},$$

where

$$\chi = \phi \psi : N \to \Lambda$$
 and $h_{\nu} = g_{\nu} f_{\psi(\nu)} : X_{\chi(\nu)} \to Z_{\nu}.$

The composition is associative, and the identity morphism on an inverse system X is $(1_{\lambda}, 1_{\Lambda}) : X \to X$. In this way is constructed a certain category, denoted by *inv-C*, which is called the *inv-category* of the category C. Further, on each morphism set *inv-C*(X, Y), an equivalence relation \sim is defined as follows:

$$(f_{\mu},\phi) \sim (f'_{\mu},\phi') \Leftrightarrow ((\forall \mu \in M)(\exists \lambda \ge \phi(\mu),\phi'(\mu))f_{\mu}p_{\phi(\mu)\lambda} = f'_{\mu}p_{\phi'(\mu)\lambda}).$$

It is a natural equivalence relation on *inv-C*. The corresponding quotient category $(inv-C)/\sim$ is denoted by *pro-C* and is called the *pro-category* of the category C.

There exists a functor from \mathcal{C} to $pro-\mathcal{C}$ determined by

(

$$f: X \to Y) \mapsto (\lfloor f \rfloor : \lfloor X \rfloor \to \lfloor Y \rfloor)$$

(the rudimentary embedding). Although the brackets " $\lfloor \cdot \rfloor$ " are often dropped, one must not assume that C is a subcategory of *pro-C* (see [17], Section 2).

Let $X \in Ob(\mathcal{C})$, let $X \in Ob(pro-\mathcal{C})$ and let $p: \lfloor X \rfloor \to X$ be a morphism of pro- \mathcal{C} . Then, p is said to be an *inverse limit* of X (in the category \mathcal{C}) if, for every morphism $f: \lfloor Y \rfloor \to X$ (of pro- \mathcal{C}), there exists a unique morphism $f: Y \to X$ (of \mathcal{C}) such that $p \lfloor f \rfloor = f$. Further, given a full subcategory $\mathcal{D} \subseteq \mathcal{C}$, p is said to be a \mathcal{C} -expansion of X with respect to \mathcal{D} if, for every $Y \in Ob(pro-\mathcal{D})$ and every $f: \lfloor X \rfloor \to Y$ (of pro- \mathcal{C})), there exists a unique morphism $g: X \to Y$ (of pro- \mathcal{C}) satisfying gp = f. If, in addition, $X \in$ $Ob(pro-\mathcal{D})$, then p is, for short, said to be a \mathcal{D} -expansion of X (with respect to \mathcal{D} itself). In that case, obviously, g belongs to pro- \mathcal{D} . The following two conditions characterize a \mathcal{C} -expansion (\mathcal{D} -expansion) $p: \lfloor X \rfloor \to X$:

- (E1) $(\forall P \in Ob(\mathcal{D}))(\forall h : X \to P \text{ of } \mathcal{C})(\exists \lambda \in \Lambda)(\exists f : X_{\lambda} \to P \text{ of } \mathcal{C} \text{ (of } \mathcal{D}))$ $fp_{\lambda} = h;$
- (E2) $(\forall f, f' : X_{\lambda} \to P \text{ of } \mathcal{C} \text{ (of } \mathcal{D})) (fp_{\lambda} = f'p_{\lambda} \Rightarrow ((\exists \lambda' \ge \lambda) fp_{\lambda\lambda'} = f'p_{\lambda\lambda'}).$

Every two \mathcal{D} -expansions of the same \mathcal{C} -object are naturally isomorphic (as objects of *pro-D*). If every \mathcal{C} -object X admits a \mathcal{D} -expansion $\boldsymbol{p} : \lfloor X \rfloor \to \boldsymbol{X}$, then \mathcal{D} is said to be *dense* in \mathcal{C} . Since [15, Section 3.3], the term "dense" may be replaced by the equivalent (more categorical) term πpro -reflective. We shall use it in the sequel.

Given a category pair $(\mathcal{C}, \mathcal{D})$ such that $\mathcal{D} \subseteq \mathcal{C}$ is pro-reflective, \mathcal{D} expansions $\boldsymbol{p} : \lfloor X \rfloor \to \boldsymbol{X}, \, \boldsymbol{p}' : \lfloor X \rfloor \to \boldsymbol{X}', \, \boldsymbol{q} : \lfloor Y \rfloor \to \boldsymbol{Y}, \, \boldsymbol{q}' : \lfloor Y \rfloor \to \boldsymbol{Y}'$, and
morphisms $\boldsymbol{f} : \boldsymbol{X} \to \boldsymbol{Y}, \, \boldsymbol{f}' : \boldsymbol{X}' \to \boldsymbol{Y}'$, let us consider the following diagram
(in *pro-* \mathcal{D}):

$$egin{array}{ccccc} X & \stackrel{i}{
ightarrow} & X' \ f \downarrow & & \downarrow f' \ Y & \stackrel{i}{
ightarrow} & Y' \end{array},
onumber$$

where i and j are the natural isomorphisms. We say that f is equivalent to f', $f \sim f'$, provided the above diagram commutes. The relation \sim is a natural equivalence relation on pro- \mathcal{D} , and the equivalence class of an f is denoted by $\langle f \rangle$. Now the shape category for $(\mathcal{C}, \mathcal{D})$, denoted by $Sh_{(\mathcal{C}, \mathcal{D})}$, is defined as follows:

$$Ob(Sh_{(\mathcal{C},\mathcal{D})}) = Ob(\mathcal{C});$$

$$Sh_{(\mathcal{C},\mathcal{D})}(X,Y) \approx (pro-\mathcal{D})(\boldsymbol{X},\boldsymbol{Y})$$

where X, Y is any pair of the appropriate \mathcal{D} -expansions, i.e., a shape morphism $F: X \to Y$ is the equivalence class $\langle f \rangle$ of a morphism $f \in (pro-\mathcal{D})(X, Y)$. Thus, such an F is represented by a diagram

The composition is well defined by means of any pair of the representatives, and the identity 1_X is defined by any $\mathbf{1}_X$. Given $X, Y \in Ob(\mathcal{C})$, we say that X and Y have the same shape (type), Sh(X) = Sh(Y), provided they are isomorphic objects in $Sh_{(\mathcal{C},\mathcal{D})}$. There also exists a functor $S : \mathcal{C} \to Sh_{(\mathcal{C},\mathcal{D})}$, called the shape functor, defined by S(X) = X and S(f) = F, where $F = \langle \mathbf{f} \rangle$ and \mathbf{f} is induced by f ([13, I.2.3]).

Finally, all necessary facts concerning ordinals and cardinals one can find in [5], Chapter II. In addition, one can straightforwardly prove that, for every *infinite* set X ($|X| \ge \aleph_0$) and every cardinal κ , the following facts hold true:

- (e) if $\kappa < |X|$, then the set of all subsets $A \subseteq X$ having cardinality $|A| = \kappa$ has cardinality |X|;
- (f) consequently, the set of all subsets $A \subseteq X$ having cardinalities |A| < |X| has cardinality |X|;
- (g) the set of all subsets $A \subseteq X$ having cardinality |A| = |X| has cardinality $|2^X| = 2^{|X|}$.

3. The shapes in a concrete category - generally

Concerning a shape of objects, in general, one has to decide which ones are "nice" absolutely and/or relatively (with respect to a chosen one). In this approach, the main principle is:

In general, an object is "nice" if it is a quotient object belonging to a special full subcategory and if it (its "basis") has cardinality less than (less or equal to) a given infinite cardinal.

Given a category pair $(\mathcal{C}, \mathcal{D})$, where $\mathcal{D} \subseteq \mathcal{C}$ is full, and a cardinal κ , let \mathcal{D}_{κ^-} (\mathcal{D}_{κ}) denote the full subcategory of \mathcal{D} determined by all the objects having cardinalities or, in some special cases, the cardinalities of "bases" less than (less or equal to) κ .

By following the principle, let $(\mathcal{C}, \mathcal{D}_{\kappa^-})$ $((\mathcal{C}, \mathcal{D}_{\kappa}))$ be such a pair of concrete categories. If

- (a) every \mathcal{C} -object (X, σ) admits a directed set $R(X, \sigma, \kappa^{-}) \equiv \Lambda_{\kappa^{-}}$ $(R(X, \sigma, \kappa) \equiv \Lambda_{\kappa})$ of equivalence relations λ on X such that each quotient object $(X/\lambda, \sigma_{\lambda})$ has to belong to $\mathcal{D}_{\kappa^{-}}$ (\mathcal{D}_{κ}) , while each quotient morphism $p_{\lambda} : (X, \sigma) \to (X/\lambda, \sigma_{\lambda})$ has to belong to \mathcal{C} ;
- (b) the induced morphisms between quotient objects belong to \mathcal{D}_{κ^-} (\mathcal{D}_{κ});
- (c) every morphism $f : (X, \sigma) \to (Y, \tau)$ of \mathcal{C} , having the codomain in \mathcal{D}_{κ^-} (\mathcal{D}_{κ}) , factorizes uniquely through a quotient $p_{\lambda} : (X, \sigma) \to (X/\lambda, \sigma_{\lambda})$, $f = gp_{\lambda}$, with g belonging to \mathcal{D}_{κ^-} (\mathcal{D}_{κ}) ,

then \mathcal{D}_{κ^-} (\mathcal{D}_{κ}) is a pro-reflective subcategory of \mathcal{C} . Consequently, there exist a (nontrivial) "quotient" shape category $Sh_{(\mathcal{C},\mathcal{D}_{\kappa^-})} \equiv Sh_{\mathcal{D}_{\kappa^-}}(\mathcal{C}) \equiv Sh_{\kappa^-}(Sh_{(\mathcal{C},\mathcal{D}_{\kappa})} \equiv Sh_{\mathcal{D}_{\kappa}}(\mathcal{C}) \equiv Sh_{\kappa})$ obtained by the general construction described in Section 2.

Therefore, a κ^- -shape morphism $F_{\kappa^-}: (X, \sigma) \to (Y, \tau)$ is represented by a diagram (in *pro-C*)

$$\begin{array}{cccc} (\boldsymbol{X}, \boldsymbol{\sigma})_{\kappa^{-}} & \stackrel{p_{\kappa^{-}}}{\leftarrow} & (X, \sigma) \\ \boldsymbol{f}_{\kappa^{-}} \downarrow & & \\ (\boldsymbol{Y}, \boldsymbol{\tau})_{\kappa^{-}} & \stackrel{q_{\kappa^{-}}}{\leftarrow} & (Y, \tau) \end{array}$$

(with p_{κ^-} and q_{κ^-} - a pair of appropriate expansions), and similarly for a κ -shape morphism $F_{\kappa} : (X, \sigma) \to (Y, \tau)$. Since all \mathcal{D}_{κ^-} -expansions (\mathcal{D}_{κ^-} expansions) of a \mathcal{C} -object are mutually isomorphic objects of $pro-\mathcal{D}_{\kappa^-}$ (pro- \mathcal{D}_{κ}), the composition and identities follow straightforwardly (observe that every quotient morphism p_{λ} is an effective epimorphism ($U(p_{\lambda})$) is a surjection), and thus condition (E2) follows trivially).

The corresponding "quotient" shape functors $S_{\kappa^-} : \mathcal{C} \to Sh_{(\mathcal{C}, \mathcal{D}_{\kappa^-})}$ and $S_{\kappa} : \mathcal{C} \to Sh_{(\mathcal{C}, \mathcal{D}_{\kappa})}$ are defined in the same general manner. That means,

$$S_{\kappa^{-}}(X,\sigma) = S_{\kappa}(X,\sigma) = (X,\sigma);$$

if $f : (X, \sigma) \to (Y, \tau)$ is a C-morphism, then, for every $\mu \in M_{\kappa^-}$, the composite $g_{\mu}f : (Y, \tau) \to (Y_{\mu}, \tau_{\mu})$ factorizes (uniquely) through a $p_{\lambda(\mu)} : (X, \sigma) \to (X_{\lambda(\mu)}, \sigma_{\lambda(\mu)})$, and thus, the correspondence $\mu \mapsto \lambda(\mu)$ yields a function $\varphi : M_{\kappa^-} \to \Lambda_{\kappa^-}$ and a family of \mathcal{D}_{κ^-} -morphisms $f_{\mu} : (X_{\varphi(\mu)}, \sigma_{\varphi(\mu)}) \to (Y_{\mu}, \tau_{\mu})$ such that $q_{\mu}f = f_{\mu}p_{\varphi(\mu)}$; one easily shows that $(\varphi, f_{\mu}) : (\mathbf{X}, \boldsymbol{\sigma})_{\kappa^-} \to (\mathbf{Y}, \boldsymbol{\tau})_{\kappa^-}$ is a morphism of $inv \cdot \mathcal{D}_{\kappa^-}$, so the equivalence class $\mathbf{f}_{\kappa^-} = [(\varphi, f_{\mu})] : (\mathbf{X}, \boldsymbol{\sigma})_{\kappa^-} \to (\mathbf{Y}, \boldsymbol{\tau})_{\kappa^-}$ is a morphism of $pro \cdot \mathcal{D}_{\kappa^-}$; then we put $S_{\kappa^-}(f) = \langle \mathbf{f}_{\kappa^-} \rangle \equiv F_{\kappa^-} : (X, \sigma) \to (Y, \tau)$ in $Sh_{(\mathcal{C}, \mathcal{D}_{\kappa^-})}$. The identities and composition are obviously preserved. In the same way one defines the functor S_{κ} .

Furthermore, since $(\mathbf{X}, \boldsymbol{\sigma})_{\kappa^-}$ is a subsystem of $(\mathbf{X}, \boldsymbol{\sigma})_{\kappa}$, one easily shows that there exists a functor $S_{\kappa^-\kappa} : Sh_{(\mathcal{C},\mathcal{D}_{\kappa})} \to Sh_{(\mathcal{C},\mathcal{D}_{\kappa^-})}$ such that $S_{\kappa^-\kappa}S_{\kappa} = S_{\kappa^-}$, i.e., the diagram

$$Sh_{\mathcal{D}_{\kappa^{-}}}(\mathcal{C}) \xrightarrow{\mathcal{C}} S_{\kappa} \searrow S_{\kappa^{-}\kappa} S_{\kappa^{-}\kappa} Sh_{\mathcal{D}_{\kappa}}(\mathcal{C})$$

commutes (compare the proof of Theorem 1). Moreover, an analogous functor $S_{\kappa\kappa'}: Sh_{\mathcal{D}_{\kappa'}}(\mathcal{C}) \to Sh_{\mathcal{D}_{\kappa}}(\mathcal{C})$, satisfying $S_{\kappa\kappa'}S_{\kappa'} = S_{\kappa}$, exists for every pair of infinite cardinals $\kappa \leq \kappa'$.

Generally, in the case of $\kappa = \aleph_0$, the κ^- -shape is said to be the *finite* (quotient) shape, because all the objects in the expansions are of finite (bases) cardinalities, and is denoted by $Sh(\mathcal{C}, \mathcal{D}_0) \equiv Sh_0$.

REMARK 3.1. For every concrete category \mathcal{C} such that $U[Ob(\mathcal{C})] = Ob(Set)$, and every subcategory $\mathcal{B} \subseteq Set$ such that $Ob(\mathcal{B}) = Ob(Set)$ and $Mor\mathcal{B} \supseteq Mor\mathcal{C}$, there exists a unique category $\mathcal{C}_{\mathcal{B}} \supseteq \mathcal{C}$ that it enlarges \mathcal{C} by $Mor\mathcal{C}_{\mathcal{B}} = Mor\mathcal{B}$ (the new morphisms are all functions of \mathcal{B} - ignoring the structure). The maximal such enlargement $\overline{\mathcal{C}}$ occurs in the case $\mathcal{B} = Set$. The only condition for the existence of a κ -shape theory for $\mathcal{C}_{\mathcal{B}}$ is that there exist quotient objects belonging to \mathcal{C} . Then, clearly, for every infinite cardinal κ , "to be of the same κ -shape" in $\mathcal{C}_{\mathcal{B}}$ is equivalent to "to be mutually equipotent as sets" ("to have equipotent bases"), that does not imply "to be isomorphic in \mathcal{C} ". Therefore, the notion of κ -shape makes sense for every category $\mathcal{C}_{\mathcal{B}}$ as well. However, in this paper, we will not consider the κ -shapes of any such $\mathcal{C}_{\mathcal{B}}$.

Clearly, there are concrete categories (mostly some special subcategories of a concrete category) that do not admit a (nontrivial quotient) shape theory in the above sense. In the sequel, we shall apply the above procedure to the following well known concrete categories:

- Set sets and functions;
- Ordg partially ordered sets and genuine order-preserving functions;

- *PMet* (*UPMet*) pseudometric (ultrapseudometric) spaces with several classes of functions;
- Top topological spaces and mappings (only, in general);
- Mon monoids and their homomorphisms;
- Grp groups and homomorphisms (and $Ab \subseteq Grp$ abelian groups);
- Ring rings and ring homomorphisms;
- R-Mod (left) R-modules and R-module homomorphisms;
- $Vect_F$ vectorial spaces (over a field F) with the algebraic structure (only) and their linear functions.

4. The shapes of sets

We shall begin with the category *Set* of all sets and functions in order to demonstrate the technique. Since there is no structure in this case, one should expect that all the shape classifications of sets and equipotency coincide, that is, indeed, the case.

The construction by quotients of any (κ -) shape category for the category *Set* serves as the general pattern for any concrete category. We follow the main principle of our "philosophy": every finite set is "nice", and, if X is infinite, every set Y with |Y| < |X| is "nice with respect to X".

Let X be a set, and let $\Lambda \equiv \Lambda(X)$ be the set of all equivalence relations $\sim \equiv \lambda \subseteq X \times X$ on X. Put

$$\lambda \leq \lambda' \Leftrightarrow (\forall x \in X)[x]_{\lambda'} \subseteq [x]_{\lambda'}$$

(the refinement), where $[x]_{\lambda}$ and $[x]_{\lambda'}$ are the equivalence classes of x by λ and by λ' , respectively. Then (Λ, \leq) becomes a poset (partially ordered set) that is directed (it is closed under intersections and $\lambda, \lambda' \leq \lambda \cap \lambda'$). Given a $\lambda \in \Lambda$, denote by X_{λ} the quotient set X/λ and by $p_{\lambda} : X \to X_{\lambda}$ the quotient function $x \mapsto [x]_{\lambda}$. If $\lambda \leq \lambda'$, then there exists a unique function $p_{\lambda\lambda'} : X_{\lambda'} \to X_{\lambda}$ such that $p_{\lambda\lambda'}p_{\lambda'} = p_{\lambda}$. Further, if $\lambda \leq \lambda' \leq \lambda''$, then $p_{\lambda\lambda'}p_{\lambda'\lambda''} = p_{\lambda\lambda''}$. Moreover, all the functions p_{λ} and $p_{\lambda\lambda'}$ are surjective. In this way we have obtained the inverse system $\mathbf{X} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda)$ in *Set* (an object of *pro-Set*) and the morphism

$$\boldsymbol{p} = (p_{\lambda}) : X \to \boldsymbol{X}$$

of *pro-Set*, where X is identified with the rudimentary system $\lfloor X \rfloor$. It is obvious that $p: X \to X$ is the limit (of X) and an expansion (of X) in *Set* (with respect to any subcategory). Namely, (Λ, \leq) has the maximal element which corresponds to the finest equivalence relation on X - the diagonal Δ_X . Therefore, $X_{\Delta_X} = X$ and $p_{\Delta_X} = 1_X$, so the conclusion follows. This, obviously, is not very useful for our aim. Thus we need to remove Δ_X from Λ . It is clear that the poset $(\Lambda \setminus \{\Delta_X\}, \leq)$ might not be directed any more. If X is an infinite set and Δ_X is removed from Λ , then in order to assure the needed directedness one has, in general, to remove from Λ a "lot" of other

equivalence relations. Consequently, the resulting morphism

$$p' = (p_{\lambda}) : X \to X' = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda')$$

is not, generally, a limit (of \mathbf{X}') nor an expansion (of X) (of course, the system $\mathbf{X}' = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda')$ has a limit $\mathbf{p}' = (p'_{\lambda}) : X' \to \mathbf{X}'$, such that, in general, X' is not equipotent to X). Nevertheless, for every infinite set X, it is possible to choose a directed subset of $\Lambda' \subset \Lambda \setminus \{\Delta_X\}$ so that the restricted morphism \mathbf{p}' is an expansion.

Given an infinite cardinal $\kappa \ (\geq \aleph_0)$, let Set_{κ^-} (Set_{κ}) denote the full subcategory of *Set* having the objects all the sets with cardinalities less than (less or equal to) κ . We are to prove that Set_{κ^-} and Set_{κ} are pro-reflective subcategories of *Set*. More explicitly, the following lemma holds true.

LEMMA 4.1. Let κ be an infinite cardinal and let X be a set. Let $\Lambda_{\kappa^-} \subseteq \Lambda$ $(\Lambda_{\kappa} \subseteq \Lambda)$ be the subset of all $\lambda \in \Lambda$ such that $|X_{\lambda}| < \kappa$ $(|X_{\lambda}| \leq \kappa)$. Then the morphism

$$\boldsymbol{p}_{\kappa^{-}} = (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa^{-}} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^{-}})$$
$$(\boldsymbol{p}_{\kappa} = (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa}))$$

of pro-Set is a Set_{κ}-expansion (Set_{κ}-expansion) of X having all $p_{\lambda\lambda'}$ and all p_{λ} epimorphisms (surjections in Set).

PROOF. Firstly, $\Lambda_{\kappa^{-}}$ and Λ_{κ} are directed. Namely,

$$|X_{\lambda \cap \lambda'}| \le |X_{\lambda}| \cdot |X_{\lambda'}| \quad \text{and} \quad \kappa' \cdot \kappa'' < \kappa(\kappa' \cdot \kappa'' \le \kappa)$$

whenever $\kappa', \kappa'' < \kappa$ ($\kappa', \kappa'' \leq \kappa$) and $\kappa \geq \aleph_0$ (see [5], Section 8). Thus, X_{κ^-} (X_{κ}) is an inverse system in Set_{κ^-} (Set_{κ}) and $p_{\kappa^-} : X \to X_{\kappa^-}$ ($p_{\kappa} : X \to X_{\kappa}$) is a morphism of *pro-Set*. Now, if $|X| < \kappa$, the assertions are true by the consideration from above ($\Lambda_{\kappa^-} = \Lambda_{\kappa} = \Lambda$, and there exists max $\Lambda = \Delta_X$). Let $|X| = \kappa$. Then $\Lambda_{\kappa} = \Lambda$ and, consequently, $p_{\kappa} : X \to X_{\kappa}$ is a Set_{κ} -expansion) of X as before. To verify that $p_{\kappa^-} : X \to X_{\kappa^-}$ is a Set_{κ} -expansion of X, consider any function $f : X \to Y$, where $|Y| < \kappa$. It implies that the fibers $f^{-1}[\{y\}], y \in Y$, of f induce the partition of X. i.e., a unique equivalence relation \sim_f on X, such that $|X/\sim_f| < \kappa$. Thus, there exists a $\lambda \in \Lambda_{\kappa^-}$ such that $\sim_f = \lambda$ and, consequently, $X/\sim_f = X_{\lambda}$. Therefore, f factorizes through p_{λ} , i.e., there exists a function $g : X_{\lambda} \to Y$, $gp_{\lambda} = f$. Moreover, g is unique since all p_{λ} are epimorphisms (surjections). Finally, if $|X| > \kappa$, the proof holds in the same manner.

