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## The Electrostatic Model in the Theory of H-Bonds

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The perturbational treatment of H-bonded systems reduces the discussion of H-bond properties to that of proton donor and proton acceptor properties. Among the variety of interaction contributions within perturbation theory it is simply the electrostatic interaction which mainly seems to control H-bond strength and stereochemistry of H-bonds. The easy accessible classical and clear electrostatic potential of the proton acceptor is found to be a useful indicator for H-bond properties.

#### INTRODUCTION

For the calculation of intermolecular interactions between two molecules A and B there are mainly two methods of specific importance: Within the molecular orbital approximation (MO) the system A...B is treated as a super molecule, whereas from the view of perturbation theory (PT) the system A...B is constructed by means of the subsystems A and B. Because of its general structure the MO method is especially easy to handle and widely applicable — in principle. Perturbation theory, however, is restricted to the supposition that the system A...B can be subdivided uniquely in two subsystems A and B. Then the advantage of perturbation theory is a specific insight into the nature of intermolecular interactions.

Especially for one case of association of molecules, the H-bonded systems AH...B, there can be found numerous results in the literature which are based on MO calculations. These results are mainly concerned with the stereochemistry of H-bonds as well as with bond strength  $\Delta E = E (AH \dots B)$  —  $E(AH) - E(B)^{1}$ . The range of application of the MO methods is strongly limited because of the huge numerical work. Therefore the general treatment of a super molecule should be specified considering the fact, that always a proton donor (don) interacts with a proton acceptor (acc). Every more or less polar AH bond (FH, OH, NH, ClH) is able to act as a proton donor. For example methane, being a proton donor, forms extremely weak associates with  $\Delta E = -0.8$  kcal/mol<sup>2</sup>. A proton acceptor has at least one more or less directed lone electron pair (for example  $N_2$  is not a proton acceptor). Occasionally  $\pi$  electrons in local double and triple bonds can act also as proton acceptors  $(C_2H_4, C_2H_2)^3$ . Summarizing the electronic situation in H-bonds can be described qualitatively as follows: A proton, partially screened by an energetically low lying and highly localized orbital, dives into the range of

<sup>\*</sup> Festschrift of Professor Dušan Hadži.

an energetically high lying and spread out orbital of a lone electron pair. The atomic radii of proton acceptors exceed the hydrogen radius by a factor 3—7. In the following this situation is used to be the starting point for the calculation of H-bond properties within the framework of perturbation theory.



#### PERTURBATION THEORY

For the calculation of intermolecular interaction perturbation theory distinguishes itself, besides numerical advantages, by the capability that the interaction energy can be subdivided into contributions which mostly have physical meanings.

$$\Delta E = \Delta E_{\rm Coul} + \Delta E_{\rm Ex} + \Delta E_{\rm Pol} + \Delta E_{\rm ChT} + \Delta E_{\rm Dis}$$
1 st order 2 nd order

Contributions of first order are the electrostatic Coulomb interaction and the electron exchange term. Contributions of second order are the mutually polarization of the molecules, the charge transfer term which sometimes is called the covalent contribution, and the energy of dispersion forces. The sum  $\Delta E_{\rm Pol} + \Delta E_{\rm ChT}$  is called the delocalization energy. A detailed discussion for this energy partitioning is found for example in<sup>4</sup>.

The energy partitioning described can also be performed in connection with a MO calculation of the super molecule AH...B. The only exception is  $\Delta E_{\rm Dis}$ . An energy partitioning in connection with a MO calculation is possible only if the orbitals can be subdivided unequivocally among the two subsystems<sup>5</sup>. The energy partitioning fails for strong symmetric H-bonds such as FHF<sup>-</sup>, H<sub>5</sub>O<sub>2</sub><sup>+</sup>, etc. For H-bonds of intermediate strength the deformation energy of the subsystems must be taken into account.

As an example the energy partitioning for the system  $(H_2O)_2$  in its equilibrium distance  $(O \dots O = 3Å)$  is presented<sup>6</sup> (kcal/mol).

