An Innovative Approach for Gob-Side Entry Retaining With Thick and Hard Roof: A Case Study

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Abstract: An innovative roadway layout in a Chinese colliery based on gob-side entry retaining (GER) with thick and hard roof (THR) was introduced. Suspended roof is left with a large area in GER with THR, which leads to large area roof weighting (LARW). LARW for GER with THR and mechanism of shallow-hole blasting to force roof caving in GER were expounded. Key parameters of shallow-hole blasting to force roof caving are proposed. LS-DYNA3D was used to validate the rationality of those key parameters, and UDEC was used to discuss and validate shallow-hole blasting to force roof-caving effect by contrast to the model without blasting and the model with shallow-hole blasting. Moreover, shallow-hole blasting technology to force roof caving for GER with THR was carried out in the Chinese colliery as a case study. Field test indicates that shallow-hole blasting technology effectively controls ground deformation of GER with THR and prevents LARW.

Keywords: gob-side entry retaining; large area roof weighting; LS-DYNA3D; shallow-hole blasting; thick and hard roof

1 INTRODUCTION

In the past few decades, longwall mining has been widely employed in Chinese coal mines. Meanwhile, gob-side entry retaining (GER) was carried out to improve coal recovery rate, provide site for gas control or water drainage, and to achieve longwall mining without coal pillar [1]. Hence, vast achievements about GER have been made in China so far, which include the application of GER in thin (height <1.3 m) [2], medium-thick coal seam (height from 1.3 m to 3.5 m) [3, 4] and some thick coal seam (height >3.5 m) with medium-stiffness roof [5-7]. Roadside support body is made up of high water material, concrete, gangue and other backfill material [8-11]. For GER with thick and hard roof (THR), suspended roof is left with a large area and a long duration; therefore, the roadside support body tends to great deformation and instability under abutment stress, and more serious accidents such as large area roof weighting (LARW) and wind blast damage particularly [12-14]. The phenomenon mentioned above brings a serious threat to safe mining, thus some necessary and effective measures should be taken to prevent these disasters.

Now the common measure is to change mechanical environment for GER, weaken roof rock mass strength, and optimize roof structure. Main technologies are deep-hole and shallow-hole blasting, water injection for softening rock mass, hydraulic fracture to weaken rock mass, decorating unloading groove or pressure relief hole, and other technologies which can transfer stress. Many projects show that deep-hole blasting technology is a frequent way to relief roof pressure [15-17]. At present, the deep-hole pre-splitting blasting to relief roof pressure is undertaken in the roadways or in the panel, and blasting parameters are mostly accepted by adopting numerical and laboratory physical experiments. The shallow-hole blasting technology is taken behind hydraulic supports less than the deep-hole pre-splitting blasting. However, so far, deep-hole pre-splitting blasting technology to control roof caving is always taken in GER or in the panel and concerned blasting parameters are mostly adopted by numerical calculation and laboratory physical experiments. However, shallow-hole blasting technology for GER with THR is taken less. Mechanism of shallow-hole blasting technology for GER with THR remains to be researched systematically. Hence, the authors attempt to propose a new approach for GER with THR based on the field test and numerical simulation. Taking the practice for GER with THR in Xinchaoh Colliery, Shanxi Province of China as the engineering background, theoretical analysis and numerical simulation are integratedly adopted to study and uncover the shallow-hole blasting mechanism for GER with THR. Research results would help to carry out the field test for GER with THR successfully and ensure safe mining.

2 DESCRIPTION OF CASE STUDY SITE

2.1 Mining and Geological Conditions

The present analysis was based on the mining conditions of the panel 90101 in Xinchaoh Colliery, located north of the Qinshui coalfield in Shanxi Province of China. The 90101 panel has a width of 180 m and a length of 830 m, the 9+10# Coal Seam is the mined seam with the dip angle of 10°, which has a total thickness of 3.0 m and is stuffed with a thickness of 0.2 m gange. The 90101 panel is the first panel of Xinchaoh Colliery, without any mining around, and develops by the two-entry system with both entries 3.0 m high by 4.2 m wide excavated along the seam floor (Fig. 1a). The stratigraphic column of the 9+10# Coal Seam is shown in Fig. 1b.