The above constructed expansions $p_{\kappa^-} : X \to X_{\kappa^-}$ and $p_{\kappa} : X \to X_{\kappa}$ of X are said to be *canonical*. In the case of $\kappa = \aleph_0$, we shall, in the sequel, $Set_{\aleph_0^-}$ denote by Set_0 , and $p_{\aleph_0^-} = (p_{\lambda}) : X \to X_{\aleph_0^-} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\aleph_0^-})$ by

$$\boldsymbol{p}_0 = (p_{\lambda}) : X \to \boldsymbol{X}_{\underline{0}} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\underline{0}}),$$

which is a *cofinite* expansion of X in terms of *finite* sets. Clearly, if X is a finite set, then one may reduce p_0 , as well as every p_{κ^-} and p_{κ} , to the

264

identity 1_X . And, in general, if $\kappa \ge |X|$ ($\kappa > |X|$), one may take $p_{\kappa} = 1_X$ ($p_{\kappa^-} = p_{\kappa} = 1_X$).

REMARK 4.2. By accepting the generalized continuum hypothesis (see [5], II. Sections 6 and 7), if $\kappa = \aleph_{\alpha} \ge \aleph_0$ is an accessible cardinal (i.e., α is not a limit ordinal), then $\kappa^- = \aleph_{\alpha-1}$, while, if κ is inaccessible and $\alpha = \beta + \omega$ (β is a limit ordinal and ω is the first limit ordinal), then κ^- may represent the well-ordered set { $\aleph \mid \aleph_{\beta} < \aleph < \aleph_{\beta+\omega}$ } = { $\aleph_{\beta+n} \mid n \in \mathbb{N}$ }.

By following the general procedure of constructing of a shape category (Section 2), one establishes the next theorem.

THEOREM 4.3. For each infinite cardinal κ , there exist two shape categories $Sh_{\kappa^-}(Set)$ and $Sh_{\kappa}(Set)$ of sets having for the object classes Ob(Set), while

and

$$Sh_{\kappa^{-}}(Set)(X,Y) \approx pro\text{-}Set_{\kappa^{-}}(\boldsymbol{X}_{\kappa^{-}},\boldsymbol{Y}_{\kappa^{-}})$$

 $Sh_{\kappa}(Set)(X,Y) \approx pro\text{-}Set_{\kappa}(\boldsymbol{X}_{\kappa},\boldsymbol{Y}_{\kappa}),$

where $X_{\kappa^{-}}$, $Y_{\kappa^{-}}$ and X_{κ} , Y_{κ} are the systems in any pair of appropriate expansions of X, Y respectively. Further, there exist two shape functors

$$S_{\kappa^{-}}: Set \to Sh_{\kappa^{-}}(Set)$$
 and $S_{\kappa}: Set \to Sh_{\kappa}(Set)$

keeping the objects fixed, and there exists a unique relating functor

$$S_{\kappa^-\kappa}: Sh_{\kappa}(Set) \to Sh_{\kappa^-}(Set)$$

keeping the objects fixed such that $S_{\kappa^-\kappa}S_{\kappa} = S_{\kappa^-}$. Moreover, for every related pair $\kappa \leq \kappa'$ (including the case $\kappa \leq \kappa'^-$ whenever it occurs), there exists the relating functor $S_{\kappa\kappa'}: Sh_{\kappa'}(Set) \to Sh_{\kappa}(Set)$ such that $S_{\kappa\kappa'}S_{\kappa'} = S_{\kappa}$.

PROOF. We only want to demonstrate how one constructs $S_{\kappa^-}(f)$, and then to prove the existence of $S_{\kappa^-\kappa}$. Let κ be an infinite cardinal and let $f: X \to Y$ be a function. Let $\mathbf{p}_{\kappa^-} = (p_{\lambda}): X \to \mathbf{X}_{\kappa^-} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^-})$ and $\mathbf{q}_{\kappa^-} = (q_{\mu}): Y \to \mathbf{Y}_{\kappa^-} = (Y_{\mu}, q_{\mu\mu'}, M_{\kappa^-})$ be the canonical Set_{κ^-} -expansions of X and Y respectively. If $|X| < \kappa$, then there exists $\lambda_* = \max \Lambda_{\kappa^-}$ with $p_{\lambda_*} = 1_X$. Thus, f yields $\mathbf{f} = \mathbf{q}_{\kappa^-} f = [(c_{\lambda_*}, q_{\mu}f)]: \mathbf{X}_{\kappa^-} \to \mathbf{Y}_{\kappa^-}$ of $pro\text{-}Set_{\kappa^-}$ such that $f\mathbf{p}_{\kappa^-} = \mathbf{q}_{\kappa^-}f$. Then $S(f): X \to Y$ of $Sh_{\kappa^-}(Set)$ is the equivalence class $\langle \mathbf{f} \rangle$ of \mathbf{f} in $pro\text{-}Set_{\kappa^-}$. Let $|X| \ge \kappa$. Since, for each $\mu \in M$, $|Y_{\mu}| < \kappa$, the function $q_{\mu}f: X \to Y_{\mu}$ admits (as in the proof of Lemma 1) a $\varphi(\mu) \in \Lambda$ and a unique function $f_{\mu}: X_{\varphi(\mu)} \to Y_{\mu}$ such that $f_{\mu}p_{\varphi(\mu)} = q_{\mu\mu'}f_{\mu'}p_{\varphi(\mu')\lambda}$. In that way f yields an $\mathbf{f} = [(\varphi, f_{\mu})]: \mathbf{X}_{\kappa^-} \to \mathbf{Y}_{\kappa^-}$ of $pro\text{-}Set_{\kappa^-}$ such that $f\mathbf{p}_{\kappa^-} = \mathbf{q}_{\kappa^-}f$. Then $S(f): X \to Y$ of $Sh_{\kappa^-}(Set)$ is the equivalence class $\langle \mathbf{f} \rangle$ of \mathbf{f} in $pro\text{-}Set_{\kappa^-}$.

In order to prove the existence of $S_{\kappa^{-\kappa}}$, let $F_{\kappa} \in Sh_{\kappa}(X,Y)$ and let an $f_{\kappa} : X_{\kappa} \to Y_{\kappa}$ be its representative with X_{κ} and Y_{κ} canonical. Recall

that the canonical inverse system X_{κ^-} and Y_{κ^-} are subsystems of X_{κ} and Y_{κ} , respectively, and that X_{κ} and Y_{κ} are subobjects of X_{κ^-} and Y_{κ^-} , respectively, in *pro-Set*_{κ} \subseteq *pro-Set*. (In the categorical sense, every inverse system is the subobject of a chosen subsystem!) Let $j_X : X_{\kappa} \to X_{\kappa^-}$ and $j_Y : Y_{\kappa} \to Y_{\kappa^-}$. be the corresponding subobject (mono)morphisms, i.e., $j_X = [(j, 1_{\lambda})]$, where $j : \Lambda_{\kappa^-} \hookrightarrow \Lambda_{\kappa}$ is the inclusion and 1_{λ} is the identity on $X_{\lambda}, \lambda \in \Lambda_{\kappa^-}$, and $j_Y = [(j', 1_{\mu})]$, where $j' : M_{\kappa^-} \hookrightarrow M_{\kappa}$ is the inclusion and 1_{μ} is the identity on $Y_{\mu}, \mu \in M_{\kappa^-}$ Notice that $j_X p_{\kappa} = p_{\kappa^-}$ and $j_Y q_{\kappa} = q_{\kappa^-}$. Let us consider the morphism $j_Y f_{\kappa} p_{\kappa} : X \to Y_{\kappa^-}$. Since $p_{\kappa^-} : X \to X_{\kappa^-}$ is a Set_{κ^-} -expansion of X, there exists a unique $f_{\kappa^-} : X_{\kappa^-} \to Y_{\kappa^-}$ such that $f_{\kappa^-} j_X p_{\kappa} = f_{\kappa^-} p_{\kappa^-} = j_Y f_{\kappa} p_{\kappa}$. Denote $F_{\kappa^-} = \langle f_{\kappa^-} \rangle \in Sh_{\kappa^-}(X,Y)$, and put $S_{\kappa^-\kappa}(F_{\kappa}) = F_{\kappa^{--}}$. Finally, observe that $f_{\kappa^-} j_X = j_Y f_{\kappa}$ (because p_{κ} is a functor. The commutativity of functors follows by the construction.

COROLLARY 4.4. All the shape categories of Set make an "inverse system"

$$Sh_0(Set) \leftarrow \cdots \leftarrow Sh_{\kappa^-}(Set) \leftarrow Sh_{\kappa}(Set) \leftarrow \cdots$$

in CAT (quasicategory of all categories and functors) over the well-ordered index class $K^- \cup K$, where K is the class of all cardinals, while K^- is the class of all κ^- representing the well ordered sets $\{\aleph_{\beta+n} \mid \beta - a \text{ limit ordinal}, n \in \mathbb{N}\}$ (see Remark 2).

REMARK 4.5. Clearly, for every infinite cardinal κ , every function $f : X \to Y$ yields the shape morphisms $S_{\kappa^-}(f)$ and $S_{\kappa}(f)$ (i.e., pro-morphisms f_{κ^-} and f_{κ}). However, the κ^- -converse (κ -converse) does not hold whenever κ is not sufficiently large. More precisely, given a morphism $f : X_{\kappa} \to Y_{\kappa}$ of pro-Set_{κ}, let us consider a diagram

$$\lim \boldsymbol{X}_{\kappa} \leftarrow X$$

$$\downarrow \lim \boldsymbol{f}$$

$$\lim \boldsymbol{Y}_{\kappa} \leftarrow Y$$

Now, if $\Delta_Y \notin M_{\kappa}$, the injective function $Y \to \lim \mathbf{Y}_{\kappa}$, in general, is not surjective. Hence, $(\lim \mathbf{f})[X]$ might be not a subset of Y.

Although there exist the various (quotient) shapes $Sh_{\kappa}(X)$ and $Sh_{\kappa}(X)$ of a set X and the shape morphism sets are richer than the function sets, all the (quotient) shape classifications on *Set* coincide with the equipotence. It is not surprising because the only condition in the construction is to take care about cardinalities. The main step in proving this fact is the next lemma.

LEMMA 4.6. Let X and Y be infinite sets such that $|X| \neq |Y|$. Then, for every infinite cardinal κ , $Sh_{\kappa^-}(X) \neq Sh_{\kappa^-}(Y)$ and $Sh_{\kappa}(X) \neq Sh_{\kappa}(Y)$. PROOF. Without loss of generality, we may assume that $\aleph_0 \leq \kappa_1 = |X| < |Y| = \kappa_2$. The relevant possibilities are as follows: (a) $\kappa > \kappa_2$; (b) $\kappa = \kappa_2$; (c) $\kappa_1 < \kappa < \kappa_2$; (d) $\kappa = \kappa_1$; (e) $\kappa < \kappa_1$.

(a) The both sets X and Y represent their shape κ^- -classes and shape κ -classes. The conclusion follows.

(b) X represents its shape κ^- -class and shape κ -class, and Y represents its shape κ -class, while \mathbf{Y}_{κ^-} (of the canonical Set_{κ^-} -expansion of Y) is not isomorphic to any rudimentary system. The conclusion follows.

(c) X represents its shape κ^- -class and shape κ -class, while Y_{κ^-} and Y_{κ} are not isomorphic to rudimentary systems. The conclusion follows.

(d) X represents its shape κ -class, while X_{κ^-} , Y_{κ^-} and Y_{κ} are not isomorphic to rudimentary systems. Thus, it suffices to prove that the canonical systems X_{κ^-} and Y_{κ^-} are not isomorphic objects of $pro\text{-}Set_{\kappa^-}$. In order to do it, notice that $\lambda \in \Lambda_0$, i.e., $|X_{\lambda}| < \aleph_0$, implies that there exists an $x \in X$ such that $|[x]_{\lambda}| = |X|$. Hence, in general, since $\Lambda_0 \subseteq \Lambda_{\kappa^-} \subseteq \Lambda_{\kappa} \subseteq \Lambda \subseteq 2^{X \times X}$, the fact (g) at the end of Section 2 implies that

$$2^{|X|} = |\Lambda_0| \le |\Lambda_{\kappa^-}| \le |\Lambda_{\kappa}| \le |\Lambda| \le |2^{X \times X}| = 2^{|X \times X|} = 2^{|X|}$$

Therefore, all the Λ_{κ^-} and Λ_{κ} are of the same cardinality $-2^{|X|}$. Especially, in this case, $|\Lambda_{\kappa^-}| = 2^{\kappa_1} < 2^{\kappa_2} = |M_{\kappa^-}|$. Without loss of generality, we may pass to the associated cofinite systems $\mathbf{X}'_{\kappa^-} = (X'_{\bar{\lambda}}, p'_{\bar{\lambda}\bar{\lambda}'}, \bar{\Lambda}_{\kappa^-})$ and $\mathbf{Y}'_{\kappa^-} = (Y'_{\bar{\mu}}, q'_{\bar{\mu}\bar{\mu}}, M_{\kappa^-})$ ([13], I.1.2, Theorem 2). Recall that $|\bar{\Lambda}_{\kappa^-}| = |\Lambda_{\kappa^-}|$ and $|M_{\kappa^-}| = |M_{\kappa^-}|$.

Let us assume to the contrary, i.e., that $\mathbf{X}'_{\kappa^-} \cong \mathbf{Y}'_{\kappa^-}$ in pro- Set_{κ^-} . Let $\mathbf{f}: \mathbf{X}'_{\kappa^-} \to \mathbf{Y}'_{\kappa^-}$ be an isomorphism. Choose a special representative $(\varphi, f_{\bar{\mu}})$ of \mathbf{f} (having the index function φ increasing, [13], I. 1.2, Lemma 2). Then, for every related pair $\bar{\mu} \leq \bar{\mu}'$,

$$f_{\mu}p'_{\varphi(\bar{\mu})\varphi(\bar{\mu}')} = q'_{\bar{\mu}\bar{\mu}'}f_{\bar{\mu}'}.$$

Similarly, there exists a special representative $(\psi, g_{\bar{\lambda}})$ of $f^{-1}: Y_{\kappa^-} \to X_{\kappa^-}$ $(\psi \text{ is increasing})$ such that, for every related pair $\bar{\lambda} \leq \bar{\lambda}'$,

$$g_{\bar{\lambda}}q'_{\psi(\bar{\lambda})\psi(\bar{\lambda}'')} = p'_{\bar{\lambda}\bar{\lambda}'}g_{\bar{\mu}'}.$$

Since

$$(\varphi, f_{\bar{\mu}}) \circ (\psi, g_{\bar{\lambda}}) = (\psi \varphi, f_{\bar{\mu}} g_{\varphi(\bar{\mu})}) \sim (1_{M_{\kappa^{-}}}, 1_{Y'_{\bar{\mu}}}),$$

one infers that, for every $\bar{\mu} \in M_{\kappa^-}$, there exists a $\bar{\mu}' \geq \bar{\mu}, \psi \varphi(\bar{\mu})$ such that

$$f_{\bar{\mu}}g_{\varphi(\bar{\mu})}q'_{\psi\varphi(\bar{\mu})\bar{\mu}'} = q'_{\bar{\mu}\bar{\mu}'}.$$

Because of the choice of the special representatives $(q'_{\bar{\mu}\bar{\mu}'} = q'_{\bar{\mu}\psi\varphi(\bar{\mu})}q'_{\psi\varphi(\bar{\mu})\bar{\mu}'})$ and the surjectivity of all $q_{\mu\mu'}$, and thus of all $q'_{\bar{\mu}_1\bar{\mu}_2}$, it follows that

$$(\forall \bar{\mu} \in M_{\kappa^-}) \quad f_{\bar{\mu}}g_{\varphi(\bar{\mu})} = q'_{\bar{\mu}\psi\varphi(\mu)}.$$

Now, since

$$|M_{\kappa^-}| = |M_{\kappa^-}| = \kappa_2 > \kappa_1 = |\Lambda_{\kappa^-}| = \left|\bar{\Lambda}_{\kappa^-}\right| \ge \aleph_0,$$

there exists a $\bar{\lambda}_0 \in \bar{\Lambda}_{\kappa^-}$ and there exists infinitely many elements $\bar{\mu} \in M_{\kappa^-}$ such that $\varphi(\bar{\mu}) = \bar{\lambda}_0$. Put $\bar{\mu}_0 = \psi(\bar{\lambda}_0) \in M_{\kappa^-}$. Then,

$$\bar{\mu}_0 = \psi \varphi(\bar{\mu}) \ge \bar{\mu}$$

for infinitely many $\bar{\mu} \in M_{\kappa^-}$. It follows that (M_{κ^-}, \leq') is not cofinite - a contradiction.

(e) In this case any of X_{κ^-} , X_{κ} , Y_{κ^-} , Y_{κ} is not isomorphic to a rudimentary system. To prove that X_{κ^-} and Y_{κ^-} are not mutually isomorphic in *pro-Set*_{κ^-}, and that X_{κ} and Y_{κ} are not mutually isomorphic in *pro-Set*_{κ} is quite similar to the proof of case (d).

THEOREM 4.7. For every pair X, Y of sets and every infinite cardinal κ ,

$$Sh_{\kappa^{-}}(X) = Sh_{\kappa^{-}}(Y) \Leftrightarrow |X| = |Y| \Leftrightarrow Sh_{\kappa}(X) = Sh_{\kappa}(Y).$$

PROOF. If |X| = |Y|, then the implications follow by functoriality. We have to prove the converses. Let, firstly, $Sh_{\kappa^-}(X) = Sh_{\kappa^-}(Y)$. If X and Y are finite sets, then |X| = |Y| because, for every κ , the representatives of their κ^- -shape classes are X and Y themselves respectively. If X is finite and Y infinite, then X represents every of its κ^- -shape classes, and Y represents every of its κ^- -shape classes whenever $\kappa > |Y|$, while \mathbf{Y}_{κ^-} is not isomorphic to any rudimentary system whenever $\kappa \le |Y|$. Therefore, $Sh_{\kappa^-}(X) \ne Sh_{\kappa^-}(Y)$ - a contradiction. If X is infinite and Y is finite, the same conclusion follows. Thus both X and Y are either finite (the previous case) or infinite. Then must be, by Lemma 2, |X| = |Y|. The proof of the κ -case is quite similar.

In the pointed case, i.e., for category Set_* of all pointed sets (X, x_0) and the base point-preserving functions $f : (X, x_0) \to (Y, y_0)$, the equivalence relations should be compatible with morphisms, i.e.,

$$x \sim x_0 \Leftrightarrow f(x) \sim' f(x_0) = y_0$$

Since this compatibility is preserved under intersections, the construction for Set remains valid for Set_* as well. Moreover, since the proof of Lemma 2 works in the pointed case as well, the pointed analogue of Theorem 2 holds true. Therefore, all the shapes of a pointed set reduce to its (pointed) equipotency class.

5. The shapes of ordered sets

Firstly, we have to select a certain class of function between ordered sets to be the needed morphisms. Let (X, \leq) and (Y, \leq') be posets (partially ordered sets) and let $f : X \to Y$ be a function. If, for every pair $x_1, x_2 \in X$, the implication

268

(1) $x_1 < x_2 \Rightarrow f(x_1) \leq' f(x_2)$

holds, then $f: (X, \leq) \to (Y, \leq')$ is said to be *order-preserving*. If the condition (2) $f(x_1) <' f(x_2) \Rightarrow x_1 \leq x_2$

is fulfilled, then $f: (X, \leq) \to (Y, \leq')$ is said to be *conversely order-preserving*. (As usually, "<" means " \leq " and " \neq ", and thus, $x_1 \leq x_2$ in (2) is equivalent to $x_1 < x_2$.) If f satisfies both conditions (1) and (2), then f is said to be *genuine order-preserving*. Observe that every constant function is genuine order-preserving: Further, if (X, \leq) is totally ordered, then, for every poset (Y, \leq') , every order-preserving function $f: (X, \leq) \to (Y, \leq')$ is genuine order-preserving.

EXAMPLE 5.1. (a) Let (\mathbb{N}, \leq^*) be the set of natural numbers with the ordering \leq^* ,

$$(\forall n_1, n_2 \in \mathbb{N}) n_1 \leq^* n_2 \Leftrightarrow \frac{n_2}{n_1} \in \mathbb{N},$$

and let (\mathbb{N}, \leq) be ordered as usual. Then the identity function $1_{\mathbb{N}}$ of (\mathbb{N}, \leq^*) onto (\mathbb{N}, \leq) has property (1) but not (2), while conversely, $1_{\mathbb{N}}$ of (\mathbb{N}, \leq) onto (\mathbb{N}, \leq^*) has property (2) but not (1). An order-preserving (and thus, genuine) function $f : (\mathbb{N}, \leq) \to (\mathbb{N}, \leq^*)$ is, for instance, f(n) = n!. Conversely, every non-constant genuine order-preserving function $g : (\mathbb{N}, \leq^*) \to (\mathbb{N}, \leq)$ has to be constant on $\mathbb{N} \setminus \{1\}$ (see also Example 6 (a) at the end of this section). For instance,

$$g(n) = \begin{cases} k, n = 1\\ k+l, n \neq 1 \end{cases}$$

(b) Let (\mathbb{Z}, \leq') be the set of integers with the ordering defined by the arrows as below

(the slanting arrows mean that n <' -(n + 1) and -n <' n + 1, $n \in \mathbb{N}$, i.e., $m_1 <' m_2 \Leftrightarrow |m_1| < |m_2|$, $m \in \mathbb{Z}$) and let $(\{0\} \cup \mathbb{N}, \leq)$ be the set of nonnegative integers with the standard ordering. Let $f : \mathbb{Z} \to \{0\} \cup \mathbb{N}$ be defined by f(0) = 0 and by f(-n) = f(n) = n, $n \in \mathbb{N}$, and let $g : \mathbb{Z} \to$ $\{0\} \cup \mathbb{N}$ be defined by g(0) = 0 and by g(-n) = n, g(n) = n + 1, $n \in \mathbb{N}$. Then $f : (\mathbb{Z}, \leq') \to (\{0\} \cup \mathbb{N}, \leq)$ is a genuine order-preserving function, while $g : (\mathbb{Z}, \leq') \to (\{0\} \cup \mathbb{N}, \leq)$ is an order-preserving function which is not genuine order-preserving. Namely, g(-n) = n < n + 1 = g(n), while -n and n are not related in (\mathbb{Z}, \leq') .

