

XPS ANALYSIS OF NANOLAYER FORMED ON AISI 304L SS AFTER HIGH-VOLTAGE ELECTROPOLISHING (HVEP)

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Original scientific paper

In the paper, a novel process named the High-Voltage Electropolishing (HVEP) is proposed to gain the specific surface properties on the treated metallic material. The AISI 304L stainless steel as the biomaterial was used for the HVEP treatment. Another feature of this work is using a concentrated phosphoric acid solution within the copper nitrate as electrolyte. The main purpose of the HVEP processing in that kind of electrolyte was to incorporate the copper ions into the passive surface film. Such a modified surface nanolayer was studied by means of the X-ray Photoelectron Spectroscopy (XPS) to reveal the composition of the obtained film. The comparison of the numerical results, dependent on the voltage applied, was performed. Moreover, the Cr/Fe and Cu/P ratios were determined to show the advantages of this electrochemical HVEP treatment.

Keywords: AISI 304L SS; copper nitrate; High-Voltage Electropolishing (HVEP); phosphoric acid; XPS

XPS analiza nano sloja nastalog na AISI 304L SS nakon elektropoliranja pod visokim naponom (HVEP)

Izvorni znanstveni članak

U radu se predlaže novi postupak nazvan elektropoliranje pod visokim naponom - High-Voltage Electropolishing (HVEP) kako bi se dobila specifična svojstva površine obradivanog metalnog materijala. Za HVEP obradu kao biomaterijal korišten je AISI 304L nehrđajući čelik. Druga karakteristika ovoga rada je primjena koncentrirane otopine fosforne kiseline kao elektrolita u bakrovom nitratu. Osnovna svrha HVEP-a u toj vrsti elektrolita bila je uključivanje bakarnih iona u pasivni površinski sloj. Takav se modificirani nanosloj analizirao primjenom X-ray Photoelectron Spectroscopy (XPS) kako bi se otkrio sastav dobivenog sloja. Učinjena je usporedba numeričkih rezultata, ovisno o primijenjenom naponu. Uz to, određeni su omjeri Cr/Fe i Cu/P kako bi se pokazale prednosti tog elektrokemijskog HVEP postupka.

Ključne riječi: AISI 304L SS; bakrov nitrat; elektropoliranje pod visokim naponom (HVEP); fosforna kiselina; XPS

1 Introduction

Austenitic stainless steels such as AISI 304L and AISI 316L, like the titanium, niobium and their alloys, have been continually used as metallic biomaterials. For several decades now, scientists have been trying to modify the metal surface layer by different types of electrochemical polishing, such as the standard electropolishing [1-8], magnetoelectropolishing [9-21] and high-current density electropolishing [22-26]. The electrochemical polishing processes performed under different conditions allow creating passive layers of different chemical compositions [11-14] as well as different mechanical [15-17] and corrosion [18-21] properties. In recent decades also the ways of modifying the passive layers on the treated parts were revealed in view of getting a variety of specific surface properties concerning the wettability, biocompatibility, etc. [21]. For these purposes, the magnetoelectropolishing MEP and high-current density electropolishing HDEP were developed.

In the presented study, a new process destined to the biomaterials treatment, called the High-Voltage Electropolishing (HVEP), is proposed. The Authors present the XPS analysis of the passive surface layer formed on AISI 304L stainless steel, obtained after this novel High-Voltage Electropolishing. The proposed method is similar to the Plasma Electrolytic Oxidation PEO (known also as the Micro Arc Oxidation) [27-32], which is mostly used for the electrochemical treatment of titanium, niobium, zirconium, tantalum and their alloys. These materials undergo electropolishing up to about 80 V and plasma is created under higher voltages, say 180 V and above. A micrometer-thick porous layer is formed under these PEO treatments at higher voltages.

Unfortunately, there are no related studies concerning electrochemical treatment of stainless steels under so high voltages. Moreover, this film formed after the HVEP treatment is much thinner and solid, not porous. That fact decided on the study method proposed for this work. In this case also, the Authors would like to avoid that *PEO name* for the stainless steel electrochemical treatment. Experimental results obtained and presented in this paper are probably unique in this kind of electrochemical treatment. In this study our aim is to characterize the passive film formed on AISI 304L SS after the treatment under the voltage of 450 V, with the process named as the High-Voltage Electropolishing HVEP.

