

# HIGHWAY ALIGNMENT OPTIMIZATION BY USING COST AND SAFETY CRITERIA

*Seyed Mahdi Sajjadi, Seyyed Amir Hossein Beheshty*

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Research on highway alignment optimization has been quite intensive over the last two decades. Determining the best candidate for highway alignment is one of the most complex highway design stages due to the different effects of various parameters. Hence, in the present study, Analytic Hierarchy Process technique is proposed to determine the best highway candidate with special focus on the cost and safety criteria. The methodology presented in the current research is not limited to the cost and safety criteria but can be extended to other criteria. This methodology is implemented on a case study region in north-western Iran, and therefore the cost and safety criteria are obtained for the case study conditions. The final result of the current paper indicates that the optimal highway candidate obtained with the proposed methodology can simultaneously meet all relative parameters in highway alignment optimization based on their impact.

**Keywords:** *Analytic Hierarchy Process; cost category; safety parameter; the best highway candidate*

## Optimizacija trase autoceste pomoću kriterija troškova i sigurnosti

Izvorni znanstveni članak

Istraživanje o optimizaciji trase državne ceste postalo je vrlo intenzivno u zadnja dva desetljeća. Određivanje najboljeg kandidata za trasu ceste jedan je od najsloženijih stadija u projektiranju ceste zbog različitih učinaka raznih parametara. Stoga se u ovom istraživanju predlaže metoda analitičkog hijerarhijskog procesa u svrhu određivanja najboljeg kandidata za cestu posebno usmjerena na kriterije troškova i sigurnosti. Metodologija predložena u ovom istraživanju nije ograničena na kriterije troškova i sigurnosti već se može proširiti i na ostale kriterije. Ta je metodologija primijenjena na istraživano područje na sjeverozapadu Irana te su kriteriji troškova i sigurnosti dobiveni za uvjete analiziranog slučaja. Konačni rezultat pokazuje da optimalni kandidat za cestu dobiven predloženom metodologijom može simultano zadovoljiti sve relevantne parametre u optimizaciji trase državne ceste na temelju njihovog utjecaja.

**Ključneriječi:** *analitički hijerarhijski proces; najbolji kandidat za državnu cestu; parametar sigurnosti; troškovna kategorija*

### 1 Introduction

A great deal of research effort has been made in recent years to develop methods of determining optimal highway candidates. So far, several extensive research works have been carried out to determine optimal alignment worldwide, which has led to the emergence of different models and algorithms. Some of the most important are: calculus of variations [1], network optimization [2], dynamic programming [3], genetic algorithm [4], and geographic information system [5]. All models that are presented for highway alignment optimization perform alignment optimization only by minimizing the total cost. Meanwhile, determining the best highway candidate is very complex and several parameters play a role besides cost, such as safety, constraint, social, environmental and so on.

Cost is one of the most important parameters in determining an optimal highway candidate. The cost parameter in highway alignment optimization is divided into direct and indirect costs. Indirect costs are paid by government and private sector investments (e.g., costs related to highway design, construction, maintenance, air and noise pollution, etc.), while direct costs are paid by highway users after highway operation (e.g., vehicle operating costs, lost time costs, toll and parking costs, etc.). In the routing stage of highway alignment there is not enough information about each highway candidate thus in the current research only indirect costs are considered. The four categories of indirect cost functions considered for provided example in this research are included length-dependent cost, structural cost, location-dependent cost and earthwork cost. The results of each

cost category for a highway candidate can express the weight of that candidate as well as of every cost category.

Another significant parameter that plays an important role in highway alignment optimization is safety. Global statistics indicate that over one million people lose their lives in road accidents annually. According to the latest report by the World Health Organization (WHO), road injuries that lead to death are among the top 10 causes of death all over the world. The statistics provided by WHO indicate that around 1.3 million people died in road accidents in 2012 worldwide. A similar statistic shows that nearly 25 000 people lose their lives in Iran annually in road accidents. According to studies conducted in Iran, the main causes of accidents are, respectively: human factors (70 %), road and environmental factors (20 %), and vehicle factors (10 %) [6]. Therefore, the effect of road and environmental factors is substantial in road accident occurrences in Iran. With correct routing and use of all effective parameters in determining the best highway candidates, such as compulsory points, seas, marshes, rivers, hydrology, geology, faults, landslides, etc., the rate of accidents can be reduced [7].

The current paper presents a new methodology for determining the best highway candidates using the Analytic Hierarchy Process technique with specific focus on the cost and safety parameters. Other criteria for highway optimization such as social, environmental and etc. are not in the scope of this research. The weight of each safety parameter relative to the others is obtained through questionnaires. However, the weight of each highway candidate based on every safety and cost parameter is obtained by extracting the safety and cost parameters from the highway candidates and then using a method defined in this paper. Finally, the weights

obtained for cost and safety will be used in the AHP technique, after which the best highway candidate will be attained based on the provided methodology. The best candidate found according to the proposed methodology can meet all parameters used simultaneously. The cost and safety parameters investigated in the current paper are based on conditions in Iran and can be promoted for other territories.

## 2 Cost category

In this study, four cost function categories are considered and expanded, including: length-dependent cost, structural cost, location-dependent cost and earthwork cost. The calculated costs in this paper are estimated by assuming some of the parameters and approximating. These cost functions are merely used for determining the comparable weights of costs related to each highway candidate, which are required in the Analytic Hierarchy Process (AHP) technique. All cost categories used in this study are obtained for the case study region, which is located in northwest Iran between the Qeydar and Zarrin Rood cities. These cost categories can also be upgraded for other case studies.