All the posets and all the (conversely, genuine) order-preserving functions make a category, denoted by Ord (Ordc, Ordg), and $Ordg \subseteq Ord \cap Ordc$ having the same object class. Namely, for every (X, \leq) , the identity function 1_X is obviously genuine order-preserving, while, given an $f: (X, \leq) \to (Y, \leq')$ and a $g: (Y, \leq') \to (Z, \leq'')$, that are (conversely, genuine) order-preserving, then, for every pair $x_1, x_2 \in X$,

$$x_1 < x_2 \Rightarrow f(x_1) \leq' f(x_2) \Rightarrow gf(x_1) \leq'' gf(x_2)$$

(gf(x_1) <'' gf(x_2 \Rightarrow f(x_1) <' f(x_2) \Rightarrow x_1 < x_2).

Therefore, gf is also (conversely, genuine) order preserving.

Secondly, we have to select those equivalence relations on X that are compatible with their quotient functions on the corresponding posets. In order to do it, given a poset (X, \leq) and an equivalence relation λ on X, let us define

$$[x_1]_{\lambda} \leq [x_2]_{\lambda} \Leftrightarrow ([x_1]_{\lambda} = [x_2]_{\lambda} \lor [x_1]_{\lambda} < [x_2]_{\lambda}),$$

where "<" refers to all the elements, i.e., for every $x'_1 \in [x_1]_{\lambda}$ and every $x'_2 \in [x_2]_{\lambda}$, it holds $x'_1 < x'_2$. Then the quotient set X/λ becomes the (*canonical*) poset $(X/\lambda, \leq)$. However, the quotient function $p : X \to X/\lambda$ is not, in general, (the given) order-preserving. For our purpose, a *congruence* on a poset (X, \leq) is needed, i.e., an equivalence relation $\lambda \equiv \sim$ on X such that, for all $x_1, x_2, x'_1, x'_2 \in X$, the implication

$$(x_1 \le x_2 \land x_1 \not\sim x_2 \land x_1 \sim x_1' \land x_2 \sim x_2') \Rightarrow x_1' \le x_2'$$

holds (" \wedge " denotes the logic conjunction). The diagonal Δ_X is obviously the finest congruence on (X, \leq) . Every congruence λ assures that $x_1 < x_2$ and $[x_1]_{\lambda} \neq [x_2]_{\lambda}$ imply $[x_1]_{\lambda} < [x_2]_{\lambda}$. Also, if (X, \leq) is totally (well-) ordered, then so is $(X/\lambda, \leq)$. Notice that, for a given congruence λ on a poset (X, \leq) and an $x \in X$, the congruence class $[x]_{\lambda}$ may contain some mutually unrelated elements (see Example 2 below).

EXAMPLE 5.2. Let (\mathbb{Z}, \leq') be the poset of Example 1 (b). Then the partition $\{0\}, -\mathbb{N}, \mathbb{N}$ of \mathbb{Z} yields a unique equivalence relation λ on \mathbb{Z} such that

$$(\mathbb{Z}/\lambda,\leq') = (\{\{0\},-\mathbb{N},\mathbb{N}\},\leq') \equiv (\{[0],[-1],[1]\},\leq')$$

and [0] < [-1], [0] < [1], while [-1] and [1] are not related $(-1 \text{ and } 1 \text{ are not} related in <math>(\mathbb{Z}, \leq'))$. Obviously, λ is not a congruence on (\mathbb{Z}, \leq') (-1 < 2, while [-1] and [2] = [1] are not related in $(\mathbb{Z}/\lambda, \leq'))$. Consequently, the quotient function $p : \mathbb{Z} \to \mathbb{Z}/\lambda$ is not (the) order preserving. On the other hand, for each $n \in \mathbb{N}$, the partition $\{0\}, \{-1,1,\cdots,-n,n\}, \{-n-1,n+1,\cdots\}$ of \mathbb{Z} yields a unique equivalence relation λ_n on \mathbb{Z} such that

$$(\mathbb{Z}/\lambda_n, \leq') = (\{[0], [1], [n+1]\}, \leq')$$

One easily verifies that λ_n is a congruence on (\mathbb{Z}, \leq') and the quotient function $p'_n : (\mathbb{Z}, \leq') \to (\mathbb{Z}/\lambda_n, \leq')$ is genuine order-preserving. (Moreover, $(\mathbb{Z}/\cap\lambda_n, \leq')$) is well-ordered and isomorphic to (\mathbb{N}, \leq) ; see Example 3 below.)

Let X and Y be sets and let $\lambda \equiv \sim$ and $\mu \equiv \sim'$ be equivalence relations on X and Y respectively. A function $f: X \to Y$ is said to be (λ, μ) -preserving if, for every pair $x, x' \in X$, the implication

$$x \sim x' \Rightarrow f(x) \sim' f(x')$$

holds.

- LEMMA 5.3. (i) For every congruence λ on a poset (X, \leq) , the quotient function $p : (X, \leq) \to (X/\lambda, \leq)$ is a genuine order-preserving surjection.
- (ii) If, in addition, λ' is a finer congruence on (X, ≤), then the induced function p̃ : (X/λ', ≤) → (X/λ, ≤), satisfying p̃p' = p, is genuine order-preserving as well.
- (iii) If f: (X,≤) → (Y,≤') is a (conversely, genuine) order- and (λ, μ)-preserving function, where λ is a congruence on (X,≤) and μ is a congruence on (Y,≤'), then the induced function f̃: (X/λ,≤) → (Y/μ,≤'), satisfying f̃p = qf, is (conversely, genuine) order-preserving.

PROOF. Let $\lambda \equiv \sim$ be a congruence on a poset (X, \leq) , and let $p: X \to X/\lambda$, p(x) = [x], be the quotient function. Let $x_1, x_2 \in X$ such that $x_1 < x_2$. If $x_1 \sim x_2$, then

$$p(x_1) = [x_1] = [x_2] = p(x_2),$$

and thus, $p(x_1) \leq p(x_2)$. If $x_1 \not\sim x_2$, then $x_1 < x_2$ implies (by definitions),

$$p(x_1) = [x_1] < [x_2] = p(x_2) \Rightarrow p(x_1) \le p(x_2)$$

Further, if $x_1, x_2 \in X$ such that $p(x_1) < p(x_2)$, i.e., $[x_1] < [x_2]$, then $x_1 < x_2$ (by definition). Therefore, the quotient function $p : (X, \leq) \to (X/\lambda, \leq)$ is genuine order-preserving, and (i) is proven.

Given a congruence $\sim' \equiv \lambda' \geq \lambda$ (the refinement), the function $\tilde{p} : X/\lambda' \to X/\lambda$, satisfying $\tilde{p}p' = p$, is well defined by $\tilde{p}([x]') = [x]$. Let $x_1, x_2 \in X$ such that $[x_1]' < [x_2]'$ (in $(X/\lambda', \leq)$). Then, $x_1 < x_2$ and, by (i),

$$\tilde{p}([x_1]') = [x_1] = p(x_1) \le p(x_2) = [x_2] = \tilde{p}([x_2]').$$

Further, if $x_1, x_2 \in X$ such that $\tilde{p}([x_1]') < \tilde{p}([x_2]')$, then

$$[x_1] = p(x_1) = \tilde{p}([x_1]') < \tilde{p}([x_2]') = p(x_2) = [x_2].$$

Since $\lambda' \geq \lambda$, it follows that $[x_1]' < [x_2]'$ as well. Therefore, $\tilde{p} : (X/\lambda', \leq) \to (X/\lambda, \leq)$ is a genuine order-preserving function, and (ii) is proven.

Let $f : (X, \leq) \to (Y, \leq')$ be an order- and (λ, μ) -preserving function, where λ is a congruence \sim on (X, \leq) and μ is a congruence \sim' on (Y, \leq') . Let $p : (X, \leq) \to (X/\lambda, \leq)$ and $q : (Y, \leq') \to (Y/\mu, \leq')$ be the corresponding quotient functions. Then $\tilde{f} : X/\lambda \to Y/\mu$ is well defined by putting $\tilde{f}([x]) = [f(x)]'$. Thus, for every $x \in X$,

$$\hat{f}p(x) = \hat{f}([x]) = [f(x)]' = qf(x).$$

Let $[x_1] < [x_2]$. Then $x_1 < x_2$, and thus by (i), for the composite qf (= fp),

$$\tilde{f}([x_1]) = \tilde{f}p(x_1) = qf(x_1) \le qf(x_2) = \tilde{f}p(x_2) = \tilde{f}([x_2])$$

holds. Hence, the induced function $\tilde{f}: (X/\lambda, \leq) \to (Y/\mu, \leq')$ is order-preserving. If, in addition, f is conversely order-preserving, then (by (i))

$$\begin{split} \hat{f}([x_1]) <' \hat{f}([x_2]) \Leftrightarrow [f(x_1)]' <' [f(x_2)]' \Rightarrow f(x_1) <' f(x_2) \\ \Rightarrow x_1 < x_2 \Rightarrow [x_1] < [x_2]. \end{split}$$

proving that \tilde{f} is conversely order-preserving too. This proves (iii), and completes the proof of the lemma.

Notice that the intersection of two congruences λ and λ' on a poset (X, <)is again a congruence on (X, \leq) , finer than λ and λ' . The above proven facts (Lemma 3, (i) and (ii)) imply that one can associate with every poset (X, \leq) a certain (canonical - by means of quotients) inverse system $(\mathbf{X}, \leq) = ((X_{\lambda}, \leq))$ $(p_{\lambda\lambda'}, \Lambda)$ in Ordg (Ordc, Ord) and a (canonical) morphism $\mathbf{p} = (p_{\lambda}) : (X, \leq 1)$) $\rightarrow (\mathbf{X}, \leq)$ of pro-Ordg (pro-Ordc, pro-Ord), where Λ is the (index) set consisting of all congruences on (X, \leq) ordered by $\lambda \leq \lambda' \Leftrightarrow (\lambda' \text{ refines } \lambda)$. Moreover, all p_{λ} and $p_{\lambda\lambda'}$ are (effective) epimorphisms. Since there exists $\max \Lambda$ (corresponding to Δ_X), it is not satisfactory for our purpose, except X is a finite set. However, as in the case of category Set, given an infinite cardinal κ , one may restrict his consideration to the subset $\Lambda_{\kappa^{-}}$ (Λ_{κ}) of Λ such that each quotient set X_{λ} has cardinality less than (less or equal to) κ . Still, to obtain an expansion, the genuine order-preserving functions are needed (see the proof of Lemma 4 below). Let us denote by $Ordg_{\kappa^{-}}$ ($Ordg_{\kappa}$) the full subcategory of Ordg having the objects all the posets (X, \leq) of cardinalities less than (less or equal to) κ .

LEMMA 5.4. For every infinite cardinal $\kappa (\geq \aleph_0)$, the subcategories $Ordg_{\kappa^-}, Ordg_{\kappa} \subseteq Ordg$ are pro-reflective. Moreover, in both cases, each poset admits the canonical epimorphic (quotient) expansion.

PROOF. We only have to verify condition (E1) for the canonical morphisms $\mathbf{p}_{\kappa^-} = (p_{\lambda}) : (X, \leq) \to (\mathbf{X}, \leq)_{\kappa^-}$ and $\mathbf{p}_{\kappa} = (p_{\lambda}) : (X, \leq) \to (\mathbf{X}, \leq)_{\kappa}$ of pro-Ordg. (Condition (E2) follows trivially.) Let us consider the κ -case. Let a $(Y, \leq') \in Ob(Ordg_{\kappa})$ and an $f : (X, \leq) \to (Y, \leq')$ of Mor(Ordg) be given. If $|X| \leq \kappa$, then there exists $\max \Lambda_{\kappa} \equiv \lambda_*$ and $p_{\lambda_*} = 1_X : (X, \leq_{\lambda_*}) \to (X, \leq)$, so that there is nothing to prove (a desired g = f belonging to $Mor(Ordg_{\kappa})$). Let $|X| > \kappa$. Since $|Y| \leq \kappa$, the fibers $f^{-1}[\{y\}], y \in Y$, make a partition of X, i.e., an equivalence relation $\sim_f \equiv \lambda_f \in X \times X$ such that $|X/\lambda_f| \leq \kappa$. Moreover, λ_f is a congruence on (X, \leq) . Indeed, if $x_1 \leq x_2$, $x_1 \not\sim_f x_2$ (i.e., $x_1 < x_2$ and $f(x_1) \neq f(x_2)$), $x'_1 \sim_f x_1$ and $x'_2 \sim_f x_2$, then

$$f(x_1') = f(x_1) \neq f(x_2) = f(x_2').$$

Since f is order-preserving (and $x_1 \not\sim_f x_2$), it follows that

$$f(x_1') = f(x_1) <' f(x_2) = f(x_2')$$

and since f is also conversely order-preserving, one finally infers that $x'_1 < x'_2$, and thus, $x'_1 \leq x'_2$. Therefore, there exists a $\lambda \in \Lambda_{\kappa}$ such that $p: (X, \leq) \rightarrow (X/\lambda_f, \leq)$ is the appropriate $p_{\lambda}: (X. \leq) \rightarrow (X_{\lambda}, \leq)$ of p_{κ} . Finally, by applying Lemma 3 (iii), a desired g may be $\tilde{f}: (X_{\lambda}, \leq) \rightarrow (Y/\Delta_Y, \leq') = (Y, \leq')$. The proof of the κ^{-} -case is quite similar.

Now, the general construction of a shape category and a shape functor yields the main fact (compare Theorem 1 and its proof).

THEOREM 5.5. For each infinite cardinal κ , there exist two shape categories $Sh_{\kappa^-}(Ordg)$ and $Sh_{\kappa}(Ordg)$ of partially ordered sets, both having the same object class Ob(Ord), while

$$Sh_{\kappa^-}(Ordg)((X,\leq),(Y,\leq'))\approx pro \cdot Ordg((\boldsymbol{X},\leq)_{\kappa^-},(\boldsymbol{Y},\leq')_{\kappa^-})$$

and

 $Sh_{\kappa}(Ordg)((X,\leq),(Y,\leq')) \approx pro Ordg((X,\leq)_{\kappa},(Y,\leq')_{\kappa}),$

where $(\mathbf{X}, \leq)_{\kappa^{-}}$, $(\mathbf{Y}, \leq')_{\kappa^{-}}$ and $(\mathbf{X}, \leq)_{\kappa}$, $(\mathbf{Y}, \leq')_{\kappa}$ are the systems in any pair of appropriate expansions of $(X \leq)$, (Y, \leq') respectively.

Further, there exist two shape functors S_{κ^-} : $Ordg \to Sh_{\kappa^-}(Ordg)$ and $S_{\kappa}: Ordg \to Sh_{\kappa}(Ordg)$ keeping the objects fixed, and there exists a unique relating functor $S_{\kappa^-\kappa}: Sh_{\kappa}(Ordg) \to Sh_{\kappa^-}(Ordg)$ keeping the objects fixed, such that $S_{\kappa^-\kappa}S_{\kappa} = S_{\kappa^-}$. Moreover, for every related pair $\kappa \leq \kappa'$ (including the case $\kappa \leq \kappa'^-$ whenever it occurs), there exists the relating functor $S_{\kappa\kappa'}: Sh_{\kappa'}(Ordg) \to Sh_{\kappa}(Ordg)$ such that $S_{\kappa\kappa'}S_{\kappa'} = S_{\kappa}$.

EXAMPLE 5.6. It is obvious that the ordered sets (\mathbb{Z}, \leq') and $(\{0\} \cup \mathbb{N}, \leq)$ of Example 1 are not isomorphic objects of *Ordg*. They also are not isomorphic to the standard ordered set (\mathbb{Z}, \leq) of integers. We will prove that they all are of the same finite shape, i.e., that $Sh_0(\mathbb{Z}, \leq') = Sh_0(\{0\} \cup \mathbb{N}, \leq)$ $= Sh_0(\mathbb{Z}, \leq)$. It suffices to verify that their canonical $Ordg_0$ -expansions are isomorphic objects of *pro*-Ords_0. Let

$$oldsymbol{p}_{ar{0}}:(\{0\}\cup\mathbb{N},\leq) o(oldsymbol{N},\leq)_{ar{0}}\quad ext{ and }\quadoldsymbol{q}_{ar{0}}:(\mathbb{Z},\leq') o(oldsymbol{W},\leq')_{ar{0}}$$

be the canonical $Ordg_0$ -expansions of $(\{0\} \cup \mathbb{N}, \leq)$ and (\mathbb{Z}, \leq') respectively. In this case we shall show even more, that the inclusion

$$j: (\{0\} \cup \mathbb{N}, \leq) \hookrightarrow (\mathbb{Z}, \leq')$$

(which is a genuine order-preserving function) induces an isomorphism of $(N, \leq)_{\underline{0}}$ to $(W, \leq')_{\underline{0}}$, i.e., that

$$\boldsymbol{j}_0 = [(\varphi, j_\mu)] : ((N_\lambda, \leq), p_{\lambda\lambda'}, \Lambda_{\underline{0}}) \to ((W_\mu, \leq'), q_{\mu\mu'}, M_{\underline{0}})$$

is an isomorphism of pro- $Ordg_0$. Firstly, notice (see Examples 1 and 2) that every congruence on (\mathbb{Z}, \leq') yields a unique (by the restriction) congruence on

 $(\{0\} \cup \mathbb{N}; \leq)$, and conversely, every congruence on $(\{0\} \cup \mathbb{N}, \leq)$ admits a unique congruence extension on (\mathbb{Z}, \leq') . Therefore, we may consider the index sets Λ_0 and M_0 to be equal. Further, each term (N_λ, \leq) , $\lambda \in \Lambda_0$, having $|N_\lambda| \geq 2$, is an ordered set of the form

$$N_{\lambda} = \{ [n_1^{\lambda}], \dots, [n_{k+1}^{\lambda}] \}, k \in \mathbb{N}, n_i^{\lambda} \in \{0\} \cup \mathbb{N}, i \in \{1, \dots, k+1\},\$$

where

$$[n_1^{\lambda}] = \{n \in \{0\} \cup \mathbb{N} \mid 0 \le n \le n_1^{\lambda}\}, [n_i^{\lambda}] = \{n \in \mathbb{N} \mid n_{i-1}^{\lambda} < n \le n_i^{\lambda}\}, i \in \{2, \dots, k\}, [n_{k+1}^{\lambda}] = \{n \in \mathbb{N} \mid n_k^{\lambda} < n\}.$$

This implies that the terms of the form

$$N_{\lambda(k)} = \{\{0\}, \{1\}, \dots, \{k-1\}, [k]\}, k \in \mathbb{N},\$$

determine a cofinal sequence in $(\mathbf{N}, \leq)_{\underline{0}}$. Similarly, each term $(W_{\mu}, \leq'), \mu \in M_{\underline{0}}$, having $|W_{\mu}| \geq 2$, is an ordered set of the form

$$W_{\mu} = \{ [n_1^{\mu}], \dots, [n_{k+1}^{\mu}] \}, k \in \mathbb{N}, n_i^{\mu} \in \{ 0 \} \cup \mathbb{N}, i \in \{ 1, \dots, k+1 \},$$

where

$$\begin{split} & [n_1^{\mu}] = \{ \pm n \in \{0\} \cup (\pm \mathbb{N}) \mid 0 \le n \le n_1^{\mu} \land 0 \ge -n \ge -n_1^{\mu} \}, \\ & [n_i^{\mu}] = \{ \pm n \in \pm \mathbb{N} \mid n_{i-1}^{\mu} < n \le n_i^{\mu} \land -n_{i-1}^{\mu} > -n \ge -n_i^{\mu} \}, i \in \{2, \dots, k\}, \\ & [n_{k+1}^{\mu}] = \{ \pm n \in \pm \mathbb{N} \mid n_k^{\mu} < n \land -n > -n_k^{\mu} \}. \end{split}$$

This implies that the terms of the form

$$W_{\mu(k)} = \{\{0\}, \{-1, 1\}, \dots, \{-k+1, k-1\}, [k]\}, k \in \mathbb{N}, \}$$

determine a cofinal sequence in $(\boldsymbol{W}, \leq')_{\underline{0}}$. Notice that both $(N_{\lambda(k)}, \leq)$ and $(W_{\mu(k)}, \leq')$ are isomorphic to the segment $(\{1, 2, \ldots, k+1\}, \leq)$ of (\mathbb{N}, \leq) . Then the inclusion $j: (\{0\} \cup \mathbb{N}, \leq) \hookrightarrow (\mathbb{Z}, \leq')$ induces in an obvious way the isomorphism of the corresponding cofinal sequences in $(\boldsymbol{N}, \leq)_{\underline{0}}$ and $(\boldsymbol{W}, \leq')_{\underline{0}}$. The conclusion follows.

Let us now prove that $(N, \leq)_0$ and $(Z, \leq)_0$ are isomorphic objects of $pro-Ordg_0$, where $(Z, \leq)_0$ belongs to the canonical $Ordg_0$ -expansion

$$\mathbf{r}_{\underline{0}} = (r_{\gamma}) : (\mathbb{Z}, \leq) \to (\mathbf{Z}, \leq)_{\underline{0}} = ((Z_{\gamma}, \leq), r_{\gamma\gamma'}, \Gamma_{\underline{0}})$$

of (\mathbb{Z}, \leq) . The terms (N_{λ}, \leq) of $(\mathbf{N}, \leq)_{\underline{0}}$, having $|N_{\lambda}| \geq 2$, are already described. A term (Z_{γ}, \leq) of $(\mathbf{Z}, \leq)_{\underline{0}}$, having $|Z_{\gamma}| \geq 2$, is an ordered set of the form

$$Z_{\gamma} = \{ [m_1^{\gamma}], \dots, [m_{k+1}^{\gamma}] \}, k \in \mathbb{N}, m_i^{\gamma} \in \mathbb{Z}, i \in \{1, \dots, k+1\},\$$

where

$$\begin{split} & [m_1^{\gamma}] = \{ m \in \mathbb{Z} \mid m \le m_1^{\gamma} \}, \\ & [m_i^{\mu}] = \{ m \in \mathbb{Z} \mid m_{i-1}^{\mu} < m \le m_i^{\mu} \}, i \in \{2, \dots, k\}, \\ & [m_{k+1}^{\gamma}] = \{ m \in \mathbb{Z} \mid m_k^{\gamma} < m \}. \end{split}$$

Thus, the terms $Z_{\gamma(k)}, k \in \mathbb{N}$, of the form $(Z_{\gamma(1)} = \{[0] = \{\mathbb{Z}\}\})$

$$Z_{\gamma(2n)} = \{[-n+1], \{-n+2\}, \dots, \{0\}, \dots, \{n-1\}, [n]\},\$$

and

$$Z_{\gamma(2n+1)} = \{ [-n], \{-n+1\}, \dots, \{0\}, \dots, \{n-1\}, [n] \},\$$

determine a cofinal sequence in $(\mathbf{Z}, \leq)_{\underline{0}}$: Since both $(N_{\lambda(k)}, \leq)$ and $(Z_{\gamma(k)}, \leq)$ are isomorphic to the segment $(\{1, 2, \ldots, k+1\}, \leq)$ of (\mathbb{N}, \leq) , the conclusion follows as in the previous case.