Another important feature of this work is using the concentrated phosphoric acid solution within the copper nitrate as an electrolyte. The main aim of the HVEP processing in that solution is to incorporate the copper ions into the passive surface layer. Due to the germicidal properties of copper [34-35] it is a very important task to gain the biomaterials with such modified surface layer.

2 Method

2.1 HVEP conditions

The High-Voltage Electropolishing HVEP was performed at two voltages of 180 V and 450 V, consecutively, to compare these results with the ones obtained after electropolishing at the voltage of 14 V applied as a reference. The HVEP treatment time was 3 minutes. Here, the experiment performed under voltage of 14 V, which is not a high-voltage, was used just for a comparison only. The 304L steel samples (cold-rolled steel, as received) were not treated mechanically before the HVEP; they were only cleaned by acetone. After the HVEP treatment, samples were rinsed with distilled water

and dried in air. At this primary stage, two to three samples were used each time for the studies.

Another important change is the electrolyte composition used. For the studies, a mixture of the 500 ml concentrated orthophosphoric acid (98 g/mol) with 150 g of copper nitrate electrolytes by volume, were used. For each run, the electrolytic cell made of glass was used, containing up to 500 dm³ of the electrolyte solution. The detailed chemical composition of the material used for the studies was given in our earlier paper [24]. The samples of AISI 304L stainless steel were cut off from a cold-rolled metal sheet after the plate rolling so that the austenitic structure was retained. They were prepared in the form of rectangular specimens of dimensions 30 × 5 mm cut off from the metal sheet 1 mm thick. They were traditionally cleaned before the HVEP treatment, then rinsed with distilled water and dried in air.

2.2 XPS studies

The XPS measurements were performed on the SCIENCE SES 2002 instrument using a monochromatic (Gammadata-Scienta) Al K(alpha) ($h\nu = 1486.6$ eV) X-ray source (18.7 mA, 13.02 kV). The scans' analyses were carried out with an analysis area of 1 × 3 mm and pass energy of 500 eV with the energy step 0.2 eV and the step time 200 ms. The binding energy of the spectrometer has been calibrated by the position of the Fermi level on a clean metallic sample. The power supplies were stable and of high accuracy. The experiments were carried out in an ultra-high-vacuum system with a base pressure of about 6×10^{-8} Pa (6×10^{-10} mbar). The XPS spectra were recorded in normal emission. For the XPS analyses the CasaXPS 2.3.14 software (Shirley background type), with data presented in references [35-40], was used. Maximum depth of XPS analysis is about 10 nm and it is most likely equal to the thickness of the passive film obtained and studied.

3 Results

In Fig. 1, the high resolution XPS spectra of the passive layer formed on the austenitic AISI 304L stainless steel after the High-Voltage Electropolishing (HVEP) in the electrolyte consisting of 500 ml H₃PO₄ with the addition of 150 g of Cu(NO₃)₂, are displayed. The highest and the lowest chromium compound signals after the electrochemical treatments at 14 V and 180 V, respectively, were recorded. In the case of iron, the highest compound peak was noted after the polishing at 14 V and the smallest one after the treatment at 180 V.

The binding energy of the phosphorus peak amounting in the range of 133-134 eV and the oxygen peak with the binding energy of about 532 eV for all the treatments can suggest that in the passive layers there are phosphates of chromium and/or iron and/or copper. However, it should be noted that a part of oxygen is bonded with carbon, and that should be treated as a contamination. Herewith one should add that the measured layer consists of two sub-layers: the outer one, i.e. mostly contamination layer (carbon-oxygen compounds, coming e.g. from cleaning or from air, CO₂)

and the inner one, i.e. pure passive film (chromium-iron-nickel compounds).

The chemical composition is given by the fitting of curves. Concerning the accuracy or uncertainties, the core level peak positions may typically be determined to within 0.1 eV. The energy scale of the instrument has been calibrated to within < 0.05 eV.