### 2.1 Length-dependent costs

In this research, the concept of length-dependent costs entails costs that have a direct relationship with the decrease or increase of highway length and are divided into three categories as follows:

1. Pavement costs including sub-base, base and pavement surface
2. Costs related to signs and signals
3. Costs associated with safety and facilities such as guardrails, lighting systems, etc.

The main factor in the above-mentioned costs is highway length, although other factors are also involved in determining costs. For example, with increasing the highway candidate's length, costs associated with the highway will increase. Subsequently, additional details on each of these costs will be given.

#### 2.1.1 Pavement cost

One of the costs associated with highway length includes the cost of preparing and implementing the sub-base, base, surface and haul, which have a direct relation with the highway length. In the current research, the unit cost related to pavement is defined as  $upc$ . Therefore, the costs associated with highway pavement will be found with Eq. (1). In this research, the unit cost related to highway pavement for all highway candidates is assumed to be constant, because this cost is dependent on length, whereas the type and location of highway candidates have no effect on determining it.

$$C_{len(p)} = L \times upc, \quad (1)$$

where:  $C_{len(p)}$  - pavement cost related to length-dependent cost;  $upc$  - unit pavement cost;  $L$  - length of highway candidate.

#### 2.1.2 Costs related to signs and signals

This type of cost is related to the cost of supplying and installing traffic signals and guide boards along highways. In this research, the unit cost related to signs and signals is denoted by  $usc$ . Therefore, the cost related to signs and signals on highways is obtained with Eq. (2). This cost is dependent on factors such as the highway's geometric design, road type and geographical conditions, etc. In this study, given that the general conditions of highway candidates are almost identical between two points, it is assumed that such costs are directly related to highway length and the unit cost is assumed to be constant for all candidates.

$$C_{len(s)} = L \times usc, \quad (2)$$

where:  $C_{len(s)}$  - signs and signals costs related to length-dependent cost;  $usc$  - unit sign cost;  $L$  - length of highway candidate.

#### 2.1.3 Costs associated with safety guard rails and facilities

This type of cost is associated with the cost of supplying and installing middle highway guard rails as well as lighting systems along highways. In this research, the unit cost related to safety and facilities is defined by  $uic$ . Therefore, the costs associated with highway safety and facilities can be calculated using Eq. (3). This cost is directly related to the length of the highway candidate, thus  $uic$  can be fixed for all candidates.

$$C_{len(i)} = L \times uic, \quad (3)$$

where:  $C_{len(i)}$  - safety guard rails and facility costs related to length-dependent cost;  $uic$  - unit installation cost;  $L$  - length of highway candidate.

The total cost related to the length of each highway candidate can be defined according to Eq. (4):

$$TC_{len} = C_{len(p)} + C_{len(s)} + C_{len(i)}. \quad (4)$$

## 2.2 Location-dependent cost

The final, location-dependent cost is associated with the unit cost of land with different applications and areas along the highway candidate (including land allocated for highway alignment and required highway margins). Based on different regulations, the necessary highway margins differ. For example, the Regulations for Geometric Design of Iran Roads [8] determined a width equal to 76 meters for highways (total width of highway including width of lanes and needed margin).

In terms of highway alignment being a function of point intersection (PI), location-dependent costs can be given by the following equation [9]:

$$TC_{loc} = \sum_{i=1}^{nlp} uc_i \times af_i, \quad (5)$$

where:  $TC_{loc}$  - total location-dependent cost;  $uc_i$  - unit cost of the  $i^{th}$  land parcel in the study area;  $af_i$  - fractional area of the  $i^{th}$  land parcel required for highway alignment;  $nlp$  - total number of land parcels in the study area.

Moreover, land parcels can be divided into two categories in terms of ownership type: (a) real ownership (personal), and (b) legal ownership (public or private organizations). The unit cost of each of the mentioned types of land parcel can be based on different factors as follows [8, 9]:

- Land use of land parcels, such as: commercial, residential, industrial, agricultural (farmland or gardens) and national land
- Land distance from the boundaries of the city or village.

Given the scope of this study, which is to determine the optimal highway candidate between two points (two centres of populations), land parcels located outside the boundaries of cities or villages are considered.

There are different conditions to determine the unit cost of land in various regions. Urbanism structures may come in different forms from country to country. For instance, in the country studied in this research (Iran) all land used for constructing a highway between two cities is divided into three categories: (1) Industrial land, (2) national land, and (3) agricultural land. Each of the three categories can be under the ownership of one of modes (a) or (b), meaning real or legal ownership as listed above. In the following an explanation for each land use above-mentioned for case study region is provided:

#### (1) Industrial land

Today, industrial land for urbanism structures is usually located outside cities' boundaries and comprises industrial towns. In case of the study region, no highway candidate is permitted to pass through these areas. In other words, this kind of land parcels is considered as a constraint. Industrial land is normally owned by private sectors.

#### (2) National land

National land is typically under government ownership. In this research, the cost related to national land is assumed to be zero if it is not part of the constraints parameters, such as environmental or geographical constraints.

#### (3) Agricultural land

Various factors that are effective in determining the cost of agricultural land are: amount of water, fertility, distance to existing roads, regional climate, distance to the city boundaries, etc. In this study, only the effect of the land parcel's distance to the city boundary is considered.

Thus, according to the explanations in the three sections presented above, Eq. (5) can be upgraded to the following equation based on every case study condition:

$$TC_{loc} = \sum_{i=1}^{ngp} \alpha_i \times ugc \times A_{gi} + \sum_{i=1}^{nfp} \alpha_i \times ufc \times A_{fi}, \quad (6)$$

where:  $ugc$  - unit cost of standard land parcel with garden land use located near the city boundary based on real

estate agents;  $ufc$  - unit cost of standard land parcel with farmland land use located near the city boundary based on real estate agents;  $A_{gi}$  - fractional area of the  $i^{th}$  land parcel with garden land use located in highway alignment regions;  $A_{fi}$  - fractional area of the  $i^{th}$  land parcel with farmland land use located in highway alignment regions;  $\alpha_i$  - an index based on the case study conditions and the distance of the  $i^{th}$  land parcel to the city boundary;  $ngp$  - number of garden land parcels;  $nfp$  - number of farmland land parcels.