Observe that Example 3 generalizes from (\mathbb{Z}, \leq') (Example 1 - diagram) to any "0-wedge of $(\{0\} \cup \mathbb{N})$ -rays" ordered by "to be on the right side of". The next example is even more interesting.

EXAMPLE 5.7. Let (\mathbb{Q}, \leq) and (\mathbb{R}, \leq) be the sets of rational and real numbers, respectively, with the standard orderings. We will prove that (\mathbb{Q}, \leq) , $(\mathbb{R} \setminus \mathbb{Q}, \leq)$ and (\mathbb{R}, \leq) have the same finite shape, i.e., that $Sh_0(\mathbb{Q}, \leq) =$ $Sh_0(\mathbb{R} \setminus \mathbb{Q}, \leq) = Sh_0(\mathbb{R}, \leq)$. Let

$$\boldsymbol{p}_0 = (p_{\lambda}) : (\mathbb{Q}, \leq) \to ((Q_{\lambda}, \leq), p_{\lambda\lambda'}, \Lambda_0)$$

and

$$\boldsymbol{q}_{\underline{0}} = (q_{\mu}) : (\mathbb{R}, \leq) \to ((R_{\mu}, \leq), q_{\mu\mu'}, M_{\underline{0}})$$

be the canonical $Ordg_0$ -expansions of (\mathbb{Q}, \leq) and (\mathbb{R}, \leq) respectively. Consider a term Q_{λ} having $|Q_{\lambda}| = k + 1 \geq 2$. The congruence classes $I_1, I_2, \ldots, I_{k+1}$ (elements of Q_{λ}) are disjoint intervals in (\mathbb{Q}, \leq) such that

- I_1 is of the form $\langle \cdot, x_1 \rangle = \{q \in \mathbb{Q} \mid q \leq x_1\}, x_1 \in \mathbb{Q}, \text{ or } \langle \cdot, x_1 \rangle = \{q \in \mathbb{Q} \mid q < x_1\}, x_1 \in \mathbb{R};$
- I_i is of the form $[x_{i-1}, x_i]$, $x_{i-1} \leq x_i$ in \mathbb{Q} , or $\langle x_{i-1}, x_i]$, $x_{i-1} \in \mathbb{R}$, $x_i \in \mathbb{Q}$, or $[x_{i-1}, x_i\rangle$, $x_{i-1} \in \mathbb{Q}$, $x_i \in \mathbb{R}$, or $\langle x_{i-1}, x_i\rangle$, $x_{i-1} < x_i$ in \mathbb{R} (I_i partially depends on I_{i-1}), $i \in \{2, \ldots, k\}$;
- I_{k+1} is of the form $[x_k, \cdot\rangle = \{q \in \mathbb{Q} \mid x_k \leq q\}, x_k \in \mathbb{Q}, \text{ or } \langle x_k, \cdot\rangle = \{q \in \mathbb{Q} \mid x_k < q\}, x_k \in \mathbb{R} \ (I_{k+1} \text{ is determined by } I_k), \text{ and }$
- $I_1 < I_2 < \cdots < I_{k+1}$.

The finest ones Q_{λ} 's alternate open intervals and singletons having I_1 and I_{k+1} to be the proper intervals, while there is no singleton whenever the "meeting point" of two intervals is irrational. Notice that such a (Q_{λ}, \leq) is isomorphic to the segment $(\{1, 2, \ldots, k+1\}, \leq)$ of (\mathbb{N}, \leq) . Since a "meeting point" $(x_i$ the "end" of I_i) may belong to $\mathbb{R} \setminus \mathbb{Q}$ as well (a cut in (\mathbb{Q}, \leq)), the cardinality of Λ_0 equals $|\mathbb{R}| = c$. To be more precise, an x_i can be a $q_i \equiv q_i^{\pm}$ - if it is rational, or an $r_i \equiv r_i^-$ - if it is irrational, such that q_i^- means that $q_i \notin I_i$, while q_i^+ means that $q_i \in I_i$. (For instance, if k = 4 and $(x_1, x_2, x_3, x_4) =$ $(r_1^-, q_2^-, q_3^+, r_4^-)$, it means that $r_1 < q_2 \leq q_3 < r_4$.; $q_2 \notin I_2, q_3 \in I_3$; if $q_3 = q_2$, then $I_3 = \{x_3\} = \{q_2\}$.) Therefore, we may identify every such (Q_{λ}, \leq) , i.e., every $\lambda \in \Lambda_0$, with a unique increasing k-tuple (x_1, x_2, \ldots, x_k) , where each x_i is some q_i^{\pm} or r_i^- , that yields a (canonical) bijection of the set of all such k-tuples (x_1, x_2, \ldots, x_k) , $k \in \mathbb{N}$, onto Λ_0 . (Recall that, by the general construction, in the case of $|Q_{\lambda}| = k + 1 = |Q_{\lambda'}|$, $\lambda \leq \lambda' \Leftrightarrow \lambda = \lambda'$.)

In the same way, each term R_{μ} having $|R_{\mu}| = k + 1 \ge 2$, consists of the congruence classes $I'_1, I'_2, \ldots, I'_{k+1}$ (elements of R_{μ}) that are disjoint intervals in (\mathbb{R}, \leq) such that

- I'_1 is of the form $\langle \cdot, x'_1 \rangle$ or $\langle \cdot, x'_1 \rangle$, $x'_1 \in \mathbb{R}$;
- I_i is of the form $[x'_{i-1}, x'_i], x'_{i-1} \leq x'_i$ in \mathbb{R} , or $\langle x'_{i-1}, x'_i]$, or $[x'_{i-1}, x'_i\rangle$, or $\langle x'_{i-1}, x'_i\rangle$, $x'_{i-1} < x'_i$ in \mathbb{R} $(I'_i$ partially depends on I'_{i-1}), $i \in \{2, \ldots, k\}$;
- I'_{k+1} is of the form $[x'_k, \cdot\rangle$ or $\langle x'_k, \cdot\rangle x'_k \in \mathbb{R}$ $(I'_{k+1}$ is determined by $I'_k)$, and

-
$$I'_1 < I'_2 < \dots < I'_{k+1}$$
.

The finest ones R_{μ} 's alternate open intervals and singletons having I_1 and I_{k+1} to be the proper intervals. Clearly, the cardinality of M_0 equals $|\mathbb{R}| = c$, and each (R_{μ}, \leq) is isomorphic to each $(Q_{\lambda}, \leq).of$ the same cardinality. As before, we may identify every such (R_{μ}, \leq) , i.e., every $\mu \in M_0$, with a unique increasing k-tuple $(x'_1, x'_2, \ldots, x'_k)$, where each x'_i is some q_i^{\pm} or r_i^{\pm} , and obtain a (canonical) bijection of the set of all such k-tuples $(x'_1, x'_2, \ldots, x'_k)$, $k \in \mathbb{N}$, onto M_0 . (Again, if $|R_{\mu}| = k + 1 = |R_{\mu'}|$, then $\mu \leq \mu' \Leftrightarrow \mu = \mu'$.)

The above consideration makes possible to define a function

$$\varphi: M_{\underline{0}} \to \Lambda_{\underline{0}}$$

in the following way. Let $\mu \in M_{\underline{0}}$. If $|R_{\mu}| = 1$ ($\sim_{\mu} = \mathbb{R} \times \mathbb{R}$), we put $\varphi(\mu) = \lambda$ such that $|Q_{\lambda}| = 1$ ($\sim_{\lambda} = \mathbb{Q} \times \mathbb{Q}$). Let $|R_{\mu}| = k + 1$ and let $(x'_1, x'_2, \ldots, x'_k)$ be canonically associated with μ .

CASE 1. The term (R_{μ}, \leq) , corresponding to $(x'_1, x'_2, \ldots, x'_k)$, does not contain any irrational singleton. Then (x_1, x_2, \ldots, x_k) such that, for every $i \in \{1, \ldots, k\}$, $x_i = x'_i$, corresponds to a unique $\lambda \in \Lambda_0$. Put $\varphi(\mu) = \lambda$. Notice that, since $x'_i = r_i^{\pm}$ and $x_i = r_i$ is possible, every $\lambda \in \Lambda_0$ is a $\varphi(\mu)$, for some (at most finitely many) μ of the considered kind. Further, if $\mu \leq \mu'$ then $\varphi(\mu) \leq \varphi(\mu')$.

CASE 2. The term (R_{μ}, \leq) , corresponding to $(x'_1, x'_2, \ldots, x'_k)$, contains some irrational singletons. Then there are at most $[\frac{k}{2}]$ such singletons $I'_i = \{x'_i\} = \{r_i\}, r_i \in \mathbb{R} \setminus \mathbb{Q}$, because the neighbors I'_{i-1} and I'_{i+1} must be proper intervals. Thus, the proper interval I'_{i+1} can be divided into two subintervals, $I'_{i+1,1}$ and $I'_{i+1,2}, I'_{i+1,1} < I'_{i+1,2}$. Put $I_i = I'_{i+1,1} \cap \mathbb{Q}$ and $I_{i+1} = I'_{i+1,2} \cap \mathbb{Q}$. In this way, inductively, by increasing indices $i = 2, \ldots, k$, is constructed an increasing k-tuple $(x_1 = x'_1, x_2, \ldots, x_k)$ that corresponds to a unique $\lambda \in \Lambda_0$, and $(Q_{\lambda}, \leq) \cong (R_{\mu}, \leq)$. Then put $\varphi(\mu) = \lambda$.

One readily sees that the constructed function $\varphi : M_0 \to \Lambda_0$ is an increasing surjection (not a bijection!)

Now, for every $\mu \in M_0$, put

$$f_{\mu}: (Q_{\varphi(\mu)}, \leq) \to (R_{\mu}, \leq)$$

to be the unique isomorphism. The conclusion follows, i.e.,

$$Sh_{\underline{0}}(\mathbb{Q}, \leq) = Sh_{\underline{0}}(\mathbb{R}, \leq).$$

In a quite similar manner one can prove that

$$Sh_{\underline{0}}(\mathbb{R} \smallsetminus \mathbb{Q}, \leq) = Sh_{\underline{0}}(\mathbb{R}, \leq).$$

REMARK 5.8. (a) Observe that, if λ and λ' are congruences on a poset (X, \leq) such that $(X/\lambda, \leq)$ and $(X/\lambda', \leq)$ are totally (well-) ordered, then $(X/(\lambda \cap \lambda'), \leq)$ is totally (well-) ordered. Therefore, it is possible and makes sense to consider the quotient shapes of partially (totally) ordered sets with respect to the totally or well-ordered (well-ordered) sets. In fact, Examples 3 and 4 are of such a kind.

(b) According to Example 4, the analogues of Example 3 for (\mathbb{Q}, \leq) , $(\mathbb{R} \setminus \mathbb{Q}, \leq)$ and (\mathbb{R}, \leq) (in several additional variations) can be constructed. By this, the new ordering \leq' on \mathbb{R} , is defined by the following rules:

(1)
$$((\forall r \in \mathbb{R}) \ 0 \leq r) \land (\leq r) \land (r \leq r) \land (\leq r) \land (r \leq r) \land (r \in \mathbb{R}^{-} \ (\forall r \in \mathbb{R}^{+}) \land (r \leq r) \land (r \in \mathbb{R}^{+}) \land (r \leq r) \land (r \in \mathbb{R}^{+}) \land (r \in \mathbb{R$$

(the only unrelated pairs are $\{-r, r\}, r \in \mathbb{R}!$).

EXAMPLE 5.9. By this example we shall prove that the ordered sets (\mathbb{R}, \leq) and $(\mathbb{R} \setminus \mathbb{Q}, \leq)$ have the same \aleph_0 -shape. However, they are not \aleph_0 -stable (isomorphic to a countable ordered set), and thus, their \aleph_0 -shape type differs from (\mathbb{Q}, \leq) . Let

$$\boldsymbol{p}_{\aleph_0} = (p_{\lambda}) : (\mathbb{R} \setminus \mathbb{Q}, \leq) \to (\boldsymbol{S}, \leq)_{\aleph_0} = ((S_{\lambda}, \leq), p_{\lambda\lambda'}, \Lambda_{\aleph_0}),$$
$$\boldsymbol{q}_{\aleph_0} = (q_{\mu}) : (\mathbb{R}, \leq) \to (\boldsymbol{R}, \leq)_{\aleph_0} = ((R_{\mu}, \leq), q_{\mu\mu'}, M_{\aleph_0})$$

be the appropriate canonical expansions. One may assume, without loss of generality, that all the sets S_{λ} and R_{μ} are infinite. A typical (enough fine) (R_{μ}, \leq) consists of an increasing infinite countable alternating chain $(I_j)_{j \in J(\mu)}$ (not necessarily discrete and having nor min neither max) of open intervals and singletons (of \mathbb{R}) $I_j \equiv r_j \in R_{\lambda}$. A typical (enough fine) S_{λ} one obtains of an (enough fine) R_{μ} by removing all of its rational singletons. This yields a unique surjection of M_{\aleph_0} onto Λ_{\aleph_0} . Then the inclusion of $(\mathbb{R} \setminus \mathbb{Q}, \leq)$ into (\mathbb{R}, \leq) induces an isomorphism of the corresponding canonical \aleph_0 -expansions. Namely, there exists cofinally many isomorphisms $i_{\mu} : (S_{i(\mu)}, \leq) \to (R_{\mu}, \leq)$. To see that (\mathbb{R}, \leq) is not \aleph_0 -stable, let us assume to the contrary. Then there exist a countable ordered set (X, \leq) and an \aleph_0 -shape isomorphism $F \in Sh_{\aleph_0}((X, \leq), (\mathbb{R}, \leq))$. Thus, further, there exists an isomorphism

$$\boldsymbol{f} = (f_{\mu}) : \lfloor (X, \leq) \rfloor \to (\boldsymbol{R}, \leq)_{\aleph_0}, \qquad q_{\mu\mu'} f_{\mu'} = f_{\mu},$$

of pro- $Ordg_{\aleph_0}$, having the inverse

$$\boldsymbol{g} = \lfloor g^{\mu_0} \rfloor : (\boldsymbol{R}, \leq)_{\aleph_0} \to \lfloor (X, \leq) \rfloor, \qquad g^{\mu_0} : (R_{\mu_0}, \leq) \to (X, \leq)$$

for some $\mu_0 \in M_{\aleph_0}$. Since all the $q_{\mu\mu'}$ are (effective) epimorphisms, one readily sees that $f_{\mu_0} : (X, \leq) \to (R_{\mu_0}, \leq)$ is an isomorphism of Ordg and $f_{\mu_0}^{-1} = g^{\mu_0}$. However, if $\mu > \mu_0$, then f_{μ} cannot be an isomorphism. Indeed, if such an f_{μ} is an isomorphism, then $q_{\mu_0\mu}$ is an isomorphisms, that contradicts the general construction.

We finish this section with the following very interesting examples.

EXAMPLE 5.10. (a) Let (\mathbb{N}, \leq^*) be the poset of Example 1(a), where $n \leq^* n'$ means that n factorizes (divides) n' $(n' = mn, \text{ for some } m \in \mathbb{N})$. Let us determine its canonical $Ordg_0$ -expansion

$$\boldsymbol{p}_{\underline{0}} = (p_{\lambda}) : (\mathbb{N}, \leq^*) \to (\boldsymbol{N}, \leq^*)_{\underline{0}} = ((N_{\lambda}, \leq^*), p_{\lambda\lambda'}, \Lambda_{\underline{0}}).$$

Let $\lambda \equiv \sim$ be a congruence on (\mathbb{N}, \leq^*) , and let $p: (\mathbb{N}, \leq^*) \to (\mathbb{N}/\lambda, \leq^*)$ be the (genuine order-preserving) quotient function. Since, for every $m, n \in \mathbb{N}$, $m \leq^* nm$ and $n \leq^* mn = nm$ hold, it follows that $[m] \leq^* [mn]$ and $[n] \leq^* [nm]$ hold as well. Assume that λ yields the quotient poset $(\mathbb{N}/\lambda, \leq^*)$ which is finite and not trivial, i.e., $|\mathbb{N}/\lambda| = k \in \mathbb{N}, k \geq 2$. Let $[n_1], \ldots, [n_k]$ be all the congruence classes. Then we may assume that, for every $i \in \{1, \ldots, k\}$, n_i is the minimal (in the natural ordering) representative of $[n_i]$ and that $n_1 = 1 < n_2 < \cdots < n_k$ (in the natural ordering of \mathbb{N}). Further,

$$(\forall i \in \{2, \dots, k\})$$
 [1] <* [n_i]

Thus, since at least one of $[n_2], \ldots, [n_3]$ is an infinite subset of \mathbb{N} , the class [1] must be the singleton $\{1\}$, and hence, $n_2 = 2$. Let us, firstly, consider the simplest case of $2 \le k \le 3$. If k = 2, then, clearly, $n_1 = 1$, $n_2 = 2$ and

$$[1] = \{1\} <^* [2] = \mathbb{N} \setminus \{1\}$$

must hold. If k = 3, then or $[2] <^* [n_3]$ either $[2] \not<^* [n_3]$. In the first subcase, [2] must be a finite subset of \mathbb{N} , while $[n_3]$ must contain all $n \in \mathbb{N}$ such that $n \ge \max[2] + 1$. However, then

$$[1] = \{1\} <^* [2] <^* [n_3] \supseteq [\max[2] + 1]$$

must hold - a contradiction (because there exists a prime number p > 2 in the class $[n_3]$). Thus, the second subcase, i.e., $[2] \not\leq^* [n_3]$ must occur. Since $[n_3] \not\leq^* [2]$ as well, and since the quotient function is order-preserving,

$$(\forall n \in [2])(\forall n' \in [n_3) \quad (n \not<^* n' \land n' \not< n)$$

must hold. However, one readily sees that such a partition $\{[2], [n_3]\}$ of $\mathbb{N}\setminus\{1\}$ cannot exist. Consequently, the case of $2 \leq k \leq 3$ reduces to k = 2. A quite similar analysis shows that the case $2 \leq k \leq 4$ reduces to $2 \leq k \leq 3$, and thus, to k = 2. And in general, the case $2 \leq k \leq m + 1$, $m \in \mathbb{N}$, reduces to

 $2 \leq k \leq m$. Therefore, by induction, the only non-trivial possibility is k = 2. Therefore, the canonical $Ordg_{\underline{0}}$ -expansion p_0 of (\mathbb{N}, \leq^*) is

$$(\{\mathbb{N}\},\leq^*)\stackrel{p_{12}}{\leftarrow} (\{\{1\},\mathbb{N}\setminus\{1\}\},\leq^*)\stackrel{p_2}{\leftarrow}(\mathbb{N},\leq^*),$$

that is isomorphic (in pro- $Ordg_0$) to

$$\lfloor p \rfloor : (\mathbb{N}, \leq^*) \to (\{\{1\}, \mathbb{N} \setminus \{1\}\}, \leq^*).$$

Consequently,

$$\boldsymbol{p}_{\underline{0}}' = \lfloor p' \rfloor : (\mathbb{N}, \leq^*) \to (\{1, 2\}, \leq), p'(n) = \begin{cases} 1, n = 1\\ 2, n \in \mathbb{N} \setminus \{1\} \end{cases}$$

is a rudimentary $Ordg_0$ -expansion of (\mathbb{N}, \leq^*) . Therefore, the poset (\mathbb{N}, \leq^*) is (finitely) *stable* (0-*stable*), i.e., it is isomorphic to an object of $Ordg_0$. (Observe that the canonical \aleph_0 -expansion, as well as every κ^- - and κ -expansion of (\mathbb{N}, \leq^*) , $\kappa > \aleph_0$, is the identity morphism.)

(b) Let us extend (\mathbb{N}, \leq^*) to $(\mathbb{N}_{\infty}, \leq^*)$ by adding a unique maximal element ∞ such that, for every $n \in \mathbb{N}_{\infty} = \mathbb{N} \cup \{\infty\}$, $n \leq^* \infty$. Then, quite similarly to the case (a) (via the canonical $Ordg_0$ -expansion q_0), one obtains a rudimentary $Ordg_0$ -expansion

$$\boldsymbol{q}_{0}' = \lfloor q' \rfloor : (\mathbb{N}_{\infty}, \leq^{*}) \to (\{1, 2, 3\}, \leq), q'(n) = \begin{cases} 1, n = 1\\ 2, n \in \mathbb{N} \setminus \{1\}\\ 3, n \equiv \infty \end{cases}$$

Thus, the posets (\mathbb{N}, \leq^*) and $(\mathbb{N}_{\infty}, \leq^*)$ are both (finitely) stable having different finite shapes.

6. The shapes of pseudometric spaces

6.1. The general case. An analysis shows that the (general) quotient shape theory (by means of infinite cardinals) applied to pseudometric (gauge; [5], Ch. IX, Sec. 10) spaces (endowed with various kinds of convenient classes of morphisms) has some obstacles. First of all, one has to define a certain category of pseudometric spaces, i.e., a suitable class of functions should be chosen to become the needed class of morphisms. Although a pseudometric on a set induces a topology (having as a basis the family of all pseudoballs), it is not often usual to consider pseudometric spaces as the topological (pseudometrizable) spaces. Still, let us denote by $Met \subseteq PMet$ the corresponding categories of all pseudo(metric) spaces and their continuous functions. We will abandon, for a moment, the case with continuous functions by attempting to find a larger class of suitable morphisms of pseudometric spaces.