For determination of chemical composition of the passive layer, only iron, chromium, copper and phosphorus were taken into account. On the basis of the data presented in Fig. 1, the chemical compositions of the passive layers, formed on AISI 304L SS after the HVEP treatment, were calculated, what is shown in Tab. 1. One can easily notice that the highest amounts of copper (4.4 at%) and iron (5.4 at%) in the passive layer were obtained after the electrochemical high-voltage polishing at 450 V, while the maximum of phosphorus (82.6 at%) and chromium (17.3 at%) was noted after the treatment at 180 V and 14 V, respectively. The binding energies 935.2 eV and 943.3 eV for the copper spectra Cu 2p clearly show that the copper most likely is on the second stage of oxidation. On the basis of Cu 2p_{3/2} sat it may be concluded that most likely the copper will be bonded oxide forming CuO [41].

Table 1 Results of Fe 2p_{3/2}, Cr 2p_{3/2}, Cu 2p and P 2p fitting (in at%)

Voltage, V	Fe	Cr	P	Cu
14	4.4	17.3	76.3	2.0
180	3.2	10.8	82.6	3.4
450	5.4	11.4	78.8	4.4

In Fig. 2, the fitted high resolution XPS results of Fe 2p_{3/2} of the passive layer formed on AISI 304L SS after the High-Voltage Electropolishing in the electrolyte containing H₃PO₄ within Cu(NO₃)₂, are presented. In all the spectra, there are visible signals coming from the metallic iron (Fe⁰), which most likely is derived from the matrix. It should be also pointed out that the signals from the iron compounds derived from the same depth of the passive layer are growing with the increasing of the voltage applied. This may mean that the passive layer has been more enriched in the iron compounds after the electrochemical treatment at 450 V than that after polishing at the lower voltages, i.e. 14 V and/or 180 V. The binding energies of the iron compound peaks for the HVEP at 14V, 180 V and 450V amount to 712.7 eV (FWHM=3), 712.1 (FWHM=3), and 712.4 (FWHM=3.6), respectively. For the electrochemical polishing at 14 V, on the basis of the binding energy for the iron compounds, i.e. 712.7 eV, it can be concluded that the signal originated mainly from the iron phosphates (Fe₃PO₄)₂ and FePO₄. However, it should be taken into account that the full width at the half maximum (FWHM) is very broad, what may indicate, especially in the lower binding energies, the iron oxides and hydroxides occur. The main iron compound peak obtained from the surface treated at 180 V has the binding energy equalling 712.1 eV with FWHM=3. This binding energy shift into the smaller energy can be interpreted that here a higher amount of iron III oxides and/or hydroxides bonded with iron phosphates appear.