$\alpha_i$  can be obtained by using a questionnaire (asking real estate agents questions on the range of land parcel cost in the case study region). For example, for the present case study the following amounts were acquired:

$\alpha_i \cong 1$  if land parcel is located less than 2 kilometres;  $\alpha_i \cong 0.85$  if land parcel is located between 2 kilometres and 5 kilometres from the city boundary;  $\alpha_i \cong 0.75$  if land parcel is located more than 5 kilometres from the city boundary.

## 2.3 Structural costs

Structural cost is another effective cost in the selection of optimal highway candidates including cost related to bridges, tunnels, retaining walls, culverts, etc. This cost for various highway candidates may vary according to geographical situation and should be calculated separately for each candidate. This cost is generally divided into three major categories as follows:

- 1) Costs related to bridges
- 2) Costs related to tunnels
- 3) Costs related to retaining walls.

In the next sections, each cost related to structure will be investigated more comprehensively.

### 2.3.1 Costs related to bridges

Bridges are normally needed when crossing rivers, valleys or old roads. These regions as well as bridge dimensions can be identified using the GIS database. In accordance with the regulations on Iranian roads' geometric design [6] a bridge is defined as:

#### • Bridge

It is a structure that spans over 6 meters, which allows a path for passing over a waterway, valley, power transmission lines, railway, or old roads. The distance between bridge fulcrums along the bridge centre line is called the bridge span. In a multi-span bridge, the length of each span should be 3 meters or more.

In the current research, the unit cost related to bridge volume is denoted by  $ubc$  and the total length of each bridge ( $L_b$ ) is obtained from the GIS database or other software. Eq. (7) shows the total cost related to bridges along highway candidates.

$$C_{str(b)} = \sum_{j=1}^{seg} L_{b(j)} \times ubc, \quad (7)$$

where:  $C_{str(b)}$  - total bridge cost along a highway candidate;  $L_{b(j)}$  - length of the  $j^{th}$  bridge;  $ubc$  - unit bridge

cost based on bridge volume; *seg* - number of bridges along the highway alignment region.

### 2.3.2 Costs related to tunnels

In some cases, predicting tunnels along the studied candidate is logical and necessary. A tunnel may be selected along a highway candidate for reasons such as: economics, reduction of candidate highway length, road protection in snowy regions, landslide areas, avalanche risk, etc. On highways, tunnels are constructed as one-way and the number and width of lanes and road shoulders inside a tunnel are identical to those outside the tunnel [8]. Using the GIS database can help determine the position and length of tunnels along highway alignment areas. In the current research, the unit cost related to tunnel construction is given as *utc* and the total length of each tunnel ( $L_T$ ) is obtained from the GIS database or other software. Eq. (8) shows the total cost related to tunnels along highway candidates.

$$C_{str(t)} = \sum_{j=1}^{seg} L_{t(j)} \times utc, \quad (8)$$

where:  $C_{str(t)}$  - total tunnel cost along a highway alignment region;  $L_{t(j)}$  - length of the  $j^{\text{th}}$  tunnel; *utc* - unit tunnel cost based on tunnel length; *seg* - number of tunnels along a highway alignment region.

### 2.3.3 Costs related to retaining walls

Retaining walls are used for soil motion control and overload of various structures on roads or at the foot of excavation and embankment. These structures are generally constructed in places where the groundwater level is high and the soil is poor. Retaining walls can be used depending on soil elevation, administrative constraints, type of facility located on the soil and climate conditions [8]. The location and dimensions of retaining walls can be determined using the GIS database or other software. In the current research, the unit cost related to constructing retaining walls is defined by *uwc* and the total area of each retaining wall ( $A_w$ ) is obtained from the GIS database or other software. Eq. (9) shows the total cost related to retaining walls along highway alignment areas.

$$C_{str(w)} = \sum_{j=1}^{seg} A_{w(j)} \times uwc, \quad (9)$$

where:  $C_{str(w)}$  - total retaining wall cost along highway alignment areas;  $A_{w(j)}$  - area of the  $j^{\text{th}}$  retaining wall; *uwc* - unit retaining wall cost; *seg* - number of retaining walls along highway alignment areas.

The total cost related to structures on highways can be defined according to Eq. (10):

$$TC_{str} = C_{str(b)} + C_{str(t)} + C_{str(w)}. \quad (10)$$

## 2.4 Earthwork costs

Earthwork costs include costs related to excavation, embankment and haul. So far, many methods have been proposed to calculate this cost. Two popular methods of calculating earthwork volume are (a) the trapezoidal method and (b) the average end area method. Although the trapezoidal method offers better precision, it increases the computational burden. For the planning phase, assessment with the average end area method is sufficient [12]. In the current study, the average end area method is also used to determine the earthwork volume of each candidate in AutoCAD Civil 3D software.

To determine the earthwork (embankment and excavation) volume, the grade line (or project line) of the highway candidate should initially be determined in order to satisfy the following factors:

- The volumes of excavation and embankment should be equal
- The allowable longitudinal slope should not be exceeded.

Therefore, various grade lines that satisfy the above factors can be obtained; in other words, the grade line is not unique, as it can be changed according to the situation. In the current study, only grade lines that can satisfy the maximum allowable slope are considered.