Let (X, ρ) and (Y, σ) be pseudometric spaces and let $f : X \to Y$ be a function. Then f is said to be *admissible* (with respect to ρ and σ) if the following condition is fulfilled:

$$(\forall x_1, x_2 \in X) \quad \rho(x_1, x_2) = 0 \Rightarrow \sigma(f(x_1), f(x_2)) = 0.$$

Notice that, if ρ is a metric, then every function $f: X \to Y$ is admissible (for ρ and any σ). There obviously exists the corresponding category $PMet^a$ of all pseudometric spaces and their admissible functions. Then the category Met^a of all metric spaces and functions is a full subcategory of PMt^a . (Some other possibilities are given in Remark 5, (a) and (b), below.) In order to get a pseudometric quotient space $(X_\lambda, \rho_\lambda)$ on $X_\lambda \equiv X/\lambda$, the equivalence relation $\sim \equiv \lambda$ on X should fulfill the following condition: For every pair $x, x' \in X$,

$$x \sim x' \Rightarrow \rho_{\lambda}([x]_{\lambda}, [x']_{\lambda}) = \rho_{\lambda}([x]_{\lambda}, [x]_{\lambda}) = 0.$$

Further, since the quotient function $p_{\lambda} : X \to X_{\lambda}$, $p_{\lambda}(x) = [x]_{\lambda}$, should be admissible with respect to ρ and ρ_{λ} ,

$$\rho(x, x') = 0 \Rightarrow \rho_{\lambda}([x]_{\lambda}, [x']_{\lambda}) = 0$$

must hold. Therefore, we define an equivalence relation $\lambda \equiv \sim$ on X to be a *congruence* on (X, ρ) provided the following condition is fulfilled:

$$x \sim x' \Rightarrow \rho(x, x') = 0.$$

It is readily seen that a congruence λ on (X, ρ) admits a function $\rho_{\lambda} : X_{\lambda} \times X_{\lambda} \to \mathbb{R}$ defined by

$$\rho_{\lambda}([x_1]_{\lambda}, [x_2]_{\lambda}) = \rho(x_1, x_2),$$

Namely, if $x_1, x'_1, x_2, x'_2 \in X$ such that $[x_1] = [x'_1]$ and $[x_2] = [x'_2]$, then the triangle inequality yields $\rho(x_1, x_2) \leq \rho(x'_1, x'_2)$ and $\rho(x'_1, x'_2) \leq \rho(x_1, x_2)$, and thus $\rho(x_1, x_2) = \rho(x'_1, x'_2)$. Moreover, one easily verifies that ρ_{λ} is a pseudometric on X_{λ} and that the quotient function $p_{\lambda} : (X, \rho) \to (X_{\lambda}, \rho_{\lambda})$ is admissible.

Observe that every congruence on (X, ρ) divides each subset

$$R_x = \{x' \mid \rho(x, x') = 0\} \subseteq X \text{separately}.$$

Thus, there exists the largest congruence $\sim_{\rho} \equiv \lambda_{\rho}$ on (X, ρ) , which is determined by

$$x \sim_{\rho} x' \Leftrightarrow \rho(x, x') = 0.$$

Clearly, for every congruence λ , $|X_{\lambda\rho}| \leq |X_{\lambda}|$.

If we now want to proceed in the general way of constructing the quotient κ^- -shape (κ -shape) categories, we have to be aware of the following obstacle: If $|X_{\lambda_{\rho}}| \geq \kappa_0$ ($|X_{\lambda_{\rho}}| > \kappa_0$), then, for every infinite $\kappa \leq \kappa_0$ ($\kappa < \kappa_0$), there does not exist the canonical p_{κ^-} -expansion (p_{κ} -expansion) of (X, ρ). One can overcome this obstacle by passing to the appropriate subcategories. (Consider the full subcategories $PMet^a_{\kappa_*}, PMet^a_{\kappa^*} \subseteq PMet^a$ determined by all the objects (X, ρ) such that $|X_{\lambda_{\rho}}| < \kappa$, $|X_{\lambda_{\rho}}| \leq \kappa$, respectively; see the next subsection.) However, the factorization condition (E1) cannot be successfully solved (compare Lemma 7 below and its proof). Therefore, we shall consider the largest congruence on (X, ρ) only. Denote $X_{\rho} = X_{\lambda_{\rho}} \equiv X/\lambda_{\rho}$ the (smallest) quotient set, and denote the pseudometric $\rho_{\lambda_{\rho}}$ by

$$d_{\rho}: X_{\rho} \times X_{\rho} \to \mathbb{R}, d_{\rho}([x_1], [x_2]) = \rho(x_1, x_2).$$

LEMMA 6.1. For every pseudometric space (X, ρ) , the quotient (X_{ρ}, d_{ρ}) is a metric space and $p: (X, \rho) \to (X_{\rho}, d_{\rho}), p(x) = [x]$, is an admissible function. Moreover, d_{ρ} is an ultrametric if and only if, ρ is an ultrapseudometric.

PROOF. Notice that d_{ρ} inherits all the pseudometric properties of ρ . Further,

$$d_{\rho}([x_1], [x_2]) = \rho(x_1, x_2) = 0 \Rightarrow x_1 \sim_{\rho} x_2 \Rightarrow [x_1] = [x_2]$$

Thus, d_{ρ} is a metric. The quotient function p is admissible because \sim_{ρ} is a congruence. If ρ is an ultrapseudometric, i.e., the following strengthening of the triangle inequality

$$(\forall x, x', x'' \in X) \quad \rho(x, x') \le \max\{\rho(x, x''), \rho(x'', x')\},\$$

holds, then the same holds for d_{ρ} . Conversely, if d_{ρ} is an ultrametric, then, for all $x, x', x'' \in X$,

$$\rho(x, x') = d_{\rho}([x], [x']) \le \max\{d_{\rho}([x], [x'']), d_{\rho}(fx''], [x'])\}$$

= max{ $\rho(x, x''), \rho(x'', x')$ }.

Hence, ρ is an ultrapseudometric.

To go further, let us observe that an $f : (X, \rho) \to (Y, \sigma)$ is admissible (i.e., $\rho(x, x') = 0 \Rightarrow \sigma(f(x), f(x')) = 0$) if and only if,

$$(\forall x, x' \in X) \quad x \sim_{\rho} x' \Rightarrow f(x) \sim_{\sigma} f(x')$$

(i.e., f is $(\sim_{\rho}, \sim_{\sigma})$ -preserving.

LEMMA 6.2. Let $f: (X, \rho) \to (Y, \sigma)$ be a morphism of PMet^a. Then there exists a unique morphism $\tilde{f}: (X_{\rho}, d_{\rho}) \to (Y_{\sigma}, d_{\sigma})$ of Met^a such that $\tilde{f}p = qf$, i.e., the following diagram (in PMet^a) commutes

$$\begin{array}{rcl} (X_{\rho}, d_{\rho}) & \stackrel{p}{\leftarrow} & (X, \rho) \\ f \downarrow & & \downarrow f \\ (Y_{\sigma}, d_{\sigma}) & \stackrel{q}{\leftarrow} & (Y, \sigma) \end{array}$$

PROOF. Since f is admissible, it is $(\sim_\rho,\sim_\sigma)\text{-preserving}.$ Thus, there exists a unique function

$$\tilde{f}: X_{\rho} \to Y_{\sigma}, \tilde{f}([x]) = [f(x)].$$

Hence, for every $x \in X$,

$$\tilde{f}p(x) = \tilde{f}([x]) = [f(x)] = qf(x).$$

Since (X_{ρ}, d_{ρ}) is a metric space, the function $\tilde{f}: (X_{\rho}, d_{\rho}) \to (Y_{\sigma}, d_{\sigma})$ belongs to $Mor(Met^{a})$.

The next lemma accomplishes Lemma 6,

LEMMA 6.3. Let $f:(X,\rho) \to (Y,\delta)$ be a morphism of $PMet^a$ with (Y,δ) a metric space. Then the equivalence relation \sim_f on X, induced by fibers of f, is coarser than \sim_{ρ} , and there exists a unique morphism $\tilde{f}:(X_{\rho},d_{\rho}) \to (Y,\delta)$ of Met^a such that $\tilde{f}p = f$.

PROOF. Since

$$x \sim_f x' \Leftrightarrow f(x) = f(x'),$$

and since f is a dmissible and δ is a metric, it follows that

 $x \sim_{\rho} x' \Leftrightarrow \rho(x, x') = 0 \Rightarrow \delta(f(x), f(x')) = 0 \Leftrightarrow f(x) = f(x') \Leftrightarrow x \sim_{f} x'.$

Thus, \sim_f is coarser than \sim_{ρ} . Consequently, there exists a (set) function

$$': X_{\rho} \to X/\sim_f, \quad p'([x]) = [x]_f$$

Further, since $x \sim_f x'$ implies f(x) = f(x'), there exists a function

$$f': X/ \sim_f \to Y, \quad f'([x]_f) = f(x).$$

Put $\tilde{f} = f'p' : X_{\rho} \to Y$. Then

$$\tilde{f}([x]) = f'p'([x]) = f'([x]_f) = f(x).$$

Therefore, $\tilde{f}p = f$. Since (X_{ρ}, d_{ρ}) is a metric space, the function \tilde{f} is \tilde{f} : $(X_{\rho}, d_{\rho}) \to (Y, \delta)$ belonging to $Mor(Met^{a})$, and it is unique because p is surjective.

The proven facts from above yield the following theorem and corollary.

THEOREM 6.4. The category Met^a of all metric spaces and functions is a rudimentary pro-reflective subcategory of the category $PMet^a$ of all pseudometric spaces and admissible functions. More precisely, for every pseudometric space (X, ρ) , the quotient (effective) epimorphism $p: (X, \rho) \to (X_{\rho}, d_{\rho})$ is a rudimentary Met^a -expansion of (X, ρ) .

COROLLARY 6.5. For every $f : (X, \rho) \to (Y, \delta)$ of $PMet^a$, with (Y, δ) a metric space, and every congruence λ on (X, ρ) , there exists a unique factorization through $(X_{\lambda}, \rho_{\lambda})$, $f = f_{\lambda}p_{\lambda}$.

PROOF. Observe that every congruence λ is finer than \sim_{ρ} and apply Theorem 4.

REMARK 6.6. (a) One can prove, in the same way, that $Met \subseteq PMet$ is also a rudimentary pro-reflective subcategory, i.e., every pseudometric space (X, ρ) admits a rudimentary epimorphic Met-expansion $p: (X, \rho) \to (X_{\rho}, d_{\rho})$ (all the functions are continuous).

(b) There are some other interesting possibilities of choosing suitable morphisms of pseudometric spaces. For instance, each of the two following ("equidistance preserving") properties of a function is categorical on pseudometric spaces:

- (1) $\rho(x_1, x_2) = \rho(x'_1, x'_2) \Rightarrow \sigma(f(x_1), f(x_2)) = \sigma(f(x'_1), f(x'_2)),$
- (2) $\sigma(f(x_1), f(x_2)) = \sigma(f(x_1'), f(x_2')) \Rightarrow \rho(x_1, x_2) = \rho(x_1', x_2').$

Notice that every function from a non null-pseudometric ($\rho \neq c_0$) space to a null-pseudometric ($\sigma = c_0$) space has property (1) but not (2), while the function $f : (\mathbb{R}, \rho = c_0) \rightarrow (\mathbb{R}^2, d_2)$, $f(\xi) = (\xi, \xi)$, has property (2) but not (1). All p_{λ} and $p_{\lambda\lambda'}$, obtained by congruences, have both properties (1) and (2). Again, the appropriate metric categories (having property (1) or (2) or (1) and (2) simultaneously) are rudimentary pro-reflective subcategories of the corresponding pseudometric categories. Furthermore, property (2) admits the non-rudimentary shape theories for $PMet_{\kappa^-}^{(2)} \subseteq PMet_{\kappa^*}^{(2)}$ and $PMet_{\kappa}^{(2)} \subseteq$ $PMet_{\kappa^*}^{(2)}$. An analogue holds true in the special case of properties (1) and (2) simultaneously as well.

(c) It is well known fact ([13, I.2.1, Example 1]) that the topological category of realcompact spaces (compact Hausdorff spaces) is a rudimentary pro-reflective subcategory of the category of completely regular spaces - via the Hewitt realcompactification (the Stone-Čech compactification). However, except briefly in [17], Section 5, the corresponding shape theories were not studied.

EXAMPLE 6.7. Let $X = A \sqcup B$ (disjoint union), and let ρ be a pseudometric on X such that, for all $a, a' \in A$ and all $b, b' \in B$,

$$\rho(a, a') = 0 = \rho(b, b'), \quad \rho(a, b) > 0.$$

Similarly, let $Y = C \sqcup D$, and let σ be a pseudometric on Y such that, for all $c, c' \in C$ and all $d, d' \in D$,

$$\sigma(c,c') = 0 = \sigma(d,d'), \quad \sigma(c,d) > 0.$$

Then both quotient spaces (X_{ρ}, d_{ρ}) and (Y_{σ}, d_{σ}) are isomorphic (in Met^a) to the discrete (ultra)metric space $\{0, 1\}$, and thus, $Sh_{Met^a}(X, \rho) = Sh_{Met^a}(Y, \sigma)$. However, if $|X| \neq |Y|$, then $(X, \rho) \not\approx (Y, \sigma)$ in $PMet^a$.

EXAMPLE 6.8. Let $X = \mathbb{R}^2$ and let $\rho: X \times X \to \mathbb{R}$ be defined by

$$\rho(x, x') = \rho((\xi^1, \xi^2), (\xi'^1, \xi'^2)) = \left|\xi^1 - \xi'^1\right|$$

Then (X, ρ) is a pseudometric space. Let $Y = \mathbb{R}^3$ and let $\sigma : Y \times Y \to \mathbb{R}$ be defined by

$$\sigma(y,y') = \sigma((\eta^1,\eta^2,\eta^3),(\eta'^1,\eta'^2,\eta'^3)) = \left|\eta^1 - \eta'^1\right|$$

Then (Y, σ) is a pseudometric space. Since $(X_{\rho}, d_{\rho}) \cong (Y_{\sigma}, d_{\sigma}) \cong \mathbb{R}$ (the Euclidean line) in *Met*, it follows that

$$Sh_{Met}(X,\rho) = Sh_{Met}(Y,\sigma) \quad (\text{and } Sh_{Met^a}(X,\rho) = Sh_{Met^a}(Y,\sigma)).$$

In both cases the shape representative may be \mathbb{R} . On the other side, one readily sees that $(\mathbb{R}^2, \rho) \cong (\mathbb{R}^3, \sigma)$ in $PMet^a$ as well (map each line $\{\xi\} \times \mathbb{R}$)

bijectively to the corresponding plane $\{\xi\} \times \mathbb{R} \times \mathbb{R}$). However, in the continuous case, it is obvious that

$$(X,\rho) = (\mathbb{R}^2,\rho) \not\approx (\mathbb{R}^3,\sigma) = (Y,\sigma)$$

in PMet.

EXAMPLE 6.9. Let (X, ρ) and Y be as in Example 8, and let the pseudometric σ' on Y be defined by

$$\sigma'(y,y') = \sigma((\eta^1,\eta^2,\eta^3),(\eta'^1,\eta'^2,\eta'^3)) = (\left|\eta^1-\eta'^1\right|^2 + \left|\eta^2-\eta'^2\right|^2)^{\frac{1}{2}}.$$

Since $(X_{\rho}, d_{\rho}) \approx \mathbb{R}$ and $(Y_{\sigma'}, d_{\sigma'}) \approx \mathbb{R}^2$ (the Euclidean plane), the metric spaces (X_{ρ}, d_{ρ}) and $(Y_{\sigma'}, d_{\sigma'})$ are isomorphic in Met^a (see Example 8). Therefore, $Sh_{Met^a}(X, \rho) = Sh_{Met^a}(Y, \sigma')$ and their (admissible) shape representative may be \mathbb{R} . However, in the continuous case, $Sh_{Met}(X, \rho) = Sh_{Met}(\mathbb{R}) \neq Sh_{Met}(\mathbb{R}^2) = Sh_{Met}(Y, \sigma')$.

6.2. The shapes of ultrapseudometric spaces. Recall again that an ultrapseudometric (ultrametric) on a set X is a pseudometric (metric) $\rho: X \times X \to \mathbb{R}$ satisfying the following strengthening of the triangle inequality:

$$(\forall x, x', x'' \in X) \quad \rho(x, x') \le \max\{\rho(x, x''), \rho(x'', x')\}.$$

An ultrapseudometric (ultrametric) space is a pseudometric (metric) space (X, ρ) where ρ is an ultrapseudometric (ultrametric). Let us firstly observe that a congruence $\sim \equiv \lambda$ on an ultrapseudometric space (X, ρ) , i.e.,

$$x \sim x' \Rightarrow \rho(x, x') = 0.$$

induces an ultrapseudometric ρ_{λ} on $X/\lambda \equiv X_{\lambda}$ by defining

$$\rho_{\lambda}([x_1]_{\lambda}, [x_2]_{\lambda}) = \rho(x_1, x_2).$$

Therefore, everything we have established in the first subsection concerning $Met^a \subseteq PMet^a$ (and the other cases mentioned in Remark 5, (a) and (b)) remains valid for their full subcategories $UMet^a \subseteq UPMet^a$ of ultrapseudometric spaces and admissible (and the other mentioned classes of) functions.

Nevertheless, there is another interesting operable possibility in constructing ultrametric expansions of ultrapseudometric spaces, influenced by results obtained in [16]. By Theorem 4 of [16], there is a certain duality between ultrapseudometrics on a set X and monotone families of equivalence relations on X. For the sake of simplicity, we will consider the subcategory of UPMetdetermined by $diam(X, \rho) \leq 1$ (see [16], Lemma 1, and [16], Remark 5 (a)). By Theorem 1 of [16], every such ultrapseudometric ρ on X induces a unique decreasing (in general, not strictly decreasing) family of equivalence relations $(\sim_t)_{t \in [0,\cdot)}, [0, \cdot) \subseteq \mathbb{R}$, on X by the rule

$$x \sim_t x' \Leftrightarrow \rho(x, x') \le \frac{1}{1+t}$$

such that $\sim_0 = X \times X$, and, if ρ is an ultrametric, then $\cap_{t \in [0, \cdot)} \sim_t = \Delta_X$. (In two other cases, i.e., if $1 < diam(X, \rho) < \infty$ or $diam(X, \rho) = \infty$, the family (\sim_t) is defined according to [16], Remark 5 (a)). Let, for every $t \in [0, \cdot)$, $X_t \equiv X / \sim_t$ be the quotient set, and let $p_t : X \to X_t$, $p_t(x) = [x]_t$, be the quotient function. Observe that

$$\rho(x, x') = 0 \Leftrightarrow (\forall t \in [0, \cdot)) \quad x \sim_t x'.$$

Hence,

$$x \sim_t x' \not\Rightarrow x \sim_\rho x' (\Leftrightarrow \rho(x, x') = 0),$$

and thus, \sim_t is *not*, generally, a congruence on (X, ρ) . Nevertheless, the following facts hold.

LEMMA 6.10. For every $t \in [0, \cdot)$, the rule

$$d_t([x_1]_t, [x_2]_t) = \begin{cases} 0, & [x_1]_t = [x_2]_t \\ \rho(x_1, x_2), & [x_1]_t \neq [x_2] \end{cases}$$

defines a function $d_t : X_t \times X_t \to \mathbb{R}$. Moreover, (X_t, d_t) is an ultrametric space, and the quotient function $p_t : (X, \rho) \to (X_t, d_t)$ is continuous.

PROOF. Given a $t \in [0, \cdot)$, let $x_1, x'_1, x_2, x'_2 \in X$ such that $[x_1]_t = [x'_1]_t$ and $[x_2]_t = [x'_2]_t$. This means that $x_1 \sim_t x'_1$ and $x_2 \sim_t x'_2$, and thus, $\rho(x_1, x'_1) \leq \frac{1}{1+t}$ and $\rho(x_2, x'_2) \leq \frac{1}{1+t}$. Now, if $[x_1]_t = [x_2]_t$, then $[x'_1]_t = [x'_2]_t$. So, in this case the definition is correct. In the remaining case, i.e., if $[x_1]_t \neq [x_2]_t$, then $[x'_1]_t \neq [x'_2]_t$, i.e., $x_1 \not\sim_t x_2$ and $x'_1 \not\sim_t x'_2$, and thus, $\rho(x_1, x_2) > \frac{1}{1+t}$ and $\rho(x'_1, x'_2) > \frac{1}{1+t}$. Since ρ is an ultrapseudometric, the strengthening of the triangle inequality implies that

$$\rho(x_1, x_2) = \rho(x_1, x_2') = \rho(x_1', x_2'),$$

which proves that d_t is well defined in this case as well. Now one straightforwardly verifies that d_t is an ultrapseudometric on X_t . Moreover, if $d_t([x_1]_t, [x_2]_t) = 0$, then or $[x_1]_t = [x_2]_t$, or $\rho(x_1, x_2) = 0$ and $[x_1]_t \neq [x_2]_t$. However, the second case cannot occur. Indeed, if $\rho(x_1, x_2) = 0$ would hold, then $x_1 \sim_{t'} x_2$ for all t', especially implying $[x_1]_t = [x_2]_t$ - a contradiction. Consequently, d_t is an ultrametric. The continuity of p_t follows by the definition of d_t .

Observe that

$$t \leq t' \Leftrightarrow \sim_{t'} \subseteq \sim_t \Leftrightarrow$$
 (the refinement) $\Leftrightarrow |X_t| \leq |X_{t'}|$

Then the induced function $p_{tt'}: (X_{t'}, d_{t'}) \to (X_t, d_t), p_{tt'}p_{t'} = p_t$, is obviously continuous. Further, (X_0, d_0) is a singleton, and, for every $t \in [0, \cdot)$,

$$x \sim_{\rho} x' \Rightarrow x \sim_{t} x',$$

and thus, $|X_t| \leq |X_{\rho}|$. (Hence, each term X_t belongs to the complementary part - comparing to the case with congruences - of the "quotient system" associated with (X, ρ) .) In this way we have constructed a morphism

$$\boldsymbol{p} = (p_t) : (X, \rho) \to (\boldsymbol{X}, \boldsymbol{d}) = ((X_t, d_t), p_{tt'}, [0, \cdot))$$

of *pro-UPMet*, associated with $(X, \rho) \in Ob(UPMet)$, such that (X, d) belongs to *pro-UMet*. Finally, observe that a needed "ultra" analogue of Lemma 7 holds as well. More precisely, if $|Y| \leq |X_{\rho}|$, then every continuous (admissible) $f : (X, \rho) \to (Y, \delta)$ uniquely continuously (admissible) factorizes through an (X_t, d_t) and $|X_t| \leq |Y|$.

We now proceed as follows. Let κ be an infinite cardinal, and let $UPMet_{\kappa_*}$ $(UPMet_{\kappa^*})$ be the full subcategory of UPMet determined by all the objects (X, ρ) satisfying $|X_{\rho}| < \kappa$ $(|X_{\rho}| \leq \kappa)$. Let $UMet_{\kappa^-}$ $(UMet_{\kappa})$ denote, as usually, the full subcategory of UMet determined by all the objects having cardinalities less than (less or equal to) κ . Then, clearly, $UMet_{\kappa^-} \subseteq UPMet_{\kappa_*}$ $(UMet_{\kappa} \subseteq UPMet_{\kappa^*})$ is a full subcategory. The same holds in the admissible case, with the appropriate notation, as well. Let T_{κ^-} (T_{κ}) be the subset of $[0, \cdot\rangle$ such that, for every $t \in T_{\kappa^-}$ $(t \in T_{\kappa})$, $|X_t| < \kappa$ $(|X_t| \leq \kappa)$, and let

$$\boldsymbol{p}_{\kappa^{-}}: (X,\rho) \to (\boldsymbol{X},\boldsymbol{d})_{\kappa^{-}}(\boldsymbol{p}_{\kappa}: (X,\rho) \to (\boldsymbol{X},\boldsymbol{d})_{\kappa})$$

be the appropriate restriction of \boldsymbol{p} to T_{κ^-} (T_{κ}) . Then, for every (X, ρ) of $UPMet_{\kappa_*}$ $(UPMet_{\kappa^*})$, the proven facts imply that

- $\boldsymbol{p}_{\kappa^-}$: $(X,\rho) \to (\boldsymbol{X},\boldsymbol{d})_{\kappa^-}$ is a totally ordered epimorphic expansion with respect to $UMet_{\kappa^-}$ and to $UMet^a_{\kappa^-}$;
- $(\boldsymbol{p}_{\kappa}: (X, \rho) \to (\boldsymbol{X}, \boldsymbol{d})_{\kappa}$ is a totally ordered epimorphic expansion with respect to $UMet_{\kappa}$ and to $UMet_{\kappa}^{a}$);
- $p: (X, \rho) \to (X_{\rho}, d_{\rho})$ is a rudimentary epimorphic expansion with respect to UMet and to $UMet^{a}$;
- the index set $[0, \cdot) \subseteq \mathbb{R}$ is sufficiently large to cover all the (infinite) cases.