For weak H-bonds there are energy contributions of first and second order which are of comparable order of magnitude and which mutually compensate partially. Besides the energy contributions in the intermolecular equilibrium distance, the distance dependency of these terms is of particular interest. For polar neutral subsystems there exist well known relations such as  $\Delta E_{\rm Coul} \sim R^{-3}$  and  $\Delta E_{\rm Pol} \sim R^{-6}$ . The terms  $\Delta E_{\rm Ex}$  and  $\Delta E_{\rm ChT}$  disappear exponentially and are therefore of short range. In the following section a concept for the description of stereochemistry and bond strength of H-bonds is delineated by means of the easy accessible classical and clear  $\Delta E_{\rm Coul}$ . THE SEMICLASSICAL ELECTROSTATIC APPROACH

The electrostatic energy contribution for the intermolecular interaction has the form

$$\Delta E_{\text{Coul}} = \sum_{k} \sum_{l} Z_{k} Z_{l} / |\vec{R}_{k} - \vec{R}_{l}| - \sum_{k} Z_{k} \int \varrho_{B} (\vec{r}_{2}) / |\vec{R}_{k} - \vec{r}_{2}| d\vec{r}_{2}$$
$$- \sum_{l} Z_{l} \int \rho_{A} (\vec{r}_{1}) / |\vec{R}_{l} - \vec{r}_{1}| d\vec{r}_{1} + \int \int \rho_{A} (\vec{r}_{1}) \rho_{B} (\vec{r}_{2}) / |\vec{r}_{1} - \vec{r}_{2}| d\vec{r}_{1} d\vec{r}_{2}$$
$$k \in A, \ l \in B$$

This expression can be simplified if one of the two charge distributions —  $\rho_A$ ,  $\rho_B$  — is approximated by point charges  $q_k$  (semiclassical approach). For H-bonds the bridging proton can be seen as a shielded point charge in the charge distribution of the proton acceptor. This situation is shown in the figure in section 1. Thus we arrive at

$$\Delta E_{\text{Coul}} \sim \sum_{\mathbf{k}} \sum_{l} q_{k} Z_{l} / \left| \overrightarrow{R_{k}} - \overrightarrow{R_{l}} \right| - \sum_{k} q_{k} \int \varrho_{B} (\overrightarrow{r}) / \left| \overrightarrow{R_{k}} - \overrightarrow{r} \right| d\overrightarrow{r} = \sum_{k} q_{k} V_{B} (\overrightarrow{R_{k}})$$

For the approximation of  $\Delta E_{\text{Coul}}$  the calculation of only the electrostatic  $\overrightarrow{P}$  molecular potential of the proton acceptor B for the points  $\overrightarrow{R}_k$  is necessary.

$$\vec{V}_{\rm B}(\vec{R}) = \sum_{\rm I} Z_{\rm I} / \left| \vec{R} - \vec{R}_{\rm I} \right| - \int \varrho_{\rm B}(\vec{r}) / \left| \vec{R} - \vec{r} \right| d\vec{r}$$

An excellent survey about the use of the electrostatic molecular potential is given in ref.<sup>7</sup>. The crucial advantage for the use of  $V_B$  instead of  $\Delta E_{Coul}$  can be seen in the fact that  $\Delta E_{Coul}$  must be calculated for any donor-acceptor pair AH...B, whereas  $V_B$  is simply a property of an acceptor. The search for donor and acceptor properties for H-bonds was important at all times<sup>8</sup>. Some examples are presented in the following section.

#### PROTONDONOR AND PROTONACCEPTOR PROPERTIES

There are numerous attempts to explain H-bond properties by means of classical electrostatic properties. In Table I a few important properties of proton donors and proton acceptors are listed up. Extremely global properties such as the dipole moment of the donor show no correlation with the strengths of H-bonds. The electronic charge of the bridging proton, defined by Mulliken's population analysis, indicates the H-bond strength with respect to one acceptor, at least for proton donors with first row atoms. A similar statement is valid for a variety of proton acceptors with respect to one donor. The comparably strong H-bond with HCl as a donor cannot be explained electrostatically. Even the high polarizability and a probably high charge transfer contribution (low-lying LUMO) cannot explain this bond strength. Presumably deformation energy (proton transfer) plays an important role. After all in  $NH_4$ Cl the HCl distance is enlarged by 0.4 Å with respect to

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the free HCl molecule<sup>8</sup>. Finally the HOMO-LUMO differences indicate the reciprocal charge transfer energies.