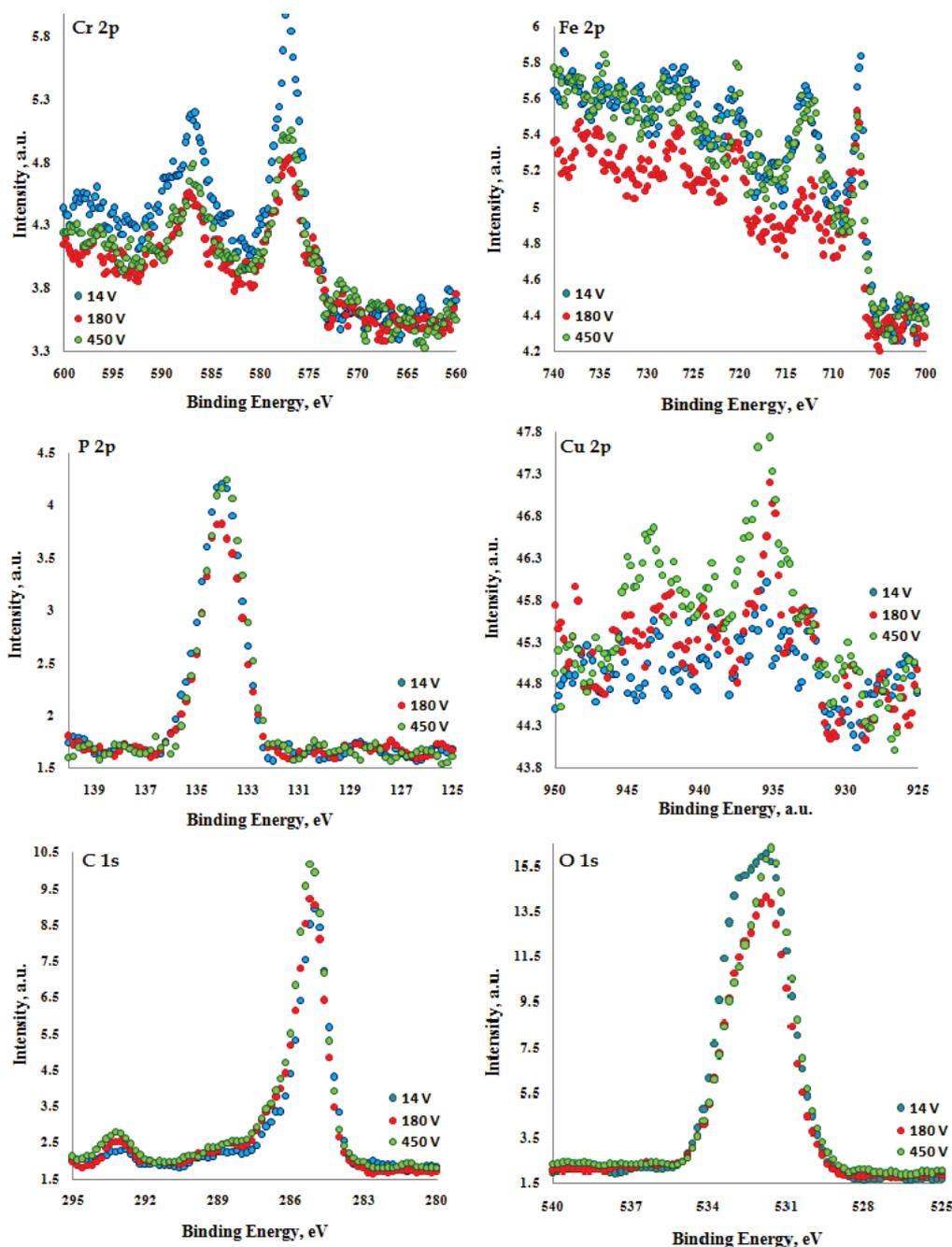


Figure 1 XPS results (Cr 2p, Fe 2p, P 2p, Cu 2p, C 1s, O1s) of the passive layer formed on AISI 304L SS after High-Voltage Electropolishing (HVEP) in the electrolyte containing H_3PO_4 within $\text{Cu}(\text{NO}_3)_2$

After the electrochemical polishing at the highest voltage applied, i.e. 450 V, the passive layer was formed, from which the iron compounds signal described by one peak is equal to 712.4 eV with FWHM=3.6. Similar as for the HVEP treatment at 180 V, the binding energy is in the range where the oxides, hydroxides as well as phosphates of iron have been detected. Knowing that after this HVEP treatment at 450 V the amount of iron is the highest one from all values received, together with a smaller amount of phosphorus than after the electropolishing at 180 V, it can be concluded that in the passive layer, besides iron phosphates, also oxides and hydroxides of iron II and III have occurred.

In Fig. 3, the fitted high resolution XPS results of Cr $2p_{3/2}$ of the passive layer formed on AISI 304L SS after the High-Voltage Electropolishing HVEP in the electrolyte containing H_3PO_4 within $\text{Cu}(\text{NO}_3)_2$, are displayed. In all the presented spectra the signals from

metallic chromium (Cr^0) are visible. Also in all spectra at the binding energy of 574.2 eV the signals from the metallic chromium (Cr^0) were found, what can be considered as the matrix signals. The highest metallic signal (18% of the whole detected chromium $\text{Cr} 2p_{3/2}$ signal) was recorded for the passive layer obtained by the electrochemical polishing at 180 V while the smallest one (7.9%) was noted for the HVEP treatment at 450 V. Herewith the amount of carcinogenic chromium VI was the lowest one, that is 1.34 at% of the whole detected chromium $\text{Cr} 2p_{3/2}$ signal after the treatment at 450 V, and the highest one (4.6 at%) after the treatment at 14 V. The lowest energy corresponds to the metal part. Always with increasing of binding energy also the oxidation stage of the element increases [3, 7, 17, 27-32]. The Cr^{6+} can be found at the binding energy of about 579 eV and a little bit over.