Hence, the following theorem (in the categorical terms) holds true.

- THEOREM 6.11. (i) For every infinite cardinal κ , the subcategories $UMet_{\kappa^-} \subseteq UPMet_{\kappa_*}$ and $UMet_{\kappa} \subseteq UPMet_{\kappa^*}$ ($UMet^a_{\kappa^-} \subseteq UPMet^a_{\kappa_*}$) are pro-reflective by means of epimorphic expansions indexed by totally ordered index sets of cardinalities less or equal \aleph_1 (CH).
- (ii) The subcategory $UMet \subseteq UPMet$ ($UMet^a \subseteq UPMet^a$) is proreflective by means of rudimentary epimorphic expansions.

Hereby we shall not explicitly mention the appropriate quotient shape categories and corresponding functors.

COROLLARY 6.12. For every infinite cardinal κ_0 and every infinite cardinal $\kappa < \kappa_0$ ($\kappa \le \kappa_0$), every ultrapseudometric space (X, ρ) , with $|X_\rho| < \kappa_0$ $(|X_\rho| \le \kappa_0)$, admits a quotient ultrametric κ^- -expansion (κ -expansion) with a totally ordered index set $T \subseteq [0, \cdot) \subseteq \mathbb{R}$.

The next example shows that those shape categories provide mutually different classifications of ultrapseudometric spaces (strictly coarser than the isomorphiness).

EXAMPLE 6.13. Let (X, ρ) be an ultrapseudometric non-metric space such that the corresponding ultrametric quotient space (X_{ρ}, d_{ρ}) has cardinality $|X_{\rho}| > \aleph_0$. Then, by the above construction and results, the rudimentary UMet-shape of (X, ρ) , $Sh_{UMet}(X, \rho)$, is not the isomorphism class of (X, ρ) in UPMet. Further, both of them differ from the UMet-shapes $Sh_0(X, \rho)$ and $Sh_{\aleph_0}(X, \rho)$. Finally, $Sh_0(X, \rho) \neq Sh_{\aleph_0}(X, \rho)$ as well.

We finish this section with the following simple corollary concerning the admissible cases.

COROLLARY 6.14. A pair $(X, \rho), (Y, \sigma) \in Ob(UPMet_{\kappa_*})$ $(Ob(UPMet_{\kappa^*}))$ has the same admissible quotient shape type, for all appropriate $\kappa^ (\kappa)$, (admissible rudimentary quotient shape type) if and only if, the corresponding pair of metric spaces $(X_{\rho}, d_{\rho}), (Y_{\sigma}, d_{\sigma})$ has the same cardinality.

PROOF. As we already mentioned, $Met^{a}((A, d), (A', d')) = Set(A, A')$. Thus, the conclusion follows by Theorem 5 (i) (Theorem 4) and Theorem 2.

7. The (quotient) shapes of topological spaces

For every topological space X (we stress the topological structure only when necessary) and every equivalence relation $\sim \equiv \lambda$ on the set X, the topological structure on the quotient set X/λ is defined to be the finest (largest, maximal) one such that the quotient function $p: X \to X/\lambda$ is continuous, i.e., a $V \subseteq X/\lambda$ is open if and only if $p^{-1}[V] \subseteq X$ is open. So, there is no restriction to the set Λ of all equivalence relations on the set X. Recall that, for every mapping (continuous function) $f: X \to Y$, the equivalence relation \sim_f (by the fibers of f) induces the factorization f = up, where

$$p: X \to X/\sim_f, \quad u: X/\sim_f \to Y$$

and p is a surjection. By that, u is a homeomorphism, if and only if f is an identification. Further, for every commutative diagram (in Top)

$$\begin{array}{cccc} X & \stackrel{f}{\to} & Y \\ \varphi \downarrow & & \downarrow \psi \\ Z & \stackrel{g}{\to} & W \end{array},$$

there exists the following commutative diagram

By following the procedure of construction for Set (Section 4), one obtains the morphism p of pro-Top consisting of the appropriate quotient spaces and quotient mappings. Further, for every infinite cardinal κ , the corresponding restrictions p_{κ^-} and p_{κ} of p yield the canonical Top_{κ^-} and Top_{κ^-} -expansion of X, respectively. Thus, there exist the (quotient) shape categories of topological spaces, $Sh_{\kappa^-}(Top)$ and $Sh_{\kappa}(Top)$ (having the realizing categories pro- Top_{κ^-} and pro- Top_{κ}), and the shape functors S_{κ^-} : $Top \to Sh_{\kappa^-}(Top)$, S_{κ} : $Top \to Sh_{\kappa}(Top)$ as well as the relating functor $S_{\kappa^-\kappa}$: $Sh_{\kappa}(Top) \to$ $Sh_{\kappa^-}(Top)$, $S_{\kappa^-}S_{\kappa} = S_{\kappa^-}$, and also the relating functors $S_{\kappa\kappa'}$, whenever $\kappa \leq \kappa'$.

Notice that even in the general setting (the only condition is a cardinality) with an indiscrete codomain, a non-trivial result (different comparing to the *Set*-case) may occur. For instance, if X, Y is a pair of non-homeomorphic spaces having the same cardinality, then, in general, the canonical map

$$pro-Top_{\kappa}(\boldsymbol{X}_{\kappa}, \boldsymbol{Y}_{\kappa}) \rightarrow pro-Set_{\kappa}(U(\boldsymbol{X}_{\kappa}), U(\boldsymbol{Y}_{\kappa}))$$

(U is the forgetful functor) does not reflect isomorphisms.

In order to obtain some more useful results, one has to consider the quotient shapes of topological space with respect to a specific "nice" full subcategory $\mathcal{D}_{\kappa} \subseteq Top$. Clearly, then there should exist a directed subset $\Lambda_{\kappa} \subseteq \Lambda$ such that all the quotient spaces X_{λ} , $\lambda \in \Lambda_{\kappa}$, belong to \mathcal{D}_{κ} . However, the quotient spaces very rarely belong to a certain "nice" subcategory. Even for such a large class of Hausdorff spaces, X/λ is Hausdorff provided λ is closed (unavoidable) and $p : X \to X/\lambda$ is open. Nevertheless, a certain subcategory \mathcal{D} of the full subcategory of all Hausdorff spaces $T2 \subseteq Top$ could be pro-reflective, as well as the subcategories $\mathcal{D}_{\kappa^-}, \mathcal{D}_{\kappa}$ of $T2_{\kappa^-}, T2_{\kappa} \subseteq T2$ respectively. Namely, for every mapping $f : X \to Y$ to a Hausdorff Y, the quotient space X/\sim_f is Hausdorff (see also Lemmata 10 - 14 and Theorem 7 below).

EXAMPLE 7.1. Let $X = \mathbb{R}$ (the Euclidean line). Since \mathbb{R} is connected, every mapping $f : \mathbb{R} \to Y$ to a totally disconnected (especially, discrete, and thus Hausdorff) space Y is constant. (Recall that all \mathcal{D} -expansion systems of a \mathcal{C} -object are mutually isomorphic in $pro-\mathcal{D}$; Section 2). Consequently, every \mathbf{R}_{T2_0} -expansion system of \mathbb{R} is isomorphic to the trivial rudimentary system $\lfloor \{*\} \rfloor$. In the same way, every $\mathbf{R}_{T2TD_{\kappa^-}}$ -expansion ($\mathbf{R}_{T2TD_{\kappa}}$ -expansion) system of \mathbb{R} with respect to the full subcategory of totally disconnected Hausdorff spaces ($T2TD \subseteq T2$) is isomorphic to a trivial rudimentary system. Thus, those shapes of \mathbb{R} are trivial (i.e., equal to the shape of a singleton). On the other hand, $Sh_{T2_0}(\mathbb{Q})$ and $Sh_{T2_0}(\mathbb{R} \setminus \mathbb{Q})$ are different and not trivial (compare Example 4 of Section 5). (The index set $\Lambda_0(\mathbb{Q})$ is uncountable, while $\Lambda_0(\mathbb{R} \setminus \mathbb{Q})$ is countable.) In fact, it is not difficult to show that $\mathbb{R} \setminus \mathbb{Q}$ is $T2_0$ -shape dominated by \mathbb{Q} , $Sh_{T2_0}(\mathbb{R} \setminus \mathbb{Q}) \leq Sh_{T2_0}(\mathbb{Q})$.

In order to get a better control over quotient spaces, we will select some special equivalence relations having a certain "degree of compatibility" with the topology. Let \sim be an equivalence relation on a set X. Then there exists the induced function on the power set,

$$2^{\sim}: 2^X \to 2^X, \quad 2^{\sim}(A) \equiv \tilde{A} = \bigcup_{a \in A} [a],$$

where $[a] = \{x \mid x \in X \land x \sim a\}$ is the equivalence class of a. Obviously, $A \subseteq \tilde{A}$, and one can straightforwardly verify the following properties of 2^{\sim} :

(i) $(\cup_{j\in J}A_j) = \cup_{j\in J}\tilde{A}_j;$

(ii) $(\tilde{A}) = \tilde{A};$

(iii) $(\bigcap_{j \in J} A_j) \subseteq \bigcap_{j \in J} \hat{A}_j$ (if $\hat{A}_j = A_j$ for every j, then the equality holds);

- (iv) $(X \smallsetminus A_j) \supseteq X \smallsetminus \tilde{A}$ (the equality holds $\Leftrightarrow \tilde{A} = A$);
- (v) $(\forall A \subseteq X)\tilde{A} = p^{-1}[p[A]], \text{ where } p: X \to X/\sim, p(x) = [x].$
- (vi) $(\forall f: X \to Y)(\forall A \subseteq X)\tilde{A}^f = f^{-1}[f[A]].$

DEFINITION 7.2. Let X be a topological space and let \sim be an equivalence relation on X. If, for every $U \subseteq X$ open ($F \subseteq X$ closed), \tilde{U} is open (\tilde{F} is closed), then \sim is said to be an **open-** (closed)-preserving equivalence relation on X. If \sim is open- and closed-preserving, then it is said to be a congruence on the space X.

The next five lemmata follow immediately.

LEMMA 7.3. For every topological space (X, \mathcal{T}) . every basis \mathcal{B} for \mathcal{T} and every equivalence relation \sim on X, the following assertions are mutually equivalent:

- (i) \sim is open-preserving;
- (ii) $p: X \to X/ \sim is open;$
- (iii) $(\forall W \in \mathcal{B}) \ \tilde{W} \in \mathcal{T}.$

(iv)
$$(\forall U \subseteq X \text{ open}) \ \tilde{U} = p^{-1}[p[U]] \subseteq X \text{ is open}.$$

LEMMA 7.4. For every topological space X and every equivalence relation \sim on X, the following assertions are mutually equivalent:

- (i) \sim is closed-preserving;
- (ii) $p: X \to X/ \sim is \ closed;$
- (iii) $(\forall F \subseteq X \text{ closed}) \ \tilde{F} = p^{-1}[p[F]] \subseteq X \text{ is closed}.$

LEMMA 7.5. For every topological space X and every equivalence relation \sim on X, the following assertions are mutually equivalent:

(i) \sim is a congruence;

- (ii) $p: X \to X/ \sim is open and closed;$
- (iii) $(\forall A \subseteq X \text{ open and closed}) \quad \tilde{A} = p^{-1}[p[A]] \subseteq X \text{ is open and closed.}$

LEMMA 7.6. Let $f: X \to Y$ be a mapping of topological spaces, and let \sim_f be induced by fibers of $f(f^{-1}[\{y\}], y \in Y)$.

- (i) If f is open, then ∼_f is open-preserving, and the converse holds whenever f is an identification;
- (ii) If f is closed, then ∼_f is closed-preswerving, and the converse holds whenever f is an identification;
- (iii) If f is open and closed, then \sim_f is a congruence, and the converse holds whenever f is an identification;
- (iv) f is open (closed) if and only if, $p: X \to X/ \sim_f$ and $g = "fp^{-1}"$ are open (closed).

LEMMA 7.7. If \sim and \sim' on a space X are open-preserving (closed-preserving, congruences), then so is $\sim \cap \sim'$ refining the both.

THEOREM 7.8. The category $T_2 op$ of Hausdorff spaces is a pro-reflective subcategory of Top. The same is true for their restrictions to mappings that are open and closed.

PROOF. For every topological space, there exists a congruence \sim on X (at least, the coarsest equivalence relation $\sim = X \times X$ is a congruence). Since, for every pair of infinite cardinals κ , κ' ,

$$\kappa \cdot \kappa' = \max\{\kappa, \kappa'\}$$

holds, the conclusion follows by Lemma 13. In the case of the restrictions, apply also Lemma 11. $\hfill \Box$

EXAMPLE 7.9. Let

$$X = \{\frac{n}{n+1} \mid n \in \{0\} \cup \mathbb{N}\} \cup \{1\}$$

and

$$Y=\{(-1,0)\}\cup(\bigcup_{n\in\mathbb{N}}\{-\frac{n}{n+1}\}\times\mathbb{R})\cup(X\times\{0\})$$

be topological spaces carring the Euclidean subspace topologies. We shall prove that X and Y have the same finite T_2 -shape, i.e., that $Sh_0(X) = Sh_0(Y)$, where Sh_0 is the abbreviation for $Sh_0(Top, T_2op)$. Let

$$\boldsymbol{p}_{\underline{0}} = (p_{\lambda}) : X \to \boldsymbol{X}_{\underline{0}} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\underline{0}})$$

and

$$\boldsymbol{q}_{\underline{0}} = (q_{\mu}): Y \rightarrow \boldsymbol{Y}_{\underline{0}} = (Y_{\mu}, q_{\mu\mu'}, M_{\underline{0}})$$

be the canonical T_2op_0 -expansions of X and Y respectively. Observe that the terms

$$X_{\lambda(k)} = \{\{0\}, \dots, \{\frac{k-1}{k}\}, [1]_k\}, k \in \mathbb{N},\$$

of X_0 , where

$$[1]_k = \{\frac{n}{n+1} \mid n \ge k \in \mathbb{N}\} \cup \{1\}$$

determine an inverse sequence $\mathbf{X}_{\underline{0}}^{(k)}$ which is is cofinal subsystem of $\mathbf{X}_{\underline{0}}$. Moreover, the inverse subsequence $\mathbf{X}_{\underline{0}}^{(2k)}$ of $\mathbf{X}_{\underline{0}}^{(k)}$ determined by terms $X_{\lambda(2k)}$ is cofinal in $\mathbf{X}_{\underline{0}}$ as well. Obviously, $X_{\lambda(2k)}$ is a discrete space consisting of 2k + 1 points, and thus, it is homeomorphic to $\{1, 2, \ldots, 2k, 2k + 1\} \subseteq \mathbb{N}$. Similarly, since every $\{-\frac{n}{n+1}\} \times \mathbb{R}$ is connected, the terms

$$Y_{\mu(k)} = \{ [(-1,0)]_k, [(-\frac{k-1}{k},0)]_k, \dots, \{(0,0)\}, \dots, \{(\frac{k-1}{k},0)\}, [(1,0)]_k \}, \dots, \{(\frac{k-1}{k},0)\}, [(1,0)]_k \} \}$$

for $k \in \mathbb{N}$ of \mathbf{Y}_0 , where

$$[(-1,0)]_k = \{(-1,0)\} \cup (\bigcup_{n \ge k} \{-\frac{n}{n+1}\} \times \mathbb{R})$$

and

$$[(-\frac{k-1}{k},0)]_k = \{-\frac{k}{k+1}\} \times \mathbb{R},$$

determine an inverse sequence $\boldsymbol{Y}_{0}^{(k)}$ which is is cofinal subsystem of \boldsymbol{Y}_{0} . Clearly, $Y_{\mu(k)}$ is homeomorphic to $\{1, 2, \ldots, 2k, 2k + 1\} \subseteq \mathbb{N}$ as well. The conclusion follows straightforwardly.

In order to investigate the quotient shapes of some special classes of topological spaces, one can choose, by means of the above lemmata, various suitable subcategories of Top (by reducing Mor(Top) if necessary) and their proreflective "nice" subcategories. One of the most interesting of those classes could be that of all completely regular spaces (or Tychonoff or $T_{3.5}$ -spaces). Namely, the complete regularity is the same as a (topological) gauge structure, while every gauge structure is a separating family of pseudometrics (see [5], Chapter IX, Section 10). So there is a firm relation to the results of Section 6. Also, a countable gauge structure yields a metric space. Further on this line is the subcategory of all uniform spaces. We postpone this job for the future.

8. The shapes of monoids

Let *Mon* denote the category of all monoids (M, \cdot) (associative groupoids with neutral elements) and their homomorphisms

$$f: (M, \cdot) \to (N, \circ), \quad f(x \cdot y) = f(x) \circ f(y) \wedge f(e_M) = e_N.$$

(In the sequel, we shall often omit the operations "." and " \circ ".) In order to consider the quotients of a monoid, the appropriate equivalence relations - congruences are needed, such that the quotient sets (functions) become monoids (homomorphisms). Given a monoid (M, \cdot) , an equivalence relation $\sim \equiv \lambda$ on the set M is said to be a *congruence* on the monoid if the following condition is fulfilled:

N. UGLEŠIĆ

(1)
$$(\forall x, y, x', y' \in M)(x \sim x' \land y \sim y') \Rightarrow (xy \sim x'y')$$

It that case the quotient set M/λ , endowed with the operation [x][y] = [xy], becomes a monoid having for the unique neutral element the class [e] (which is a submonoid of (M, \cdot)) of the neutral element $e \in M$, and the quotient function $p: M \to M/\lambda$, p(x) = [x] becomes a homomorphism.

If $f : M \to N$ is a homomorphism of monoids, then the equivalence relation \sim_f induced by fibers of f (i.e., by the kernel of f) is a congruence on M. Indeed, if $x_1 \sim_f x'_1$ and $x_2 \sim_f x'_2$, i.e., $f(x_1) = f(x'_1)$ and $f(x_2) = f(x'_2)$, then

$$f(x_1x_2) = f(v_1)f(x_2) = f(x_1')f(x_2') = f(x_1'x_2')$$

i.e., $x_1x_2 \sim_f x'_1x'_2$, and (1) is fulfilled. Finally, if \sim and \sim' are congruences on M, then one readily sees that so is

$$\sim'' = \sim \cap \sim' ([x]'' = [x] \cap [x]')$$

because property (1) is preserved under intersection. In addition, if $\sim' \equiv \lambda'$ refines $\sim \equiv \lambda, \lambda' \geq \lambda$, the relating quotient function

$$\tilde{p}: M/\lambda' \to M/\lambda, [x]' \mapsto [x],$$

 $(\tilde{p}p' = p)$ is a homomorphism:

$$\tilde{p}([x]'[y]') = \tilde{p}([xy]') = [xy] = [x][y] = \tilde{p}([x]')\tilde{p}([y]').$$

Hence, from now on, the quotient shape theory for monoids can be developed by the general procedure of Section 3. Thus, given a monoid M and an infinite cardinal κ , the corresponding canonical κ^- -expansion (κ -expansion) is fully determined by the quotients having cardinalities less than (less or equal to) κ . Clearly, if $\kappa > |M|$, then \mathbf{p}_{κ^-} is isomorphic to the rudimentary expansion of M, while $\kappa \ge |M|$ implies that \mathbf{p}_{κ} is isomorphic to the rudimentary expansion of M. We will not repeat the construction of the appropriate shape categories and functors any more.

EXAMPLE 8.1. (a) Let (\mathbb{N}, \cdot) be the multiplicative monoid on the set \mathbb{N} of natural numbers. Let, for each $m \in \mathbb{N}$, the relation \sim^m on \mathbb{N} be defined by

$$n \sim^m n' \Leftrightarrow (\exists l \in \mathbb{Z}) \quad n' = nm^l$$

Then \sim^m is an equivalence relation on \mathbb{N} $(n = n'm^{-l})$, and $\sim^1 = \Delta_{\mathbb{N}}$. Moreover, it is a congruence on (\mathbb{N}, \cdot) because $n'_1 = n_1 m^{l_1}$ and $n'_2 = n_2 m^{l_2}$ imply

$$n_1'n_2' = n_1 m^{l_1} n_2 m^{l_2} = (n_1 n_2) m^{l_1 + l_2}$$

The congruence class $[n]^m$ of an $n \in \mathbb{N}$ is the set

$$\{nm^l \mid l \in \{0\} \cup \mathbb{Z}\} \cap \mathbb{N}$$

If $n \leq m > 1$, then $[n]^m$ is countably infinite. However, for every m, the quotient monoid $(\mathbb{N}/\sim^m, \cdot)$ is countably infinite too.