2.2 Roof Weighting Analysis

The mined-out coal seam of the 90101 panel is shallow, thus the immediate roof structure can be simplified to a clamped beam before the first weighting without controlled caving, and the immediate roof structure can be simplified to a simply supported beam during period weighting. According to the elasticity mechanics theory and the maximum tensile strength criterion, the immediate roof structure breaks firstly in the middle of the beam, the limit safe length of the immediate roof rock beam can be calculated by the following equations [18], respectively:
where $L_S$ is rock beam's limit safe length in the conditions of both ends clamped or the first roof weighting length, m;  
$L$ is rock beam's limit safe length in the conditions of both ends simply supported or the periodic roof weighting length, m;  
$h$ is the immediate roof's thickness, 3.0 m;  
$\sigma_t$ is the roof stratum's tensile strength, 5.8 MPa; and  
$q$ the overlying strata's load between the key strata and the immediate roof, 0.2925 MPa.

3 AN INNOVATIVE APPROACH FOR GER WITH THR

3.1 LARW Analysis for GER with THR

LARW is a dynamic phenomenon caused by roof movement with large area roof breaking and caving. LARW happens in the thick and hard rock strata, including sandstone, limestone, conglomerate, granite and other stones which have the high tensile strength and compressive strength. The phenomenon of the LARW in GER can be divided into three stages, which are shown in Fig. 2.

In the first stage, with the advance of the panel and the construction of the roadside support body, the suspended area of roof increases and the roof breaks near the gob. In the second stage, the overlying roof bends and sinks and the main roof breaks secondly above the solid coal. Meanwhile, the roadside support body begins to bear and the main roof breaks secondly above the solid coal. In the third stage, due to the overburden strata load, the overlying roof bends and sinks until the roof stress is greater than its tensile strength. Thence, the suspended roof will break and cave and LARW will possibly happen. During this stage, roadside support body and GER may be damaged and destroyed for rock bump and large deformation.

3.2 The Rational of Shallow-Hole Blasting to Force Roof Caving for GER with THR

After the detonation of the explosive in the immediate roof, the immediate roof strata are affected by dynamic actions from shock waves and high pressure gases. As a result, the damage of the immediate roof strata presents obvious zone characteristics. The roof strata can be divided into the crushing zone, the fracture zone and the fragment formation zone [19], as shown in Fig. 3.
Research and experiments indicate that the radius of crushing zone is about 3-5 times the blasthole radius, and the fracture zone is around 10-15 times the blasthole radius. The radius of fracture zone can be obtained by the following equation [20, 21]:

\[
R_f = \left( \frac{\nu \rho_0 D_c^2}{(1-\nu)8\sigma_t} \right)^{1/4} r_0
\]

where \( \rho_0 \) is the density of explosive, kg/m\(^3\); \( D_c \) is the velocity of explosive, m/s; \( r_0 \) is the radius of the blasting hole, m; \( \nu \) is the rock Poisson’s ratio; \( \sigma_t \) is the roof stratum's tensile strength, MPa.

According to the space-time relationship of GER with THR, shallow-hole blasting technology can be used to cut off the immediate roof behind the hydraulic support. Meanwhile, the roadside support body will bear less roof pressure after the immediate roof caves, the main roof will form a hinge structure and protect the small structure of GER [22].

### 3.2.2 Key parameters of shallow-hole blasting to Force Roof Caving for GER with THR

According to the shallow-hole blasting technology and the demands of GER, the key parameters of shallow-hole blasting to force roof caving mainly include the depth of the blasthole, the distance between the roadside support body and the blasthole, the space of the blasthole, and the dip angle of the blasthole as shown in Fig. 4. The depth of the blasthole, the distance between the roadside support body and the blasthole and the space of the blasthole are related with the shallow-hole blasting crushing zone and fracture zone. The cycle distance of blasthole is relevant with the roof weighting length. These key parameters can be expressed by the following equations:

\[
l = \frac{k(h-R_f)}{\sin \theta}
\]

\[
d = k \cdot R_f
\]

\[
s = \frac{2R_f}{k}
\]

\[
\Delta L = \frac{L}{k}
\]

where \( l \) is the depth of the blasthole, \( m \); \( h \) is the immediate roof's thickness, \( m \); \( R_f \) is the fracture zone radius, \( m \); \( \theta \) is the dip angle of the blasthole, i.e. roof caving angle, \(^\circ\); \( k \) is the safety factor; \( d \) is the distance between the roadside support body and the blasthole, \( m \); \( s \) is the space of the blasthole, \( m \); \( \Delta L \) is the cycle distance of blasthole, \( m \).