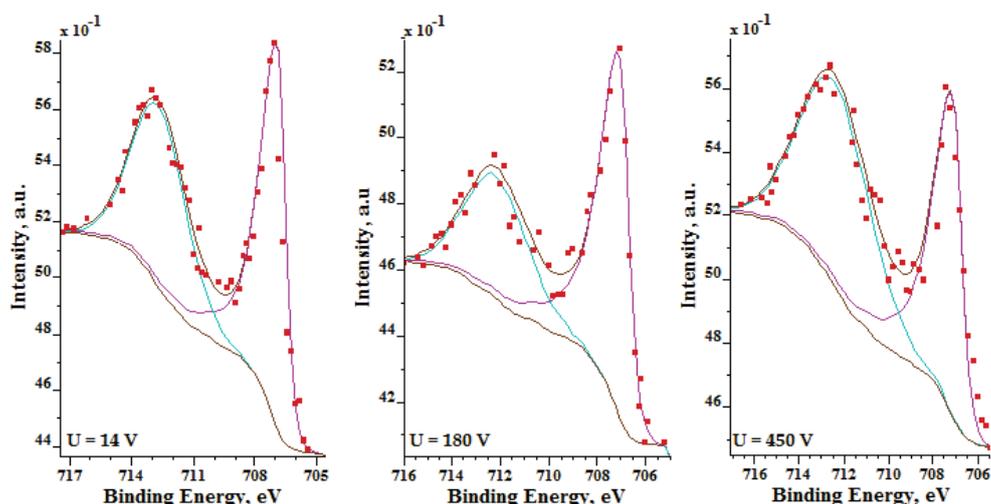


Figure 2 Fe $2p_{3/2}$ XPS results of the passive layer formed on AISI 304L SS after the High-Voltage Electropolishing in the electrolyte containing H_3PO_4 within $Cu(NO_3)_2$

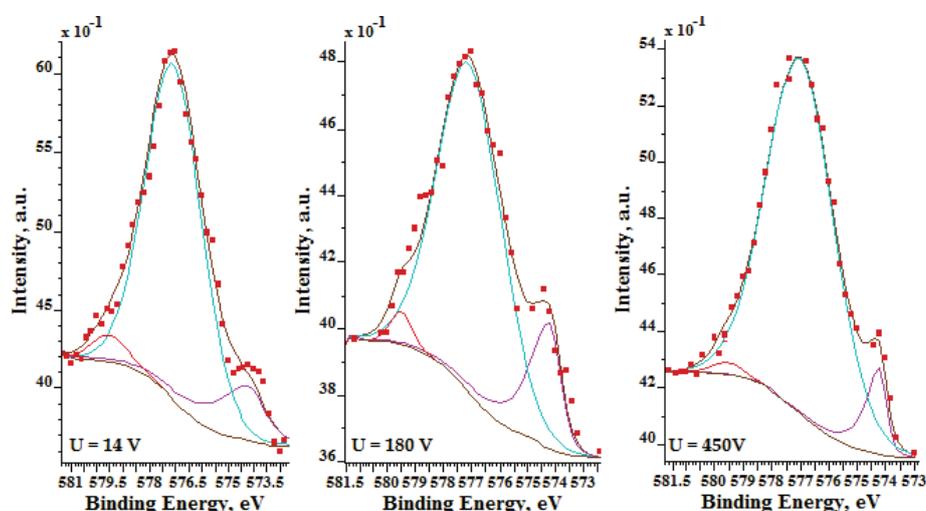


Figure 3 Cr $2p_{3/2}$ XPS results of the passive layer formed on AISI 304L SS after the High-Voltage Electropolishing in the electrolyte containing H_3PO_4 within $Cu(NO_3)_2$