(b) Let us find out a Mon_0 -expansion of (\mathbb{N}, \cdot) . Consider a congruence $\sim \equiv \lambda$ on (\mathbb{N}, \cdot) such that $|\mathbb{N}/\lambda| < \aleph_0$. If $|\mathbb{N}/\lambda| = 1$, then $\lambda = \mathbb{N} \times \mathbb{N}$. If $|\mathbb{N}/\lambda| = 2$, then the two following possibilities occur:

or λ corresponds to the partition {1}, $\mathbb{N} \setminus \{1\}$ (if a monoid M has no invertible element except $e = e^{-1}$, then $x \sim y \Leftrightarrow ((x = y) \lor (x, y \in M \setminus \{e\}))$ is a congruence);

or λ corresponds to the partition $2\mathbb{N}-\mathbf{1}$, $2\mathbb{N}$, i.e., it is the congruence

$$n \sim_2 n' \Leftrightarrow n \equiv n' \pmod{2}.$$

Furthermore, for every $m \geq 2$, the equivalence relation \sim_m ,

$$n \sim_m n' \Leftrightarrow n \equiv n' \pmod{m},$$

is a congruence on (\mathbb{N},\cdot) such that $|\mathbb{N}/\sim_m|=m.$ In that case,

$$(\mathbb{N}/\sim_m,\cdot)\cong(N_m,\cdot_m),$$

where the congruence classes

$$[n]_m = \{n, n+m, \dots, n+km, \dots\}, \quad n \in \mathbb{N},$$

are represented by $n \in \{1, \ldots, m\}$, and $[n]_m \cdot_m [n']_m$ is defined as usual (residuum 0 is identified with m). Another type of congruence on (\mathbb{N}, \cdot) , that induces a finite quotient monoid, is \sim'_m which corresponds to the partition

$$\{1\}, \ldots, \{m\}, \{m+1, m+2, \ldots\}.$$

(Notice that n > m implies $nk \in \{m + 1, m + 2, ...\}$, for every k.) Finally, a slightly deeper analysis shows the following: For every congruence λ on (\mathbb{N}, \cdot) such that $2 < |\mathbb{N}/\lambda| < \aleph_0$, there exists a finer congruence of the type $\sim_m \cap \sim'_m, m \in \mathbb{N}$. Its quotient set consists of 2m congruence classes. The corresponding subset $\Lambda_0^* \equiv (\mathbb{N}, \leq^*) \subseteq \Lambda_0$ (ordered by divisibility; see Example 1 (a) of Section 5) is cofinal in Λ_0 . Consequently, the restriction

$$\boldsymbol{p}_0^* = (p_m) : (\mathbb{N}, \cdot) \to (\boldsymbol{N}, \cdot)_0^* = ((N_m, \cdot), p_{mm'}, (\mathbb{N}, \leq^*))$$

(of the canonical Mon_0 -expansion p_0) is a (countable) Mon_0 -expansion of the monoid (\mathbb{N}, \cdot) . It is a well known fact ([9], VIII., Ex. A. 1.; [18], Lemma 9) that it is isomorphic to an inverse sequence. Thus, for instance,

$$\boldsymbol{p}_{\underline{0}}' = (p_m') : (\mathbb{N}, \cdot) \to (\boldsymbol{N}, \cdot)_{\underline{0}}' = ((N_m', \cdot), p_{mm'}', \mathbb{N}),$$

where $N'_m = N_{m!}$, $p'_{mm'} = p_{m!m'!}$, $p'_m = p_{m!}$, is a sequential $Mon_{\underline{0}}$ -expansion of (\mathbb{N}, \cdot) .

EXAMPLE 8.2. Let $(\mathbb{Z}, +)$ be the additive monoid of integers, and let \sim be a congruence on $(\mathbb{Z}, +)$ such that the quotient monoid $(\mathbb{Z}/\sim, +)$ is finite. An analysis shows $((\mathbb{Z}, +)$ has invertible elements!) that $\sim = \sim_m$, for some $m \in \mathbb{N}$, i.e., that

$$k \sim_m k' \Leftrightarrow k \equiv k' \pmod{m}.$$

The congruence classes

 $[k]_m = \{k, k \pm m, \dots, k \pm nm, \dots\}, \quad k \in \mathbb{Z},$

are represented by $k \in \{0, \ldots, m-1\}$, while

$$(\mathbb{Z}/\sim_m,+)\cong(\{0,\ldots,m-1\},+\equiv+_m)\equiv(\mathbb{Z}_m,+_m).$$

Thus, the canonical Mon_0 -expansion of $(\mathbb{Z}, +)$ is

$$\boldsymbol{q}_{\underline{0}} = (q_M) : (\mathbb{Z}, +) \to (\boldsymbol{Z}, +)_{\underline{0}} = ((\mathbb{Z}_m, +_m), q_{mm'}, (\mathbb{N}, \leq^*))$$

Let $(\{0\} \cup \mathbb{N}, +)$ be the additive monoid of non-negative integers, which is a proper (commutative) submonoid of $(\mathbb{Z}, +)$ having no invertible element except 0. Then the congruences \sim_m on $(\mathbb{Z}, +)$ restricted to $\{0\} \cup \mathbb{N}$ are also congruences on $(\{0\} \cup \mathbb{N}, +)$ providing the (same) finite quotient monoids $((\{0\} \cup \mathbb{N})/ \sim_m, +) \equiv (\mathbb{N}_m, +_m) \cong (\mathbb{Z}_m, +_m)$ of cardinality m. Further, another type of congruence on $(\{0\} \cup \mathbb{N}, +)$, that induces a finite quotient, is \sim'_m corresponding to the partition

$$\{0\}, \dots, \{m-1\}, \{m, m+1, \dots\}, m \in \mathbb{N}$$

 $(\sim'_0 = (\{0\} \cup \mathbb{N}) \times (\{0\} \cup \mathbb{N}))$. An analysis shows that the family of all intersections $\sim_m \cap \sim'_m \equiv \lambda_m$, $m \in \mathbb{N}$, is cofinal in the family of all congruences on $(\{0\} \cup \mathbb{N}, +)$ providing finite quotients. The quotient monoid $(\{0\} \cup \mathbb{N})/\lambda_m \equiv N_m^0$ consists of all intersections of the appropriate congruence classes, i.e.,

$$(N_m^0,+) \equiv (\{[n]_m \cap [n]'_m \mid n \in \{0\} \cup \mathbb{N}\},+),$$

where

$$[n]_m \cap [n]'_m = \begin{cases} \{n\}, & 0 \le n \le m-1\\ \{n, n+m, n+2m, \ldots\}, & m \le n \le 2m-1 \end{cases}$$

and $|(N_m^0, +)| = 2m$. Therefore, the canonical Mon_0 -expansion of $(\{0\} \cup \mathbb{N}, +)$ admits a restriction

$$\mathbf{r}_{\underline{0}} = (r_m) : (\{0\} \cup \mathbb{N}, +) \to (\mathbf{N}^0, +)_{\underline{0}} = ((N_m^0, +), r_{mm'}, (\mathbb{N}, \leq^*))$$

that is a Mon_0 -expansion of $(\{0\} \cup \mathbb{N}, +)$ too. Similarly to Example 13 (b), there exists a sequential Mon_0 -expansion of $(\{0\} \cup \mathbb{N}, +)$ that is cofinal in \mathbf{r}_0 . Finally, observe that, for every $m \in \mathbb{N}$, the canonical monomorphism (inclusion) $j_m : (\mathbb{Z}_m, +_m) \to (N_m^0, +)$ is a section, i.e., it admits a left inverse (retraction) $\rho_m : (N_m^0, +) \to (\mathbb{Z}_m, +_m), \rho_m j_m = \mathbb{1}_{\mathbb{Z}_m}$, by putting $\rho_m(\{n\}) =$ $n, n \in \{0, \ldots, m-1\}$, and $\rho_m(\{n + km \mid k \in \{0\} \cup \mathbb{N}\}) = n - m$ (or = 0), $n \in \{m, \ldots, 2m - 1\}$. Moreover, they extend to inverse systems, i.e., there exist

$$oldsymbol{j}: (oldsymbol{Z},+)_{ar{0}} o (oldsymbol{N}^0,+)_{ar{0}}, \quad oldsymbol{
ho}: (oldsymbol{N}^0,+)_{ar{0}} o (\mathbb{Z}_0,+)_{ar{0}}$$

of $pro-Mon_0$ such that $\rho j = \mathbf{1}_{(\mathbf{Z},+)_0}$. Hence, $(\mathbb{Z},+)$ is finitely shape dominated by its submonoid $(\{0\} \cup \mathbb{N},+), Sh_0(\mathbb{Z},+) \leq Sh_0(\{0\} \cup \mathbb{N},+)$ (of course,

neither $(\mathbb{Z}, +)$ is dominated, in *Mon*, by $(\{0\} \cup \mathbb{N}, +)$, nor $(\{0\} \cup \mathbb{N}, +)$ is dominated by $(\mathbb{Z}, +)!$).

REMARK 8.3. We have not considered the quotient shapes in the categories of groupoids and semigroups. Nevertheless, for the sake of completeness, the only condition for a congruence in such a (sub)category is property (1) as well.

9. The shapes of groups

9.1. The general case. As in the previous section (concerning monoids), an equivalence relation \sim on a group (G, \cdot) is said to be a *congruence* if

(1)
$$(\forall x, x', y, y' \in G)(x \sim x' \land y \sim y') \Rightarrow xy \sim x'y').$$

It is well known that a congruence \sim is induced by a normal subgroup $(N, \cdot) \leq (G, \cdot)$ and that N = [e]. We denote, as usually, the quotient group G/\sim by G/N, and by $p: G \to G/N$ the quotient homomorphism. If Λ is the set of all congruences $\lambda \equiv \sim$ on G, then we order Λ by the refiniment. It corresponds to

$$\lambda \leq \lambda' \Leftrightarrow N_{\lambda'} \leq N_{\lambda}$$
 (the subgroup).

Since the intersection of any pair of normal subgroups is a normal subgroup, Λ is directed as well. In the sequel, we shall abbreviate $G/N_{\lambda} \equiv G_{\lambda}$. If $f: G \to H$ is a homomorphism that preserves given congruences $\sim \equiv \lambda$ and $\sim' \equiv \mu$, i.e.,

$$x \sim x' \Rightarrow f(x) \sim' f(x')$$

(equivalently, $f([e_G]) \subseteq [e_H]'$), then there exists a unique homomorphism $\tilde{f}: G_{\lambda} \to H_{\mu}, \tilde{f}[x] = [f(x)]'$, i.e., $\tilde{f}(x[e_G]) = f(x)[e_H]'$, such that the following diagram (in the category Grp)

$$\begin{array}{cccc} G_{\lambda} & \xleftarrow{p_{\lambda}} & G \\ \tilde{f} \downarrow & & \downarrow f \\ H_{\mu} & \xleftarrow{q_{\mu}} & H \end{array}$$

commutes, i.e., $\tilde{f}p_{\lambda} = q_{\mu}f$. Consequently, if $\lambda \leq \lambda'$, then $1_G : G \to G$ is (λ, λ') -preserving, and thus, there exists a unique (effective) epimorphism $p_{\lambda\lambda'}: G_{\lambda'} \to G_{\lambda}$ such that $p_{\lambda\lambda'}p_{\lambda'} = p_{\lambda}$. Further, for every homomorphism $f: G \to H$, the equivalence relation \sim_f induced by the fibers of $f, f^{-1}(y),$ $y \in H$, (i.e., by the kernel of f) is a congruence on G. Hence, there exists a $\lambda \in \Lambda$ such that $\sim_f = \lambda$ and there exists a unique homomorphism $f_{\lambda}:$ $G_{\lambda} \to H$ such that $f_{\lambda}p_{\lambda} = f$. If, in addition, f is an epimorphism, then f_{λ} is an isomorphism ("The first isomorphism theorem"). In this way we have obtained an inverse system

$$\boldsymbol{G} = (G_{\lambda}, p_{\lambda\lambda'}, \Lambda)$$

N. UGLEŠIĆ

in Grp, and a morphism

$$\boldsymbol{p} = (p_{\lambda}) : G \to \boldsymbol{G}$$

of *pro-Grp* that is a *Grp*-expansion of *G*. However, there exists $\lambda_* = \max \Lambda$ (corresponding to $[e] \trianglelefteq G$), and $G_{\lambda_*} = G$. Therefore, we have to reduce Λ so that the restriction remains an expansion. Given an infinite cardinal κ , let Λ_{κ^-} (Λ_{κ}) be the subset of Λ consisting of all elements λ such that $|G_{\lambda}| < \kappa$ ($|G_{\lambda}| \leq \kappa$). Then the restriction of p,

$$\boldsymbol{p}_{\kappa^{-}} = (p_{\lambda}) : G \to \boldsymbol{G}_{\kappa^{-}} = (G_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^{-}})$$

and

$$\boldsymbol{p}_{\kappa} = (p_{\lambda}) : G \to \boldsymbol{G}_{\kappa} = (G_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa})$$

are (the *canonical*) Grp_{κ^-} -expansion and Grp_{κ} -expansion of G, respectively. This shows that Grp_{κ^-} and Grp_{κ} are pro-reflective subcategories of Grp (of course, in the case of a finite group G, one may choose, for every κ^- -and κ -expansion of G, the rudimentary identity expansion $\lfloor 1_G \rfloor : G \to G$).

Now one obtains, in the general way, the shape categories $Sh_{\kappa^-}(Grp)$ and $Sh_{\kappa}(Grp)$, and the shape functors $S_{\kappa^-}: Grp \to Sh_{\kappa^-}(Grp)$ and $S_{\kappa}: Grp \to Sh_{\kappa}(Grp)$, as well as the relating functor $S_{\kappa^-\kappa}: Sh_{\kappa}(Grp) \to Sh_{\kappa^-}(Grp)$ such that $S_{\kappa^-\kappa}S_{\kappa} = S_{\kappa^-}$.

REMARK 9.1. The analogous theory holds in whole for the category Ab of Abelian groups as well. Observe that the above expansions of a group G admit to consider a partial (or the full) *normal system* of G (instead only a chain or a normal sequence).

REMARK 9.2. According to [14], Theorem 1.6, there exists a bijection

 $Sh_0(G, H) \approx LocGrp(\lim\{locgrp(G_{\lambda})\}, \lim\{locgrp(H_{\mu})\}),$

where lim is the inverse limit in LocGrp (the category of localic groups), while $locgrp(G_{\lambda})$ is the localic group associated with the finite group G_{λ} carrying the discrete topology.

EXAMPLE 9.3. Let \mathbb{Z} be the additive group of integers. Each of its subgroups is (normal) of the form $k\mathbb{Z}$, and the quotient group is $(\mathbb{Z}_k, +_k)$, $k \in \{0\} \cup \mathbb{N}$. (The corresponding congruences \sim_k , see Example 14 of Section 8, are defined by the rule

$$m \sim_k m' \Leftrightarrow m \equiv m' \pmod{k},$$

i.e., m - m' = lk, for some $l \in \mathbb{Z}$, and $\sim_{k'}$ refines \sim_k whenever k' = rk, for some $r \in \mathbb{N}$.) Thus, the canonical Grp_0 -expansion of the group \mathbb{Z} is

$$\boldsymbol{p}_0 = (p_k) : \mathbb{Z} \to \boldsymbol{Z}_0 = (Z_k, p_{kk'}, \Lambda_0 = (\mathbb{N}, \leq^*))$$

(see Examples 1(a) and 6(a)), that is isomorphic to the sequential Grp_{0} -expansion

$$p'_0 = (p_{k!}) : \mathbb{Z} \to Z'_0 = (Z_{k!}, p_{k!k'!}, \mathbb{N}!).$$

Clearly, the canonical \aleph_0 -expansion of \mathbb{Z} reduces to the identity homomorphism.

EXAMPLE 9.4. (a) Let $(\mathbb{R}, +)$ be the additive group of real numbers, and let N be a subgroup of $(\mathbb{R}, +)$. Then,

$$|(\mathbb{R},+)/N| < \aleph_0 \Rightarrow N = (\mathbb{R},+).$$

Therefore, the finite shape of $(\mathbb{R}, +)$ is trivial. (The countable shape of $(\mathbb{R}, +)$ is not trivial because, for every $n \in \mathbb{N}$, there exists a subgroup N_n such that $(\mathbb{R}, +)/N_n$ is isomorphic to $(\mathbb{Q}, +)^n$. Namely, $(\mathbb{R}, +)$ is an (uncountably infinite-dimensional vectorial space over \mathbb{Q} .) Finally, the canonical \aleph_1 -expansion of $(\mathbb{R}, +)$ reduces to the identity homomorphism. Since the multiplicative group (\mathbb{R}^+, \cdot) is isomorphic to $(\mathbb{R}, +)$, the same conclusion holds for it as well.

(b) Let $(\mathbb{R} \setminus \{0\}, \cdot)$ be the multiplicative group of non-zero real numbers, and let N be a subgroup of $(\mathbb{R} \setminus \{0\}, \cdot)$. Then,

$$(\mathbb{R} \setminus \{0\}, \cdot)/N | < \aleph_0 \Rightarrow (N = (\mathbb{R} \setminus \{0\}, \cdot) \lor N = (\mathbb{R}^+, \cdot)).$$

i.e., $(\mathbb{R} \setminus \{0\}, \cdot)/N \cong (\{1\}, \cdot)$ or $(\mathbb{R} \setminus \{0\}, \cdot)/N \cong (\{-1, 1\}, \cdot)$. Therefore, $(\mathbb{R} \setminus \{0\}, \cdot)$ is finitely stable having the finite shape of $(\{-1, 1\}, \cdot)$. Clearly, the canonical \aleph_1 -expansion of $(\mathbb{R} \setminus \{0\}, \cdot)$ reduces to the identity homomorphism.

9.2. The rudimentary commutative shape of groups. Let G be a group, and let $[K_G]$ be its commutator subgroup. (If G is Abelian, then $[K_G] = \{e\} \leq G$.) Then the quotient group $G/[K_G]$ is commutative. Moreover, $[K_G]$ is the minimal such a normal subgroup, i.e., if $N \leq G$ such that G/N is commutative, then $[K_G] \leq N$. Therefore, $G/[K_G]$ is the maximal quotient group of G that is commutative. Let $p : G \to G/[K_G]$ be the quotient homomorphism. Given an $N \leq G$ such that G/N commutative, let $p_N : G \to$ G/N be the quotient homomorphism. Then there exists a unique relating (quotient) homomorphism \tilde{p} of $G/[K_G]$ onto G/N such that the diagram

$$\begin{array}{cccc} G/[K_G] & \xleftarrow{p} & G\\ \tilde{p} \downarrow & \swarrow & p_N\\ G/N & \end{array}$$

in Grp commutes, i.e., $\tilde{p}p = p_N$. Notice that all the considered homomorphisms are epimorphic. Let us denote by $eGrp \subseteq Grp$ the subcategory of all groups and epimorphisms, and let $eAb \subseteq eGrp$ be the full subcategory determined by all Abelian groups.

LEMMA 9.5. The quotient homomorphism $p: G \to G/[K_G]$ is a rudimentary eAb-expansion of G. If G is Abelian, then $p = 1_G$.

PROOF. Let H be an Abelian group and let $f : G \to H$ be an epimorphism. Then $Ker(f) \trianglelefteq G$ such that G/Ker(f) is commutative because the

homomorphism

$$q: G/Ker(f) \to H, \quad qp_{Ker(f)} = f$$

is an isomorphism. Let

$$\tilde{p}: G/[K_G] \to G/Ker(f), \quad \tilde{p}p = p_{Ker(f)}$$

be as above. Put

$$g = q\tilde{p}: G/[K_G] \to H$$

Then g is an epimorphism and gp = f. The conclusion follows.

REMARK 9.6. In order to obtain a less rudimentary shape, it would be interesting (in this approach) to replace abelian groups by the nilpotent ones. We postpone that work for an other occasion.

10. The shapes of rings

Similarly to the cases of monoids and groups, an equivalence relation \sim on a ring $(R, +, \cdot)$ is said to be a *congruence* if

$$(\forall x, x', y, y' \in R) \quad (x \sim x' \land y \sim y') \implies (x + y \sim x' + y' \land xy \sim x'y').$$

It is well known that a congruence \sim is induced by an ideal $(A, +, \cdot) \leq (R, +, \cdot)$ and that A = [0]. We denote, as usually, the quotient ring R/\sim by R/A, and by $p: R \to R/A$ the quotient homomorphism. If Λ is the set of all congruences $\lambda \equiv \sim$ on R, then we order Λ by the refiniment. It corresponds to

$$\lambda \leq \lambda' \quad \Leftrightarrow \quad A_{\lambda'} \leq A_{\lambda} \text{ (the subring)}$$

Since the intersection of any pair of ideals is an ideal, Λ is directed as well. In the sequel, we shall abbreviate $R/A_{\lambda} \equiv R_{\lambda}$. If $f : R \to Q$ is a ring homomorphism that preserves given congruences $\sim \equiv \lambda$ and $\sim' \equiv \mu$, i.e.,

$$x \sim x' \Rightarrow f(x) \sim' f(x'),$$

then there exists a unique ring homomorphism $\tilde{f}: R_{\lambda} \to Q_{\mu}, \ \tilde{f}[x] = [f(x)]'$, such that the following diagram

$$\begin{array}{cccc} R_{\lambda} & \stackrel{p_{\lambda}}{\leftarrow} & R\\ \tilde{f} \downarrow & & \downarrow f\\ Q_{\mu} & \stackrel{q_{\mu}}{\leftarrow} & Q \end{array}$$

(in the category Ring) commutes, i.e., $\tilde{f}p_{\lambda} = q_{\mu}f$. Therefore, if $\lambda \leq \lambda'$, then $1_R : R \to R$ is (λ, λ') -preserving, and thus, there exists a unique ring epimorphism $p_{\lambda\lambda'} : R_{\lambda'} \to R_{\lambda}$ such that $p_{\lambda\lambda'}p_{\lambda'} = p_{\lambda}$. Further, for every ring homomorphism $f : R \to Q$, the equivalence relation \sim_f induced by the fibers of f, $f^{-1}(y)$, $y \in Q$, (i.e., by the kernel of f) is a congruence on R. Hence, there exists a $\lambda \in \Lambda$ such that $\sim_f = \lambda$, and there exists a unique ring homomorphism $f_{\lambda} : R_{\lambda} \to Q$ such that $f_{\lambda}p_{\lambda} = f$. If, in addition, f is an

(effective) epimorphism, then f_{λ} is an isomorphism ("The ring isomorphism theorem"). In this way we have obtained an inverse system

$$\boldsymbol{R} = (R_{\lambda}, p_{\lambda\lambda'}, \Lambda)$$

in *Ring*, and a morphism

$$\boldsymbol{p} = (p_{\lambda}) : R \to \boldsymbol{R}$$

of *pro-Ring* that is a *Ring*-expansion of *R*. Since there exists $\lambda_* = \max \Lambda$ (corresponding to the trivial ideal $\{0\} \leq R$), and $R_{\lambda_*} = R$, we reduce Λ so that the restriction remains an expansion. Now, as in all the cases before, we involve in our construction the cardinality of objects. Given an infinite cardinal κ , let Λ_{κ^-} (Λ_{κ}) be the subset of Λ consisting of all elements λ such that $|R_{\lambda}| < \kappa$ ($|R_{\lambda}| \leq \kappa$). Then the restrictions of p,

$$\boldsymbol{p}_{\kappa^{-}} = (p_{\lambda}) : R \to \boldsymbol{R}_{\kappa^{-}} = (R_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^{-}})$$

and

$$\boldsymbol{p}_{\kappa} = (p_{\lambda}) : R \to \boldsymbol{R}_{\kappa} = (R_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa})$$

are the (canonical) $Ring_{\kappa^-}$ -expansion and $Ring_{\kappa}$ -expansion of R respectively. This proves that $Ring_{\kappa^-}$ and $Ring_{\kappa}$ are pro-reflective subcategories of Ring(of course, in the case of a finite ring R, one may choose, for every κ^- -and κ -expansion of R, the rudimentary identity expansion $\lfloor 1_R \rfloor : R \to R$).

Now one obtains, in the usual way, the shape categories $Sh_{\kappa^-}(Ring)$ and $Sh_{\kappa}(Ring)$, and the shape functors S_{κ^-} : $Ring \to Sh_{\kappa^-}(Ring)$ and $S_{\kappa}: Ring \to Sh_{\kappa}(Ring)$, as well as the relating functor $S_{\kappa^-\kappa}: Sh_{\kappa}(Ring) \to Sh_{\kappa^-}(Ring)$ such that $S_{\kappa^-\kappa}S_{\kappa} = S_{\kappa^-}$.