### 3.3 An innovative approach for GER with THR based on shallow-hole blasting technology

From the above analysis, an innovative approach for GER with THR based on shallow-hole blasting technology was proposed, as illustrated in Fig. 4.

The air-return roadway of the panel serves the whole mining district as a tailgate for water drainage. After the panel 90101 is mined out, the gob water will flow from the greater contour (the haulage) to the lesser contour (the air-return roadway). When mining the panel 90101, the roadside backfill body will be constructed by using the quick-setting materials with high water content behind the hydraulic face-end supports. When the length mined out is almost the periodic roof weighting length, a row of blastholes (three shallow blastholes) will be drilled out of the roadside backfill body. Then, the immediate roof will cave as a result of shallow-hole blasting.

In this paper, one Chinese longwall panel involving GER application for gas drainage and the instrumentation data of gateroad deformation will be introduced. Numerical modeling techniques will then be used to validate the rational shallow-hole blasting parameters for GER and discuss the effect of shallow-hole blasting to force roof caving for GER.

## 4 CASE STUDY

### 4.1 Engineering Background

The 90101 panel in Xinchao Colliery located north of the Qinshui coalfield in Shanxi Province of China was selected for the case study. The test of GER was carried out in the air-return roadway of 90101 panel. The cross-section of air-return roadway was 4.2 m wide and 3.0 m high, and
the support parameters of GER including road-in support parameters and roadside support parameters are as follows.

**4.1.1 Road-In Support**

The retained roadway will be used for drainage after mining. Support strength in the roadway was weak and could not ensure the effect of GER after 90101 return-air roadway dig without considering GER. Thence road-in support must be reinforced. Reinforcement program was proposed as follows: a row of supplement bolts was setting between two rows of original bolts; high-strength bolt with 20 mm in diameter and 2400 mm long was used in the GER rib reinforcement support; the supplement bolts of every row space at 1000 mm, and the rows were to be spaced at 1000 mm along the length of the roadway. In order to enhance the supporting effect of roadside support, a row of supplement cable bolts with 17.8 mm in diameter and 6000 mm long were used in the roof support above roadside backfill body; the supplement cable rows were to be spaced at 1600 mm along the length of the roadway. Road-in support after reinforcement is shown in Fig. 5.

**4.1.2 Roadside Support**

According to the geological conditions and requirement of GER, the minimum width of GER was 3.0 m, i.e. roadside support body located in the roadway partly and in the gob partly, of which the width was 3 m and the aspect ratio was 0.94 [23].

<table>
<thead>
<tr>
<th>Water-cement ratio</th>
<th>Cementing dosage /kg/m</th>
<th>Water dosage /kg/m</th>
<th>Gellation time /min</th>
<th>Compressive strength /MPa</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>2h</td>
<td>24h</td>
</tr>
<tr>
<td>0.8</td>
<td>875</td>
<td>698</td>
<td>7</td>
<td>14.40</td>
</tr>
<tr>
<td>1.0</td>
<td>744</td>
<td>744</td>
<td>8</td>
<td>10.2</td>
</tr>
<tr>
<td>1.2</td>
<td>647</td>
<td>776</td>
<td>8</td>
<td>8.40</td>
</tr>
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<td>1.5</td>
<td>542</td>
<td>813</td>
<td>10</td>
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<td>3.33</td>
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<td>2.25</td>
<td>385</td>
<td>866</td>
<td>14</td>
<td>2.42</td>
</tr>
<tr>
<td>2.5</td>
<td>352</td>
<td>880</td>
<td>16</td>
<td>2.05</td>
</tr>
</tbody>
</table>

**4.2 Design of Shallow-Hole Blasting to Force Roof Caving in GER**

On the basis of the laboratory results, the parameters employed in the model have been determined. Moreover, according to geological conditions of No. 90101 panel in Xinchao Colliery, relevant parameters can be obtained as follows: \( \rho_0 = 1200 \text{ kg/m}^3 \), \( D_e = 3500 \text{ m/s} \), \( \alpha_e = 136.6 \text{ MPa} \), \( r_0 = 3.75 \times 10^{-2} \text{ m} \), \( v = 0.3 \), \( \sigma_1 = 5.8 \text{ MPa} \), \( k = 1.1 \), \( \theta = 75^\circ \). Integrating the above data into Eqs. (3) to Eqs. (7), it can

At present, high water material is widely applied for roadside backfill in GER for its advantages including plentiful sources, low cost, high compressive strength. According to relevant experiment results and existing research results, high water material with water-cement ratio 1.5:1 was used to construct the roadside support body. Relationship between water-cement ratio of high water material and compressive strength is shown in Tab. 1 [24].