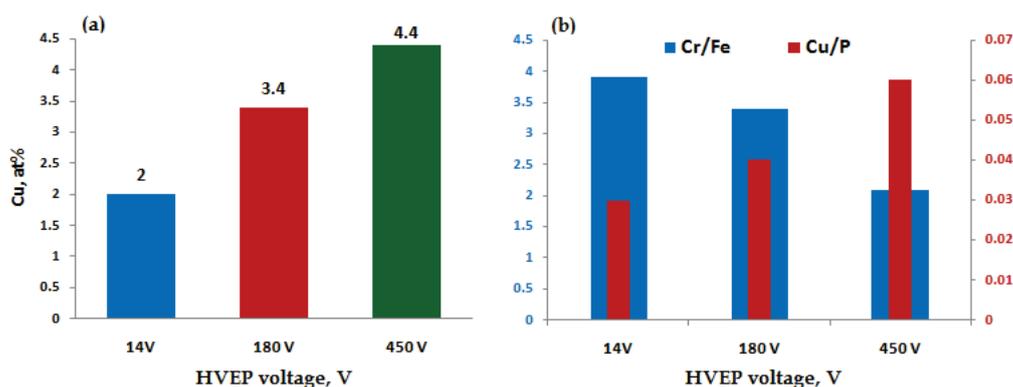


Figure 4 Bar graphs describing the percentage of copper by at% in the surface passive layer formed on AISI 304L SS after the High-Voltage Electropolishing (HVEP) in the electrolyte containing H_3PO_4 within $Cu(NO_3)_2$ (a), as well as the chromium-to-iron (Cr/Fe by at%) and copper-to-phosphorus (Cu/P by at%) ratios (b)

In Fig. 4, the bar graphs describing the percentage of copper in the surface passive nanolayer formed on AISI 304L SS after the High-Voltage Electropolishing in the electrolyte containing H_3PO_4 within $Cu(NO_3)_2$ as well as the chromium-to-iron (Cr/Fe) and copper-to-phosphorus (Cu/P) ratios, are shown. In Fig. 4a, one may notice that the higher amount of copper can be incorporated into the passive layer by the HVEP at 450 V. The copper amount is over two times higher than that noted for the standard electropolishing at 14 V.

Additionally, the mathematical formula describing the percentage amount of copper in the passive layer as a function of the processing voltage was found:

$$Cu \text{ (at\%)} = 1.2 \cdot U + 0.866 \quad (R^2=0.99)$$

where U corresponds with the HVEP voltage. The mathematical formula is only a way of mathematical description. Due to the possibility of error, the linear function was used to describe the experimental data and

given the very large adjustment (R^2 over 90%) [33], where: R^2 - coefficient of determination (it shows how well the data are fitted to a statistical model); R - linear correlation coefficient (it shows the strength and the direction of a linear relationship between two variables).

In Fig. 4b, there are presented two ratios, i.e. the chromium-to-iron ratio (Cr/Fe) and the copper-to-phosphorus (Cu/P) ratio. For the standard polishing at 14 V the Cr/Fe ratio is the highest (3.9) while the Cu/P ratio is the smallest one (0.03). For the electrochemical treatment at 450 V the situation is opposite, i.e. the Cr/Fe ratio is the smallest (2.1) and the Cu/P ratio is the highest (0.06). From this it follows that after the HVEP polishing the passive layer contains less amount of the carcinogenic chromium on the sixth stage of oxidation and more bactericidal copper. Additionally, the Authors propose two mathematical equations, (1) and (2), describing the two ratios as a function of the treatment voltage (U in the equations corresponds to the HVEP voltage):

$$\text{Cr/Fe (at\%)} = -0.9 \cdot U + 4.933 \quad (R^2=0.94) \quad (1)$$

$$\text{Cu/P (at\%)} = 0.015 \cdot U + 0.013 \quad (R^2=0.96) \quad (2)$$

4 Conclusions

The studies carried out allow drawing several meaningful conclusions, as follows:

- High-Voltage Electropolishing (HVEP) of AISI 304L SS allows to incorporate copper ions into the passive nanolayer
- the higher treatment voltage, the higher amount of copper into the passive nanolayer is introduced
- the higher treatment voltage, the higher copper-to-phosphorus (Cu/P) ratio is gained
- the higher treatment voltage, the smaller chromium-to-iron (Cr/Fe) ratio is obtained
- the higher treatment voltage, the smaller carcinogenic chromium VI appears in the passive nanolayer.

Moreover, one may also notice that:

- incorporated copper into the passive layer is mostly on the second stage of oxidation forming CuO
- the passive layer consists mainly of the iron phosphates and iron, copper oxides and/or hydroxides.

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