Acc Don	$\mathrm{NH}_3$	$\rm H_2O$	HF	$q_{\rm H}$	LUMO	μ	a <sup>9</sup>
$CH_4$	1.1	0.9	0.5	0.769	0.036	0	2.600
$\rm NH_3$	43	4.1	3.6	0.698	0.091	1.47	2.196
$H_2O$	8.9	8.1	5.4	0.635	0.162	1.82	1.455
HF	16.3	13.4	7.8	0.556	0.215	1.74	0.800
HCl	10.8	8.2	4.6	0.879	0.069	1.07	2.630
q <sub>X</sub> .	7.906	8.730	9.4	44			,
номо	-0.373	0.463	-0.6	02			

# TABLE I Calculated H-bond Energies (kcal/mol)<sup>8</sup>, q: Atomic Charges (Mulliken) u: Experi

mental Dipole Moment (D), a: Experimental Polarizability (Å<sup>3</sup>), HOMO: Highest Occupied Molecular Orbital, LUMO: Lowest Unoccupied Molecular Orbital (a.u.)

A further important property for the discussion of H-bond strengths are the proton affinities. These are listed for some systems in Table II. In Table III it is shown that the sum of the deprotonation energy of the donor and the proton affinity of the acceptor correlates with the H-bond strength. The amount PI + PA can be seen as an analogon to Pauling's electronegativity.

	Experimer	ntal Proton	Affinities (k	ccal/mol) <sup>10</sup>	
CH3-	OH-	$\mathrm{NH_2}^-$	<b>F</b> -	CN-	Cl-
500	411			—338	
$\rm NH_3$	CH3OH	$H_2O$	$C_2H_4$	$CH_4$	HF
-216	—180	—164	—157	—122	—121

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STRUCTURES AND BOND STRENGHTS OF HALIDE ION - WATER COMPLEXES

The electrostatic potential of the halide anions F, Cl<sup>-</sup>, and Br<sup>-</sup>, calculated by the use of the gaussian basis sets (13s, 8p), (15s, 9p), and (17s, 10p, 2d), respectively, is shown in Figure 1. For comparison the experimental interatomic distances of the hydrides HF, HCl, and HBr as well as the proton affinities of the halide anions are marked by dots. It is shown that the semiclassical approach is capable for qualitative predictions in the range of chemical bond. Thus we have to expect predictions of at least similar accuracy if we extend the range of interatomic distances to that of H-bonds.

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#### TABLE III

977	Donor	Acceptor	$PI_{don} + PA_{acc}$	$\Delta E^{11}$	
	FH	F-	0	60.2	
	$OH_3^+$	$OH_2$	0	31.6	
	$\mathrm{NH_4}^+$	$NH_3$	0	24.8	
	$OH_2$	$\mathbf{F}^{-}$	45	23.3	
	$OH_2$	OH-	0	22.5 (24.3)	
	$OH_2$	C1 <sup>-</sup>	87	13.1	
	FH	$NH_3$	150	11.7	
	ClH	$NH_3$	108	10.8 (19.5)	
	FH	$OH_2$	202	9.4	
	ClH	$OH_2$	160	8.2	
	FH	FH	245	7.0	
	$OH_2$	CH <sub>3</sub> OH	231	5.2	
	$OH_2$	$OH_2$	247	5.1	
	$OH_2$	FH	290	3.0	
	$NH_3$	$\mathbf{FH}$	259	1.3	
	$CH_4$	$NH_3$	284	1.1	
	$OH_2$	$C_2H_4$	254	0.6	
	$CH_4$	$CH_4$	378		

Sum of Deprotonation Energy PI and Proton Affinity PA, and H-bond Energy  $\Delta E~(kcal/mol)$ 



Figure 1. Electrostatic potential of halide anions. Experimental interatomic distances of the hydrides HF, HCl, HBr and proton affinities of the halide anions are marked by dots

In the following the bare proton is replaced by a set of point charges in order to describe a water molecule. The results of Mulliken's population analysis are used so that for oxygen and hydrogen the appropriate charges are -0.5868 and 0.2934, respectively. In Figure 2 the H-bond energy is drawn over the X...O distance where the angle  $\omega$  is energetically optimized.