EXAMPLE 10.1. Let $(\mathbb{Z}, +, \cdot)$ be the ring of integers. Each subring of \mathbb{Z} is a $k\mathbb{Z}, k \in \{0\} \cup \mathbb{N}$, that is also a principal ideal $(k) \leq \mathbb{Z}$. Further, given an $n \in \{0\} \cup \mathbb{N}$, each subring of $n\mathbb{Z}$ is a $k(n\mathbb{Z}) = (kn)\mathbb{Z}, k \in \{0\} \cup \mathbb{N}$, that is also a principal ideal (kn) of $n\mathbb{Z}$ and of \mathbb{Z} . Since a ring homomorphism of \mathbb{Z} to itself is either c_0 or $1_{\mathbb{Z}}$, for every pair $n \neq n'$, the rings $n\mathbb{Z}$ and $n'\mathbb{Z}$ are not isomorphic. We will show that all of them, except $0\mathbb{Z}$ - the trivial one, have the same finite shape, i.e., that

$$(\forall n, n' \in \mathbb{N})$$
 $Sh_0(n\mathbb{Z}, +, \cdot) = Sh_0(n'\mathbb{Z}, +, \cdot).$

Recall that (the quotient rings)

$$\mathbb{Z}/(k) \cong (\mathbb{Z}_k, +_k, \cdot_k),$$

and

$$n\mathbb{Z}/(kn) \cong (\mathbb{Z}_{kn}, +_{kn}, \cdot_{kn}) \cong \mathbb{Z}/(kn).$$

Therefore, the canonical $Ring_0$ -expansion of \mathbb{Z} is

$$\boldsymbol{p}_0 = (p_k) : \mathbb{Z} \to \boldsymbol{Z}_0 = (\mathbb{Z}_k, p_{kk'}, (\mathbb{N}, \leq^*)),$$

where (\mathbb{N}, \leq^*) is ordered (and directed) by

$$k \leq^* k' \Leftrightarrow \frac{k'}{k} \in \mathbb{N}$$

(see Example 1 (a)), while the canonical $Ring_0$ -expansion of $n\mathbb{Z}$ is

$$\boldsymbol{p}_0^{(n)} = (p_k) : n\mathbb{Z} \to \boldsymbol{n}\boldsymbol{Z}_0 = (\mathbb{Z}_k, p_{kk^{\prime\prime}}, (n\mathbb{N}, \leq^*))$$

(because $(n\mathbb{N}, \leq^*) \subseteq (\mathbb{N}, \leq^*)$ is directed as well). Finally, notice that each $p_0^{(n)}$ is the restriction of p_0 to $(n\mathbb{N}, \leq^*)$, and that $(n\mathbb{N}, \leq^*)$ is cofinal in (\mathbb{N}, \leq^*) . The conclusion follows (notice that Z_0 and nZ_0 are not isomorphic to any inverse sequence - see Example 15).

EXAMPLE 10.2. Since the ring $(\mathbb{R}, +, \cdot)$ of reals is a field (there is no non-trivial ideal), its $\underline{0}$ -shape and \aleph_0 -shape are trivial, while the canonical \aleph_1 -expansion of $(\mathbb{R}, +, \cdot)$ obviously reduces to the identity ring homomorphism

EXAMPLE 10.3. Similarly to Example 18, the $\underline{0}$ -shape of the ring $(\mathbb{Q}, +, \cdot)$ of rationals is trivial, while the canonical \aleph_0 -expansion of $(\mathbb{Q}, +, \cdot)$ reduces to the identity ring homomorphism.

REMARK 10.4. In order to obtain the (quotient) shape theories for a subcategory of *Ring* with respect to a special full subcategory, one has to assure that the special properties are preserved by the quotients and (finite) intersections. An interesting special category could be the full subcategory of all polynomial rings over a class of fields, while for its special subcategory one may choose, for instance, that of commutative rings, integral (or some more special) domains or (skew) fields (see [6], Chapter XI).

11. The shapes of modules

Recall (see [12]) that a (left) *R*-module is every ordered pair (M, φ) consisting of an Abelian group *M* and a function $\varphi : R \times M \to M$, $\varphi(r, x) \equiv rx$, where *R* is a ring with unity 1, such that

$$r(x + x') = rx + rx', (r + r')x = rx + r'x$$
 and $r(r'x) = (rr')x, 1x = x$

always hold. In the sequel, we shall not stress φ unless necessary. Clearly, every ring R with unity is itself an R-module with φ the ring multiplication. Let R-Mod denote the category of all (left) R-modules and all (left) R-module homomorphisms $f: M \to N$,

$$f(x+y) = f(x) + f(y) \quad \text{and} \quad f(rx) = rf(x).$$

A subset S of an R-module M is a (left R-) submodule, denoted by $S \leq M$, if it is an R-module with respect to the same operation. This means that S is a subgroup of (the Abelian group) M and that, for every $r \in R$, $rS \subseteq S$ (likewise to a left ideal of a ring). It follows that a submodule of a submodule of M is a submodule of M. If S and T are submodules of M, then so is

 $S \cap T$. For every submodule S of M, the inclusion $i: S \hookrightarrow M$ is an R-module monomorphism. Further, the quotient R-module

$$M/S = \{ [x] = x + S \mid x \in M \}, \quad [x] + [y] = [xy], \quad r[x] = [rx],$$

and the quotient R-module (effective) epimorphisms (the *canonical* projection)

$$p: M \to M/S, \quad p(x) = [x],$$

are well defined. Let M be an R-module.

1

An equivalence relation \sim on a set M is a *congruence* on the R-module M if the equivalence class $[0] \subseteq M$ is a submodul of M. If Λ is the set of all congruences $\lambda \equiv \sim$ on M, we order it by the refinement, i.e., $\lambda \leq \lambda'$ if and only if λ' refines λ . Equivalently,

$$\lambda \leq \lambda' \Leftrightarrow S_{\lambda'} \leq S_{\lambda}$$
 (the submodule).

Then (Λ, \leq) becomes a partially ordered set, that is directed as well. Let us denote $M/S_{\lambda} = M_{\lambda}$ and $p = p_{\lambda} : M \to M_{\lambda}$. If $f : M \to N$ is an *R*-module homomorphism that is $(\lambda \equiv \sim, \mu \equiv \sim')$ -preserving, i.e.,

$$x \sim x' \Rightarrow f(x) \sim' f(x')$$

(equivalently, $f([0_M]) \subseteq [0_N]'$), then there exists a unique *R*-module homomorphism $\tilde{f}: M_{\lambda} \to N_{\mu}, \tilde{f}[x] = [f(x)]'$, such that the following diagram

$$\begin{array}{cccc} M_{\lambda} & \overleftarrow{\leftarrow} & M \\ \tilde{f} \downarrow & & \downarrow f \\ N_{\mu} & \overleftarrow{\leftarrow} & N \end{array}$$

(in the category R-Mod) commutes, i.e., fp = qf. Therefore, if $\lambda \leq \lambda'$, then $1_M : M \to M$ is (λ, λ') -preserving, and thus, there exists a unique R-module epimorphism $p_{\lambda\lambda'} : M_{\lambda'} \to M_{\lambda}$ such that $p_{\lambda\lambda'}p_{\lambda'} = p_{\lambda}$. Further, for every R-module homomorphism $f : M \to N$, the equivalence relation \sim_f induced by the fibers of $f, f^{-1}(y), y \in Q$, (i.e., by the kernel Ker(f)) is a congruence on M. Hence, there exists a $\lambda \in \Lambda$ such that $\sim_f = \lambda$, and there exists a unique R-module homomorphism $f_{\lambda} : M_{\lambda} \to N$ such that $f_{\lambda}p_{\lambda} = f$. If, in addition, f is an epimorphism, then f_{λ} is an isomorphism ("The R-module isomorphism theorem"). Consequently, there exists a certain inverse system

$$\boldsymbol{M} = (M_{\lambda}, p_{\lambda\lambda'}, \Lambda)$$

in R-Mod, and a morphism

$$\boldsymbol{p} = (p_{\lambda}) : M \to \boldsymbol{M}$$

of pro-(*R*-Mod) that is a *R*-Mod-expansion of *M*. Since there exists $\lambda_* = \max \Lambda$ (corresponding to the trivial submodule $\{0\} \leq M\}$), and $M_{\lambda_*} = M$, p is equivalent to the rudimentary identity $\lfloor 1_M \rfloor$. In order to obtain a non-rudimentary expansion, we have to reduce Λ so that the restriction remains an expansion. Now, as in all the cases before, given an infinite cardinal κ ,

let Λ_{κ^-} (Λ_{κ}) be the subset of Λ consisting of all $\lambda \in \Lambda$ such that $|M_{\lambda}| < \kappa$ ($|M_{\lambda}| \leq \kappa$). Then the restrictions of p,

$$\boldsymbol{p}_{\kappa^{-}} = (p_{\lambda}) : M \to \boldsymbol{M}_{\kappa^{-}} = (M_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^{-}})$$

and

$$\boldsymbol{p}_{\kappa} = (p_{\lambda}) : M \to \boldsymbol{M}_{\kappa} = (M_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa})$$

are the (canonical) R- Mod_{κ^-} -expansion and R- Mod_{κ} -expansion of M respectively. This shows that R- Mod_{κ^-} and R- Mod_{κ} are pro-reflective subcategories of R-Mod. Similarly to the previous cases, if $\kappa > |M|$, then \mathbf{p}_{κ^-} is isomorphic to the rudimentary expansion $\lfloor 1_M \rfloor$, while $\kappa \ge |M|$ implies that \mathbf{p}_{κ} is isomorphic to the rudimentary expansion of M.

Now one obtains, in the usual way, the shape categories $Sh_{\kappa^-}(R-Mod)$ and $Sh_{\kappa}(R-Mod)$, and the shape functors $S_{\kappa^-}: R-Mod \to Sh_{\kappa^-}(R-Mod)$ and $S_{\kappa}: R-Mod \to Sh_{\kappa}(R-Mod)$, as well as the relating functor $S_{\kappa^-\kappa}: Sh_{\kappa}(R-Mod) \to Sh_{\kappa^-}(R-Mod)$ such that $S_{\kappa^-\kappa}S_{\kappa} = S_{\kappa^-}$.

Since each ring R with unity is an R-module, the shape classifications in R-Mod are, according to Section 10, strictly coarser than the classification by the R-module isomorphisms. The same conclusion holds, by Section 9, for the category \mathbb{Z} -Mod because every \mathbb{Z} -module is just the Abelian group. We provide hereby several canonical expansions of some "not very special" R-modules M, where M is not isomorphic to \mathbb{Z} or \mathbb{R} .

EXAMPLE 11.1. (a) Let $((\mathbb{Z}, +), \varphi)$ be the $Hom(\mathbb{Z})$ -module (the ring of all endomorphisms on $(\mathbb{Z}, +)$ with respect to the addition and composition of functions), where

$$\varphi: Hom(\mathbb{Z}) \times \mathbb{Z} \to \mathbb{Z}, \quad \varphi(h, m) \equiv hm = h(m).$$

One verifies the needed conditions straightforwardly. Notice that

$$\varphi(h, m \cdot n) = m \cdot \varphi(h, n)$$
 (i.e., $h(mn) = mh(n)$)

holds as well. Therefore, $M \subseteq ((\mathbb{Z}, +), \varphi)$ is an $Hom(\mathbb{Z})$ -submodule if and only if M is an ideal of the ring $(\mathbb{Z}, +, \cdot)$. Consequently, every submodule is a principal ideal $n\mathbb{Z}, n \in \{0\} \cup \mathbb{N}$. Obviously, the $Hom(\mathbb{Z})$ -modules $((\mathbb{Z}, +), \varphi)$, $((n\mathbb{Z}, +), \varphi \mid (- \times n\mathbb{Z}))$ and $((n'\mathbb{Z}, +), \varphi \mid (- \times n'\mathbb{Z})), n \neq n'$, are not isomorphic. However, by following the pattern of Example 17, if $n \neq 0 \neq n'$, the corresponding canonical $Hom(\mathbb{Z})$ - Mod_0 -expansions $p_0, p_0^{(n)}$ and $p_0^{(n')}$ show that they have the same (finite) $Hom(\mathbb{Z})$ - Mod_0 -shape.

EXAMPLE 11.2. Let $((\mathbb{R},+),\varphi)$ be the Q-module (the ring of rationals), where

 $\varphi:\mathbb{Q}\times\mathbb{R}\to\mathbb{R},\quad \varphi(q,x)=qx \text{ (the multiplication)}.$

Since \mathbb{Q} is a field, the \mathbb{Q} -module $((\mathbb{R}, +), \varphi)$ is, actually, the (uncountably infinite-dimensional) vectorial space $\mathbb{R}_{\mathbb{Q}}$. Hence, every its submodule is a vectorial subspace of $\mathbb{R}_{\mathbb{Q}}$. Each 1-dimensional subspace is generated by a

single vector $x \in \mathbb{R}$. For instance, the field as a subspace $\mathbb{Q} \leq \mathbb{R}_{\mathbb{Q}}$ is generated by any $x \in \mathbb{Q}$. A 2-dimensional subspace is generated by any two vectors $x, y \in \mathbb{R}$ that are rationally-linearly independent, i.e., $y \neq qx$ for every $q \in \mathbb{Q}$. A "small" quotient modul (space) asks for a certain "large" submodule (subspace). However, every quotient \mathbb{Q} -module is a vectorial space over \mathbb{Q} . The consequence is that, except $\{0\} = \mathbb{R}/\mathbb{R}$ - the trivial one, there is no quotient \mathbb{Q} -modul of $\mathbb{R}_{\mathbb{Q}}$ having a finite cardinality. Hence, the canonical \mathbb{Q} -Mod₀-expansion of $\mathbb{R}_{\mathbb{Q}}$ is rudimentary trivial, i.e., it is

$$\boldsymbol{p}_0 = (c_0) : \mathbb{R}_{\mathbb{Q}} \to \lfloor \{0\} \rfloor.$$

Thus, $\mathbb{R}_{\mathbb{Q}}$ has trivial (finite) \mathbb{Q} - $Mod_{\mathbb{Q}}$ -shape. Further, since \mathbb{Q} is countable, each countable quotient \mathbb{Q} -module R_{λ} of $\mathbb{R}_{\mathbb{Q}}$ is obtained via a submodule L_{λ} that is a vectorial subspaces generated by a certain subset $\lambda \subseteq \mathbb{R}$ having countable complement. Thus, the canonical \mathbb{Q} - Mod_{\aleph_0} -expansion of $\mathbb{R}_{\mathbb{Q}}$ is

$$\boldsymbol{p}_{\aleph_0} = (p_{\lambda}) : \mathbb{R}_{\mathbb{Q}} \to (R_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\aleph_0}),$$

where $|\Lambda| = 2^c$. Finally, for every cardinal $\kappa > c$ ($\kappa > 2^{\aleph_0}$ - by CH), the canonical \mathbb{Q} -Mod_{κ^-}-expansion p_{κ^-} reduces to the rudimentary identity

$$\boldsymbol{p}_{\kappa^-} = (1_{\mathbb{R}_{\mathbb{Q}}}) : \mathbb{R}_{\mathbb{Q}} o \lfloor \mathbb{R}_{\mathbb{Q}} \rfloor$$

as well as \boldsymbol{p}_{κ} for $\kappa \geq c$ ($\kappa \geq 2^{\aleph_0}$ - by CH).

REMARK 11.3. Everything we have established concerning left R-modules (R-Mod) holds, *mutatis mutandis*, for right R-modules (Mod-R) as well.

12. The (Algebraic) shapes of vectorial spaces

The last example of the previous section motivates the following idea concerning the quotient shapes of vectorial spaces (that is compatible with our general principle): We propose that every finite-dimensional vectorial space Xis "nice", and if X is infinite- κ -dimensional, then every vectorial space having dimension less than κ is "nice comparing to" X. As usually, dim X = |B|, where B is an algebraic (Hamel) basis of X. Given a field F, let $Vect_F$ denote the category of all vectorial spaces over F and all their linear functions. It is more convenient, and in some special considerations it is equivalent, to deal with a basis of a space than with the family of all its subspaces. However, for our purpose, the linear hulls of all subsets of a given basis (only) are *not* sufficient to obtain an expansion of X. So we must consider all the subspaces of a given infinite-dimensional space.

Let $X \in Ob(Vect_F)$ and let $\{Z_{\lambda} \mid \lambda \in \Lambda\}$ be the set of all its subspaces $Z_{\lambda} \leq X$. We define

$$\lambda \leq \lambda' \Leftrightarrow Z_{\lambda'} \trianglelefteq Z_{\lambda}.$$

Then (Λ, \leq) is a poset, that is directed because of

$$Z_{\lambda''} \trianglelefteq Z_{\lambda} \cap Z_{\lambda'} \Leftrightarrow \lambda'' \ge \lambda, \lambda'.$$

For every $\lambda \in \Lambda$, put

$$X_{\lambda} \equiv X/Z_{\lambda} = \{ [x]_{\lambda} = x + Z_{\lambda} \mid x \in X \}$$

to be the quotient vectorial space, and let

$$p_{\lambda}: X \to X_{\lambda}, \qquad p_{\lambda}(x) = [x]_{\lambda},$$

be the canonical projection, which is a linear function. Notice that dim $X = \dim X_{\lambda} + \dim Z_{\lambda}$. Given a pair $\lambda \leq \lambda'$ (i.e., $Z_{\lambda'} \leq Z_{\lambda}$), let

$$p_{\lambda\lambda'}: X_{\lambda'} \to X_{\lambda}, \qquad p([x]_{\lambda'}) = [x]_{\lambda},$$

be the relating function, which is linear as well. Then, $p_{\lambda\lambda'}p_{\lambda'} = p_{\lambda}$ and $p_{\lambda\lambda'}p_{\lambda'\lambda''} = p_{\lambda\lambda''}$, whenever $\lambda \leq \lambda \leq \lambda''$. In this way a certain inverse system $\boldsymbol{X} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda)$ in $Vect_F$ is associated with X, as well as, a morphism

$$\boldsymbol{p} = (p_{\lambda}) : X \to \boldsymbol{X}$$

of $pro-Vect_F$ such that all p_{λ} and all $p_{\lambda\lambda'}$ are (effective) epimorphisms. Since there exists $\lambda_* = \max(\Lambda, \leq) = \emptyset$ (corresponding to the trivial subspace $Z_{\lambda_*} = \{\theta\}$), and $X_{\lambda_*} \cong X$, the system X is isomorphic (in $pro-Vect_F$) to the rudimentary system $\lfloor X \rfloor$ of X. However, given an infinite cardinal κ , one can consider the restriction to the subset $\Lambda_{\kappa^-} \subseteq \Lambda$ ($\Lambda_{\kappa} \subseteq \Lambda$) of all $\lambda \in \Lambda$ such that dim $X_{\lambda} < \kappa$ (dim $X_{\lambda} \leq \kappa$): Then one straightforwardly proves that (Λ_{κ^-}, \leq) ((Λ_{κ}, \leq)) is a directed set. Moreover, there exists a morphism

$$\boldsymbol{p}_{\kappa^{-}} = (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa^{-}} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^{-}})$$
$$(\boldsymbol{p}_{\kappa} = (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa})),$$

of $pro-(Vect_F)_{\kappa^-}$ ($pro-(Vect_F)_{\kappa}$).

Clearly, if $\kappa > \dim X$, then p_{κ^-} is isomorphic to the rudimentary expansion $\lfloor 1_X \rfloor$ of X, while $\kappa \ge \dim X$ implies that p_{κ} is isomorphic to the rudimentary expansion $\lfloor 1_X \rfloor$ of X. We are to prove that $p_{\kappa^-} : X \to X_{\kappa^-}$ $(p_{\kappa} : X \to X_{\kappa})$ is a $(Vect_F)_{\kappa^-}$ -expansion $((Vect_F)_{\kappa}$ -expansion) of X.

THEOREM 12.1. For every infinite cardinal κ , $(Vect_F)_{\kappa^-}$ and $(Vect_F)_{\kappa}$ are pro-reflective subcategories of $Vect_F$.

PROOF. It suffices to verify condition (E1) for $p_{\kappa^{-}}$ and p_{κ} ,

$$\begin{aligned} \boldsymbol{p}_{\kappa^{-}} &= (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa^{-}} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa^{-}}), \\ \boldsymbol{p}_{\kappa} &= (p_{\lambda}) : X \to \boldsymbol{X}_{\kappa} = (X_{\lambda}, p_{\lambda\lambda'}, \Lambda_{\kappa}), \end{aligned}$$

constructed previously. If $\kappa > \dim X$, then (E1) for p_{κ^-} holds trivially. Let $\kappa \leq \dim X$. Let Y be any vectorial space (over the same field) such that $\dim Y < \kappa$, and let $f : X \to Y$ be a linear function. Then the kernel $N(f) \leq X$ and there exists a unique linear function $\tilde{f} : X/N(f) \to Y$ such that $\tilde{f}p = f$, where $p : X \to X/N(f)$ is the quotient (linear) epimorphism. Clearly, there exists a $\lambda \in \Lambda$ such that $N(f) = Z_{\lambda}$, and thus, $X/N(f) = X_{\lambda}$ and $p = p_{\lambda} : X \to X_{\lambda}$. Since the image $R(f) \leq Y$, it follows that $\dim R(f) < \kappa$.

Finally, $X \cong N(f) \oplus R(f)$ implies that dim $X_{\lambda} = \dim R(f) < \kappa$, which shows that $\lambda \in \Lambda_{\kappa^{-}}$. In the κ -case, if $\kappa \geq \dim X$, then condition (E1) for \boldsymbol{p}_{κ} holds trivially; if $\kappa < \dim X$, one can verify (E1) for \boldsymbol{p}_{κ} in the same way as for $\boldsymbol{p}_{\kappa^{-}}$.

We conclude this paper with the next final remark.

REMARK 12.2. (a) It seems that all the *algebraic* quotient shape classifications and the isomorphism classification of vectorial spaces coincide. Namely, they reduce to the cardinalities of bases (without any structure), and this leads to the category *Set* (Section 4). Thus, in order to obtain a coarser quotient classification, one should abandon the pure algebraic approach and consider $Vect_F$ endowed with an extra structure. Instead of the algebraic (*Hamel*) bases one might consider topological (Schauder) bases The class of all normed vectorial (primarily, infinite dimensional Banach) spaces admitting Shauder bases might be a convenient one for the (finite) shape classification.

(b) In the special case of the category $AAl_F \subseteq Vect_F$ of all associative algebras over F (and their operators), for every infinite-dimensional algebra, X, a congruence class $[0] \leq X$ has to be an ideal of $(X, +, \cdot)$ as well.

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N. UGLEŠIĆ

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