After determining the volume of earthwork for each candidate highway, the following equation can be used to find the earthwork cost. In this equation, it is assumed that cost related to haul is fixed for all candidates, and it is therefore ignored.

$$C_E = V_c \times ucc + V_f \times ufc, \quad (11)$$

where:  $C_E$  - earthwork cost for each candidate (\$);  $V_c$  - total cut volume of each candidate;  $V_f$  - total fill volume of each candidate; *ucc* - unit cost of cut (\$/m<sup>3</sup>); *ufc* - unit cost of fill (\$/m<sup>3</sup>).

## 2.5 Cost weights

In the previous sections, four functions related to highway costs were presented and expanded based on the case study conditions. All of these cost functions are used in the current study in order to determine the weights of the cost categories for each highway candidate and weight of each cost category. Basically, these cost functions are for obtaining the weights of cost categories relative to each other (the weight of each cost category) as well as obtaining the weight of each candidate based on each cost category.

In this study, a method of determining the cost weights is suggested. To determine the AHP weight of each candidate based on each cost category, the cost of each defined candidate should be initially calculated using the provided cost functions. Afterward, the AHP weight of each candidate will be obtained based on the following chart:

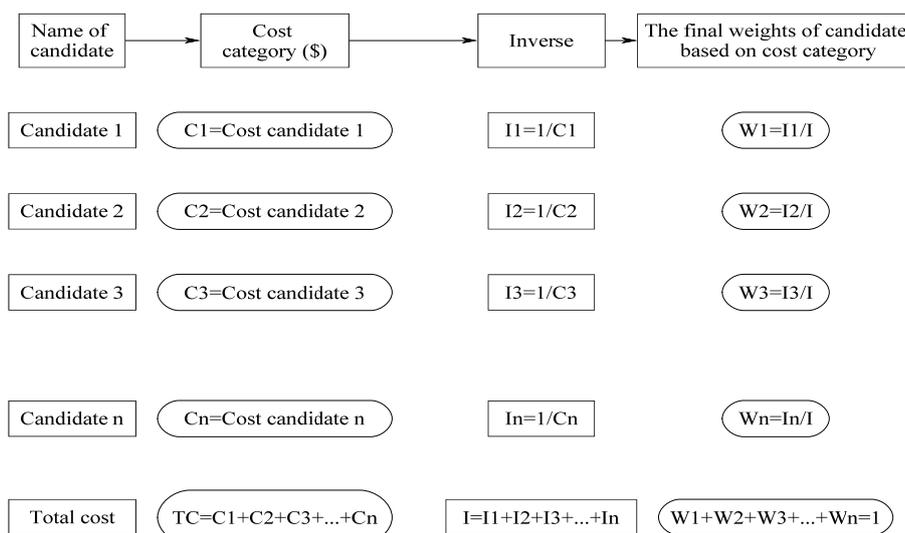


Figure 1 Flowchart for obtaining the weight of each candidate based on each cost category

The AHP weights of all highway candidates should be calculated using the above flowchart based on every cost category as investigated in previous sections. These weights will be applied to the process of determining the best highway candidate using the Analytic Hierarchy Process technique.

To determine the AHP weight of each cost category, the process shown in the flowchart in Fig. 2 can be used. To use this process, the average of each cost category (location-dependent cost, earthwork cost, length-dependent cost and structural cost) needs to first be calculated for all candidates, after which the process below can be used.

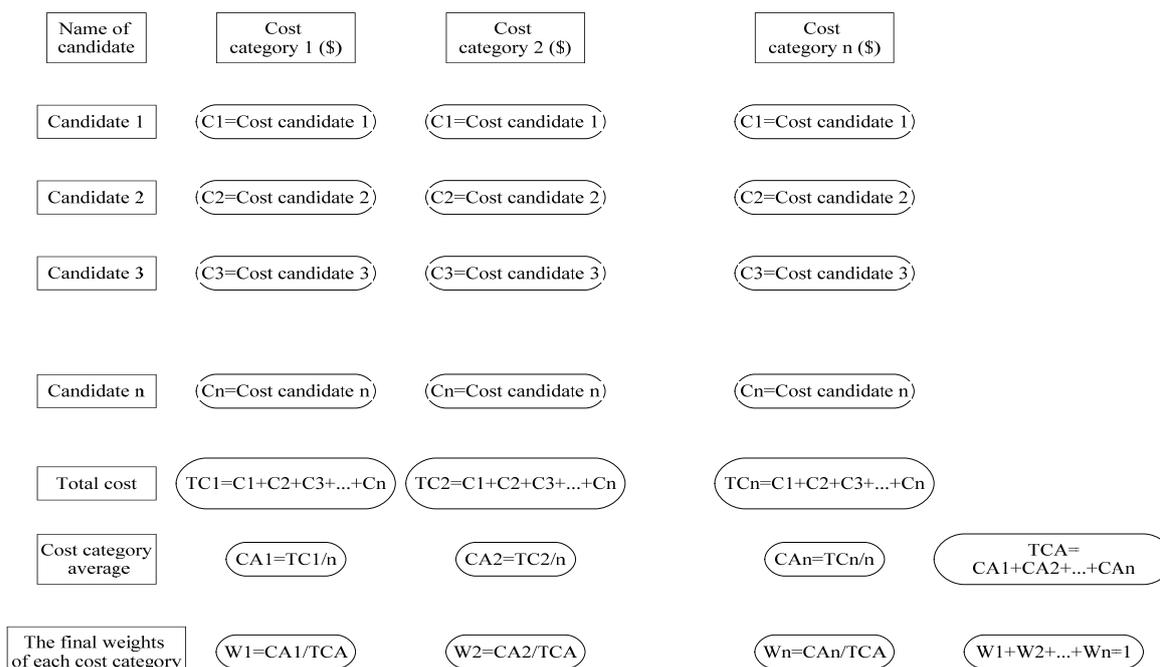


Figure 2 Flowchart of AHP weight for each cost category

These cost categories' weights and cost weights of all highway candidates will be used in the process of determining the best candidate using the AHP technique.