Counter-pulled bolts with 22 mm in diameter and 3200 mm long were used to increase the bearing capacity of backfill body and ability to resist lateral deformation. The counter-pulled bolts of every row space at 850 mm and the rows were to be spaced at 800 mm along the length of the roadway. Three-dimensional backfill body is shown in Fig. 6. The bottom counter-pulled bolt was 200 mm apart from the floor, and the top counter-pulled bolt was 250 mm apart from the roof. Meanwhile, steel ladder beam was welded with 14 mm round steel in diameter and 3000 mm long, as shown in Fig. 6.

<table>
<thead>
<tr>
<th>Parameter type</th>
<th>Value/m</th>
</tr>
</thead>
<tbody>
<tr>
<td>Depth of the blasthole (( L_0 ))</td>
<td>2.5</td>
</tr>
<tr>
<td>Distance between the roadside support body and the blasthole (( \delta ))</td>
<td>0.9</td>
</tr>
<tr>
<td>Space of the blasthole (( \phi ))</td>
<td>1.5</td>
</tr>
<tr>
<td>Cycle distance of blasthole (( \Delta L ))</td>
<td>14</td>
</tr>
</tbody>
</table>
be calculated that the key parameters of shallow-hole blasting to force roof caving mainly are shown in Tab. 2.

4.3 Field Practice and Field Measurement

According to the theoretical calculations and the numerical simulations above, to prevent the roadside support body and GER being damaged and destroyed for rock bump and large deformation during the stage of LARW, shallow-hole blasting technology to force roof caving was carried out at the 90101 panel in Xinchao Colliery.

Based on the above research, the blasting hole is laid out with 1.5 m space, 2.5 m depth and angle 75° to the goaf direction. Meanwhile, the distance to the roadside backfill body is 1.0 m to prevent the roadside backfill body being destroyed. The specific parameters of the blasting hole are shown in Tab. 3. Layout of the blasting hole is shown in Fig. 7. The cycle distance of blasthole ($\Delta L$) is 14 m to prevent LARW.

<table>
<thead>
<tr>
<th>Depth/ m</th>
<th>Angle $\beta$</th>
<th>Blasting hole diameter/mm</th>
<th>Explosive payload of a single hole</th>
<th>Mudcap length /m</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.5</td>
<td>75</td>
<td>75</td>
<td>6</td>
<td>1.2</td>
</tr>
</tbody>
</table>

The LS-DYNA3D uses kinematic hardening plastic model, which is relevant with the strain rate and considers the material failure effect. Mechanical parameters of rock in the model are shown in Tab. 5.

In general, the numerical model is generated in the first step. In the second step, the two blasthole is developed. Then the blasting is carried out in the two blasthole with different blasthole space (1.2 m, 1.5 m, 1.8 m) in the third step. The theoretical calculation result indicates that the reasonable blasthole space is 1.5 m. Hence, simulation of the proposed three different blasthole space may be helpful in the development of the shallow-hole blasting design for GER with THR.
5.1.2 Relationship between Effective Stress and Blasthole Space

The effective stress of monitoring point for different blasthole space is obtained by importing the results from the LS-DYNA3D solver to the LS-PREPOST processor. The effective stress curves varying with the operating time are shown in Fig. 9.

Fig. 9 illustrates the relationship between blasthole space and the effective stress curves of monitoring point, which are described below.

1) With the decrease of the blasthole space, the maximum effective stress of monitoring point increases. When the blasthole space is 1.2 m, the maximum effective stress of monitoring point is 92.3 MPa; when the blasthole space is 1.5 m, the maximum effective stress of monitoring point is 74.5 MPa; when the blasthole space is 1.8 m, the maximum effective stress of monitoring point is 57.4 MPa.

2) There are two peak stresses at the monitoring point along with time, but the second peak stress is less than the first. Meanwhile, both the first peak stress and the second peak stress decrease with the increase of the blasthole space. Above results are because of the superposition of stress wave after the blasting.