SCF — MO calculations<sup>12</sup> for  $X = F^-$ , Cl<sup>-</sup> show, that the X...O distances, calculated by the semiclassical approach, result to be 0.4 Å shorter, whereas the H-bond energies result to be only 1—2 kcal/mol lower than those from SCF—MO calculations. For comparison, SCF—MO calculations at the STO—3G level give the X...O distances too short by 0.2—0.3 Å<sup>13</sup>.



Figure 2. H-bond energy of the systems  $X \dots H_2O$  as a function of  $X \dots O$  distance calculated by the semiclassical electrostatic approach.

The optimized X...OH angles ( $\omega$ ) together with the ionic radii<sup>14</sup> and the X...O distances are presented in Figure 3. The dotted X...O distances are those calculated by SCF—MO<sup>12</sup>. The angles  $\omega$ , calculated by the semiclassical approach, for F<sup>-</sup>, and Cl<sup>-</sup>, result to be 16<sup>o</sup> and 36<sup>o</sup>, respectively. The corresponding values obtained by SCF—MO calculations are 5<sup>o</sup> and 15<sup>o</sup>. The energy difference between the optimized structure and the linear structure

Η

 $X \dots H = O'$ , or the dipole structure  $X \dots O$  is in the range of only 1 = 2

H

kcal/mol for Cl<sup>-</sup>, and Br<sup>-</sup>. The lowest state of the »in plane« motion of the  $H_2O$  molecule has about the same height as this energy barrier. Thus the  $H_2O$  molecule associated with  $X = Cl^-$ , Br<sup>-</sup> is almost free in motion<sup>15</sup>.



Figure 3. Ionic radii,  $X \dots O$  distances, and  $X \dots OH$  angles calculated by the semiclassical electrostatic approach. The dotted  $X \dots O$  distances indicate SCF-MO results.

The semiclassical approach can, in principle, also be performed by the calculation of the electrostatic potential of the water molecule and by the replacement of the anion by a negative point charge<sup>16</sup>. In Figure 9 of ref.<sup>16</sup> it is shown that for X...O distances larger than 6 a. u. (3.1 Å), as is the case for  $X = Cl^-$ , Br<sup>-</sup>, the dipole structure is adopted. This reversed concept of the semiclassical approach has the disadvantage, however, that no equilibrium structures and H-bond energies can be obtained, since the interaction energy goes to minus infinity for decreasing X...H distance.

### STEREOCHEMISTRY OF H-BONDS

The most simple and well examined H-bond system is  $(HF)_2$ . The steric arrangement is experimentally evident,



where the H—F...H angle is found to be 108°. One attempt to explain this angle was undertaken by Kollman<sup>17</sup>, where the electrostatic plus polarization contribution which together should prefer energetically the linear arrangement (H—F...H = 180°), and the charge transfer contribution which is optimized for the perpendicular arrangement (H—F...H = 90°), are in competition.

It can be seen as a success of the electrostatic potential that it has overruled Kollman's assumption. An accurate calculation (F (13s, 8p, 1d), H (6s, 1p)) of the electrostatic potential of the HF molecule, shown in Figure 4, yields for  $F \ldots H^+ = 1.9$  Å a H—F...H<sup>+</sup> angle of 150° ( $\Delta E_{\rm H}$  + in Table IV). Earlier calculations of the electrostatic potential are of too low quality as to predict sensitive quantities such as the H—F...H angle<sup>8,18</sup>. The more realistic presentation of the proton donor molecule by two point charges (Table I) yields a H—F...H angle of 120° and a dimerization energy of 6.3 kcal/mol ( $\Delta E_{\rm HF}$ in Table IV) in best agreement with experimental findings.



Figure 4. Electrostatic potential of the HF molecule.