### 3 Safety parameters

Several geometric characteristics of highway alignment can play an important role in reducing road accidents, e.g., horizontal curve, vertical curve, horizontal and vertical curve interference, direct path, tunnels, bridges, minimum radius of horizontal curve, etc. The

effects of these geometric highway alignment characteristics have been investigated worldwide in several research works by Gupta and Jain [13], Walmsley and Summersgill [14], Ahadi MR [15], Anastasopoulos and Mannering [16], Elvik [17] and Lamm, Psarianos [18]. In this research basic geometric design of each candidate is based on used regulation. After a comprehensive study of the factors affecting road accidents in the study area [6], the three main parameters investigated in the current research are: the number of horizontal and vertical curve interferences, the number of

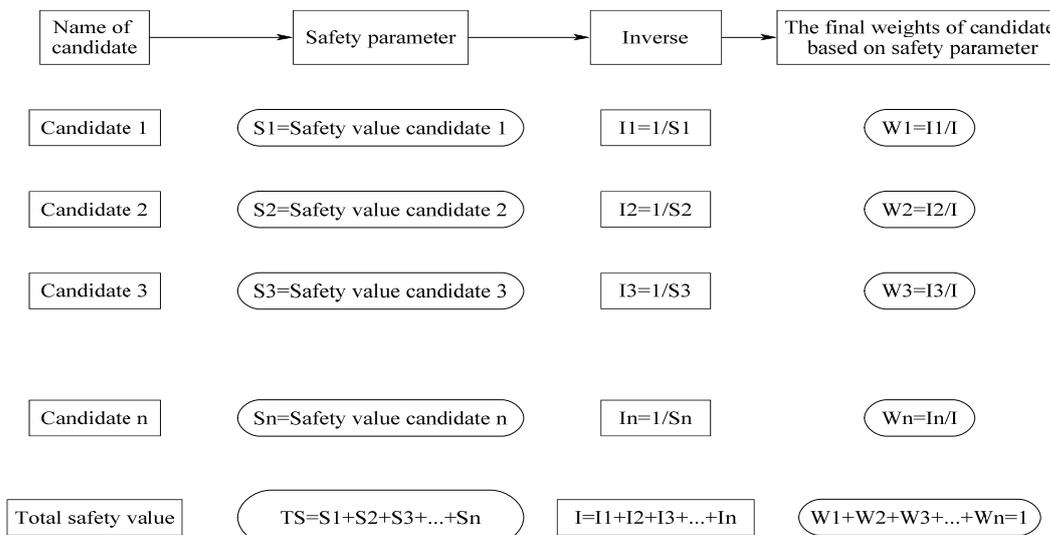
horizontal curves and the number of vertical curves. According to the importance of highway projects, the effective safety parameters to be used in determining the best highway candidate can be increasingly accurate. The weights of each safety parameter in the current study were obtained through questionnaires, which were developed based on the AHP technique. In this research, 15 participants attended, who had good experience in highway safety. They had a role in determining the weights of the safety parameters. Tab. 1 presents the final weights of the safety parameters obtained from the questionnaires.

**Table 1** Final safety parameter weights based on the questionnaires

Criteria Name	AHP Weight %
Number of horizontal curve	18.18
Number of vertical curve	9.09
Number of horizontal and vertical curve interference	72.73

**3.1 Weight of each highway candidate based on each safety parameter**

To determine the weight of each highway candidate in terms of safety parameters, the following chart process can be employed. In this method, each safety parameter for each highway candidate needs to be first extracted, and then the weight of each candidate will be obtained using the following chart.



**Figure 3** Flowchart of AHP weight for each candidate based on each road safety parameter

These AHP weights are associated with safety parameters, and the safety parameters' weights will be utilized in the process of determining the best candidate through the AHP technique.

**4 Analytic Hierarchy Process for determining the best candidate**

Organizing and analysing complex decisions is facilitated by the Analytic Hierarchy Process (AHP), because it is a structured method. The relative importance of the decision elements or criteria is based on linguistic measures developed by Saaty (1980) on a scale of 1 to 9 semantic differential scoring to give relative rating of two criteria. The scale of differential scoring presumes that the row criterion is of equal or greater importance than the column criterion. The reciprocal values (1/3, 1/5, 1/7, or 1/9) have been used where the row criterion is less important than the column criterion. A decision matrix is constructed by using Saaty's scale and factor attributes are compared pairwise in terms of importance of each criterion/decision element to that of the next level. Once the pairwise matrix is made, Saaty's method of eigenvectors/relative weights are calculated by the following equations [19]:

For a pairwise matrix of elements:

$$\begin{bmatrix} C_{11} & C_{12} & C_{13} \\ C_{21} & C_{22} & C_{23} \\ C_{31} & C_{32} & C_{33} \end{bmatrix} \tag{12}$$

1. Add the values in each column of the pairwise matrix and divide each element in the matrix by its column total to generate a normalized pairwise matrix

$$\begin{bmatrix} X_{11} & X_{12} & X_{13} \\ X_{21} & X_{22} & X_{23} \\ X_{31} & X_{32} & X_{33} \end{bmatrix} X_{ij} = \frac{C_{ij}}{\sum_{i=1}^n C_{ij}} \tag{13}$$

2. Divide the sum of the normalized column of the matrix by the number of criteria (n) to generate a weighted matrix

$$\begin{bmatrix} W_{11} \\ W_{21} \\ W_{31} \end{bmatrix} W_{ij} = \frac{\sum_{j=1}^n X_{ij}}{n} \tag{14}$$

3. Consistency Ratio ( $C_r$ ) should be attained such that  $C_r < 0.10$  and is calculated as follows:

$$\begin{bmatrix} C_{11} & C_{12} & C_{13} \\ C_{21} & C_{22} & C_{23} \\ C_{31} & C_{32} & C_{33} \end{bmatrix} \times \begin{bmatrix} W_{11} \\ W_{21} \\ W_{31} \end{bmatrix} = \begin{bmatrix} C_{v11} \\ C_{v21} \\ C_{v31} \end{bmatrix} \quad \text{Step-I} \quad (15)$$

Calculation of Consistency Vector is accomplished by dividing the weighted sum vector with the criterion weight as follows:

$$\begin{aligned} C_{v11} &= \frac{1}{W_{11}} [C_{11}W_{11} + C_{12}W_{21} + C_{13}W_{31}] \\ C_{v21} &= \frac{1}{W_{21}} [C_{21}W_{11} + C_{22}W_{21} + C_{23}W_{31}] \quad \text{Step-I} \quad (16) \\ C_{v31} &= \frac{1}{W_{31}} [C_{31}W_{11} + C_{32}W_{21} + C_{33}W_{31}] \end{aligned}$$

Once the Consistency Vector is calculated, Lambda ( $\lambda$ ) is calculated by averaging the value of the Consistency Vector. The Consistency Index (CI) provides a measure of deviation from consistency.

$$CI = \frac{\lambda - n}{n - 1}, \quad (17)$$

where  $n$  - number of criteria used.

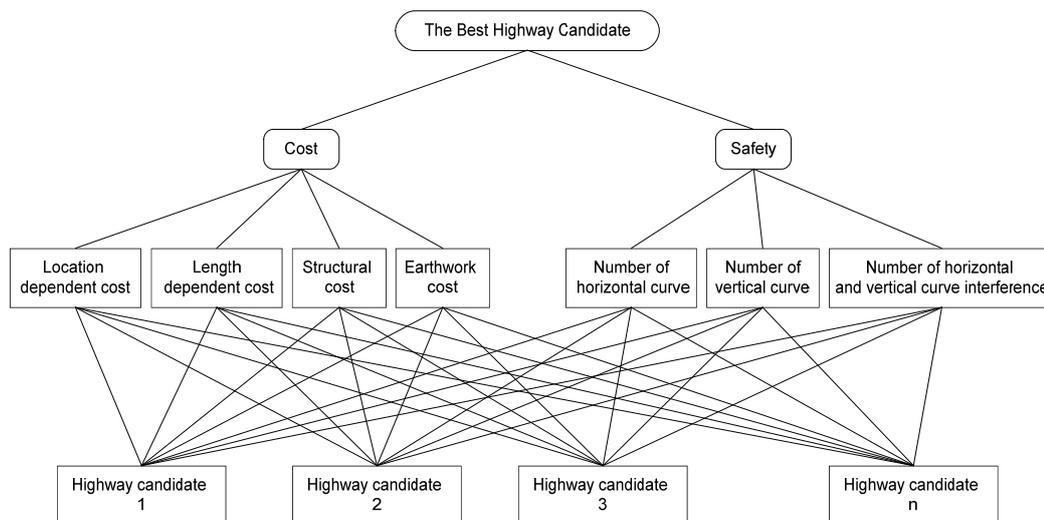
**Table 2** Random inconsistency indices (RI) for  $N = 10$

N	1	2	3	4	5	6	7	8	9	10
RI	0.00	0.00	0.58	0.9	1.12	1.24	1.32	1.41	1.46	1.49

$$C_r = \frac{CI}{RI}. \quad (18)$$

This technique is also applied in the current research to determine the best highway candidate among several candidates, with special focus on the cost and safety parameters. The weights of all highway candidates related to every cost and safety parameter as well as the weights of all cost and safety parameters are required for this method. The weights for the present research were obtained using the equations and flowcharts in Figs. 1-3 and Tab. 1 respectively. A simple hierarchy of AHP in terms of the provided cost and safety criteria is shown in Fig. 4.

According to the concept of AHP in the above hierarchy, the first level is called the target, the second level is the criteria and the third level entails alternatives of the provided model. This method can be carried out by Expert Choice software. An example is investigated next, where the proposed methodology is applied to determine the best highway candidate in the case study region. The consistency ratio ( $C_r$ ) in the current example analysis is 0.007, and based on the AHP technique definition it is acceptable.



**Figure 4** Simple hierarchy of AHP based on the provided cost categories and safety parameters

### 5 Example

An example is provided to demonstrate how the proposed methodology functions and its validation with a real world case study. In this example, three highway candidates are defined between two cities (Qeydar and Zarrin Road, located in northwest Iran, Fig. 5) based on some constraint parameters (Fig. 6). The geometric specifications of this case study according to cost categories are shown in Tabs. 3-5, which were obtained with ArcGIS and Civil 3D software. The final aim of this example is to simultaneously determine the best candidate in terms of cost and safety criteria.