In a perturbational treatment the charge transfer contribution results to be smaller than the electrostatic contribution by a factor  $70^{19}$ , in contrast to Kollman's assumption.

Similar calculations were performed for the HCl molecule. The electrostatic potential yields a H—Cl...H<sup>+</sup> angle of 110<sup>0</sup> for a fixed distance Cl...H<sup>+</sup> = 2.54 Å (Table IV and Figure 5). The presentation of the proton donor by means of two point charges (Table I) yields an angle of 90<sup>0</sup> and a dimerization energy of somewhat more than 1 kcal/mol in agreement with experiment<sup>20</sup>.



Figure 5. Electrostatic potential of the HCl molecule.

#### TABLE IV

Angular Dependency of H-bond Energy for  $(HF)_2$  with  $F \ldots H = 1.9$  Å and for  $(HCl)_2$  with  $Cl \ldots H = 2.54$  Å

 $\Delta E_{H^+}$ : Electrostatic Molecular Potential,

 $\Delta E_{HX}$ : H-bond Energy for Point Charge

Approximation of the Proton Donor (kcal/mol)

$H - F \dots H$ $\Delta E_{\rm H} +$ $\Delta E_{\rm HF}$	$120^{\circ}$ 	$135^{0}$ 20.6 6.2	$150^{\circ}$ 21.0 5.7	$165^{\circ}$ 20.8 5.3	180º 20.7 5.1		
$\begin{array}{c} \mathrm{H-Cl}\ldots\mathrm{H}\\ \Delta E_{\mathrm{H}}+\\ \Delta E_{\mathrm{HCl}} \end{array}$	$105^{\circ}$ 6.7 1.0	$120^{\circ}$ 6.3 0.7	$135^{\circ}$ 4.6 0.2	$150^{0}$ 2.4 +0.3	$165^{\circ}$ 0.6 +0.8	$180^{0} + 0.1 + 0.9$	

The water dimer was treated in a similar manner by the use of point charges located at the centres of localized orbitals<sup>21</sup>.

Summarizing we can draw the conclusion that the steric arrangement of (HF), and (HCl), can be explained electrostatically.

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#### **IZVLEČEK**

#### Elektrostatski model v teoriji vodikove vezi

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Za računanje intermolekularne interakcije molekul A in B sta pomembni dve metodi. Pri metodi molekularnih orbital (MO) obravnavamo sistem A... B kot super molekulo, pri perturbacijski metodi pa si predstavljamo isti sistem, sestavljen iz dveh podsistemov A in B. Za poseben tip molekulskih asocijacij in vodikovo vezane sisteme AH...B, lahko najdemo v literaturi mnogo rezultatov, ki slonijo na MO metodi. Računi se v glavnem nanašajo na stereokemijo vodikovih vezi in na njihovo jakost.

$$\Delta \mathbf{E} = \mathbf{E} (\mathbf{AH} \dots \mathbf{B}) - \mathbf{E} (\mathbf{AH}) - \mathbf{E} (\mathbf{B})$$

MO metoda je glede na svojo strukturo široko uporabna in vsaj v principu preprosta. Obseg njene uporabnosti pa je ostro omejen, ker izredno hitro narašča obseg računov. Perturbacijska metoda pa sloni na domnevi, da je možno sistem A...B enolično razdeliti v dva podsistema A in B. Njena prednost je v tem, da omogoča specifičen vpogled v naravo intermolekularnih interakcij. Perturbacijska obravnava vodikovo vezanih sistemov omeji diskusijo na lastnosti protonskih donorjev in akceptorjev. Kaže, da je med vsemi možnimi interakcijskimi prispevki elektrostatska interakcija tista, ki odloča o moči in stereokemiji vodikove vezi. Pokazalo se je tudi, da je enostavno dosežen klasični elektrostatski potencial protonskega akceptorja uporaben indikator lastnosti vodikove vezi.

Dimer vode je v članku predstavljen z modelom točkastih nabojev tako, da so naboji nameščeni na centre lokaliziranih orbital. Prostorsko razmestitev dimerov (HF)<sub>2</sub> in (HCl)<sub>2</sub> je možno razložiti s pomočjo elektrostatskega modela.