**Figure 5** Case study region in northwest Iran

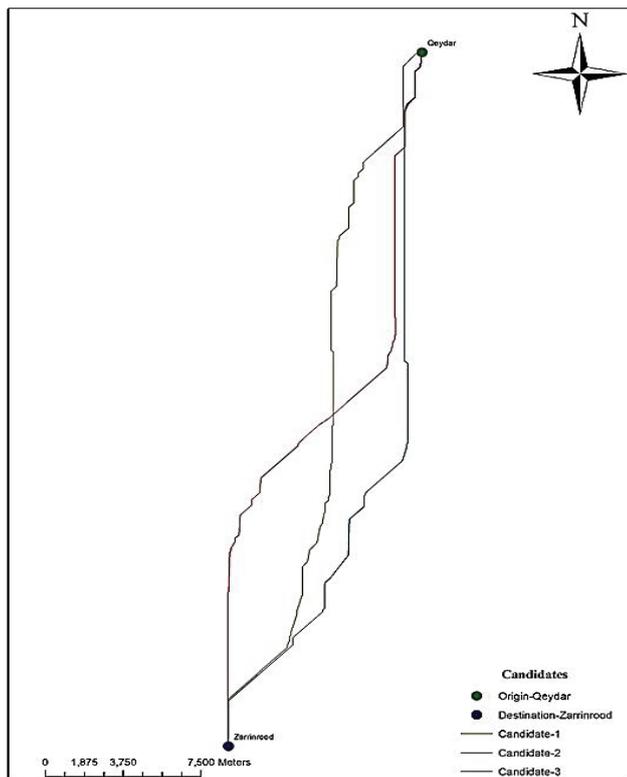


Figure 6 Three highway candidates between Qeydar and Zarrin Road defined for the current example

**Table 3** Unit cost of each cost parameter

Cost category	Cost parameter name	Unit cost (\$)
Location dependent cost	Farming land	10
	Garden	50
	National land	0
	Mix farming land and national land	5
	Mix farming land and garden	30
Earthwork cost	Cost of cut	3
	Cost of fill	3
Structural cost	Bridge cost based on bridge volume	1000
	Tunnel cost based on tunnel length	10 000
	Retaining wall cost	400
Length dependent cost	Pavement cost	100
	Sign and signal cost	50
	Safety guards and facility cost	40

According to the above-mentioned cost specifications of these three highway candidates, the following weights for each highway candidate and cost category are obtained based on the provided methodology.

Tab. 8 shows the specifications of these three highway candidates based on the safety parameters presented in the current research through ArcGIS software.

According to the above-mentioned safety specifications of the three highway candidates, the following safety weights for each highway candidate are obtained according to the provided methodology.

Table 4 Location properties obtained with ArcGIS software for the three candidates

Candidate-1			Candidate-2			Candidate-3		
Landuse	Alfa	Total Area (m <sup>2</sup> )	Landuse	Alfa	Total Area (m <sup>2</sup> )	Landuse	Alfa	Total Area (m <sup>2</sup> )
Farming land	1.00	102 600	Mix farming land and garden	1.00	152 000	Mix farming land and garden	1.00	152 000
Garden	1.00	49 400	Mix farming land and garden	0.85	19 000	Mix farming land and garden	0.85	15 200
Garden	0.85	15 200	Farming land	0.85	209 000	Farming land	0.85	212 800
Farming land	0.85	212 800	Farming land	0.75	95 000	Farming land	0.75	68 400
Farming land	0.75	604 200	Mix farming land and garden	0.75	83 600	Mix farming land and garden	0.75	110 200
Mix farming land and national land	0.75	592 800	Farming land	0.75	1 045 000	Farming land	0.75	900 600
Farming land	0.75	577 600	Mix farming land and national land	0.75	433 200	Mix farming land and national land	0.75	737 200
Farming land	0.75	125 400	National land	0.75	171 000	Farming land	0.75	630 800
Farming land	0.75	315 400	Mix farming land and national land	0.75	144 400	Farming land	0.85	216 600
Mix farming land and garden	0.75	76 000	Farming land	0.75	478 800	Garden	0.85	11 400
Farming land	0.75	152 000	Farming land	0.85	212 800	Garden	1.00	53 200
Farming land	0.85	155 800	Garden	0.85	15 200	Farming land	1.00	98 800
Mix farming land and garden	0.85	72 200	Garden	1.00	49 400	-	-	-
Mix farming land and garden	1.00	57,000	Farming land	1.00	102 600	-	-	-
National land	1.00	11 400	-	-	-	-	-	-
Mix farming land and garden	1.00	83 600	-	-	-	-	-	-

Table 5 Specifications of each highway candidate extracted from Civil 3D software

Name of Candidate	Total length (m)	Total bridge length (m)	Total tunnel length (m)	Total retaining wall area (m <sup>2</sup> )	Total cut volume (m <sup>3</sup> )	Total fill volume (m <sup>3</sup> )
Candidate-1	42 168.3124	143.75	0	0	5 739 088.36	6 477 345.82
Candidate-2	42 263.5101	122.85	0	0	2 563 764.95	3 619 957.98
Candidate-3	42 243.776	74.7	0	0	1 012 294.27	1 132 571.51

**Table 6** Final weights of each highway candidate based on each cost category provided

Candidate Name	Location dependent cost	Earthwork cost	Length dependent cost	Structural cost
Candidate-1	0.3252	0.1154	0.3338	0.2442
Candidate-2	0.3432	0.2278	0.3330	0.2858
Candidate-3	0.3316	0.6568	0.3332	0.47

**Table 7** Final weights of each cost category

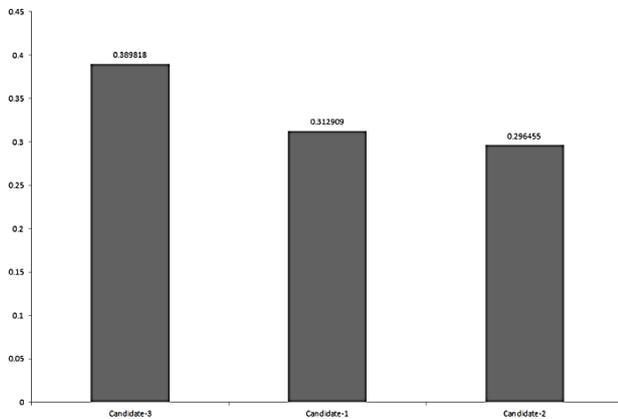
Cost category	Location dependent cost	Earthwork cost	Length dependent cost	Structural cost
Final weight	0.5099	0.3511	0.1371	0.0019

**Table 8** Specifications of each highway candidate based on the safety parameters provided

Name of Candidate	Number of horizontal curve	Number of vertical curve	Number of horizontal and vertical curve interference
Candidate-1	47	55	17
Candidate-2	37	64	23
Candidate-3	27	49	17

**Table 9** Final weights of each highway candidate based on each safety parameter

Name of Candidate	Number of horizontal and vertical curve interference	Number of horizontal curve	Number of vertical curve
Candidate-1	0.3651	0.2493	0.3354
Candidate-2	0.2698	0.3167	0.2882
Candidate-3	0.3651	0.434	0.3764



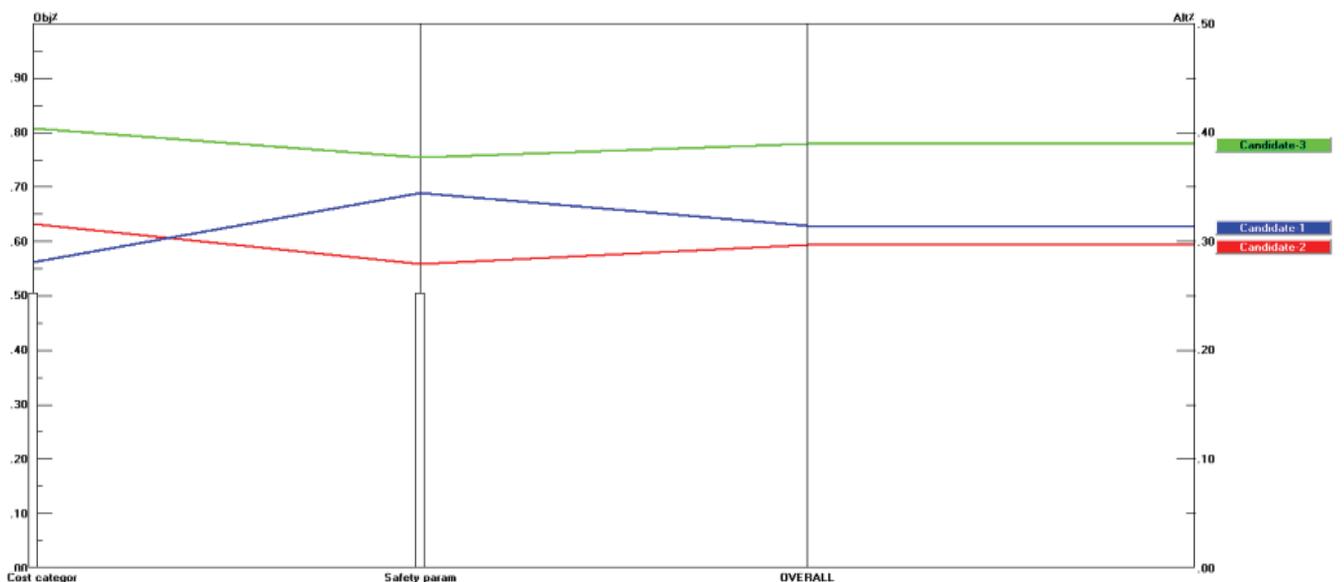
**Figure 7** Final AHP weights of each highway candidate based on the provided cost and safety parameters

The final weights of all safety parameters relative to each other are obtained from the questionnaire expressed in Tab. 1.

After determining the final weights of the highway candidates and of all cost and safety parameters, all weights found are input to the Expert Choice software, and the final weights of each highway candidate based on cost and safety are the output.

The final result is illustrated as a bar chart in Fig. 7, and it signifies that the best highway candidate can satisfy all cost and safety parameters simultaneously.

Fig. 8 displays the sensitivity graph of three highway candidates in terms of cost and safety parameters. In this graph three candidates are considered based on safety parameters, cost parameters and overall with each other.



**Figure 8** Sensitivity graph of highway candidates based on their weights

## 6 Conclusion

In this paper, an attempt is made to develop a multi-criteria analysis methodology to determine the best highway candidate, with specific focus on cost and safety

parameters. The multi-criteria analysis methodology is based upon the analytical hierarchy process technique, which systematically determines the best highway candidate according to the effect of each parameter of the defined highway safety and costs. Regarding highway

indirect costs in the current research, four categories are expanded and organised, including: length-dependent cost, earthwork cost, location-dependent cost and structural cost. In terms of highway safety in the current work, the three parameters investigated are the number of horizontal and vertical curve interferences, the number of horizontal curves and the number of vertical curves.

All cost and safety parameters presented in this research are basically deemed comparable values to be used in the provided methodology for determining the best highway candidate. The final highway candidate determined as the best candidate through the methodology presented can meet all related parameters in determining the optimal highway candidate simultaneously. Considering all parameters related to optimization concurrently is an advantage of proposed methodology because it solves the weakness of the previous methodologies for highway optimization as mentioned in introduction. To use the proposed methodology in this research a wide volume of information is needed which can be considered as a weakness of the proposed methodology. This methodology is employed for defining the best highway candidate in the conditions applicable to Iran and it can be upgraded for other territories.

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### Authors' addresses

#### **Seyed Mahdi Sajjadi, PhD**

Department of Civil Engineering,  
Zanjan Branch, Islamic Azad University,  
Zanjan, Iran  
[s.mehdi.sajjadi@gmail.com](mailto:s.mehdi.sajjadi@gmail.com)

#### **Seyyed Amir Hossien Beheshty, PhD**

Department of Civil Engineering,  
Zanjan Branch, Islamic Azad University,  
Zanjan, Iran  
[a\\_h\\_beheshti@yahoo.com](mailto:a_h_beheshti@yahoo.com)