3) The effective stress of monitoring point tends to stable finally and the stable maximum effective stress of monitoring point has a negative correlation with the blasthole space. When the blasthole space is 1.2 m, the stable effective stress of monitoring point is about 60 MPa; when the blasthole space is 1.5 m, the stable effective stress of monitoring point is about 40 MPa; when the blasthole space is 1.8 m, the stable effective stress of monitoring point is about 20-30 MPa.

4) According to rock blasting tensile failure criteria, rock will be damaged and broken when the effective stress is more than the rock dynamic tension. When the blasthole space is 1.2 m or 1.5 m, the stable effective stress of monitoring point will be more than the rock dynamic tension; conversely, when the blasthole space is 1.8 m, the stable effective stress of monitoring point is less than the rock dynamic tension. Based on the relationship between the stable effective stress of monitoring point and the blasthole space, the reasonable blasthole space is 1.5 m.

5.1.3 Effective Stress Evolvement for Shallow-Hole Blasting

Taking the determined blasthole space of 1.5 m for shallow-hole blasting scheme as a case, the effective stress evolvement is shown in Fig. 10 (in the front view). When blasting time is to 39.342 μs, the effect radius of explosion stress wave is 136 mm; when blasting time is to 199.62 μs, the effect radius of explosion stress wave is 577 mm, and this range is out of the crushing zone radius; when blasting time is to 319.67 μs, the effect radius of explosion stress wave is 735 mm; when blasting time is to 639.93 μs, the effect radius of explosion stress wave is greater than 900 mm, and this range is out of the space of blastholes.

5.2 Numerical Simulation for Shallow-Hole Blasting to Force Roof Caving by Discrete Element

To validate the shallow-hole blasting to force roof caving, the discrete element program UDEC has been used to establish and analyze the model. The model dimension is length × height = 160 × 51.4 m. To eliminate the boundary effect, a length of 50 m coal pillar has been left at the right border, and the designed excavation length of the panel is 100 m. The two side boundaries of the model are applied with horizontal displacement restraint, and the bottom boundary is applied with the vertical displacement restraint. The constitutive equation adopted in this model is Mohr–Coulomb criterion. The mechanical properties of the surrounding rock are determined by the field geological conditions and the laboratory tests.

To simulate the effect of shallow-hole blasting in the model, the depth of the blasthole is 2.5 m, the distance between the roadside support body and the blasthole is 1.0 m, and the dip angle of the blasthole is 75°. According to the crushing zone of shallow-hole blasting, the blasting zone diameter is 0.3 m.

Fig. 11 shows the overlying strata movement results after the GER is finished. When the simulation ends, the immediate roof does not cave and rotates towards the goaf in the no blasting model (Fig. 11a). The roadside support body is in an unstable state because of LARW. The roof to
floor convergence and rib to rib convergence are respectively 365 mm and 836 mm, especially the roadside support body convergence is 682 mm with the crossing method. After the measure to force roof caving undertaken, the immediate roof breaks along the blasting zone (Fig. 11b). The roadside support body is in a stable state, and the roof to floor convergence and rib to rib convergence are respectively 303 mm and 284 mm, especially the roadside support body convergence is 198.5 mm. Thus, the UDEC simulation results indicate that the convergence of the GER can decrease and the roadside support body can be stable after the application of the shallow-hole blasting technology to force roof caving.

5.3 Effect Analysis for GER

In order to study the performance of GER in its life, observation results of closure condition of GER were recorded during the panel mining period, as shown in Fig. 12. When the panel passed an observation site at a distance of 75 m, the roadway convergence was almost unchanged. The maximum roof to floor convergence and rib convergence were respectively 357 mm and 292 mm behind the panel 90101. Effect for GER is shown in Fig. 13. Roof caving after shallow-hole blasting is shown in Fig. 14.

Figure 11 Numerical simulation result of the overlying strata movement: a) Numerical simulation result of the model without blasting, b) Numerical simulation result of the model with blasting

Considering the requirement of roadway cross-sections for drainage, the section of GER in the panel mining period could meet the requirement. Field measurement results indicated that technology for ground control in GER was rational, and confirmed the feasibility of the new technology for roof control in GER.

6 CONCLUSION

Some roadways for gob water drainage in the coal mines, which were mined chaotically in the past decades in China, are difficult to retain the roadway with THR. Thus, an innovative approach for GER with THR based on shallow-hole blasting technology is proposed for gob water drainage.

To avoid LARW and other dynamic disasters in GER with THR, numerical simulations including the effective stress evolution law for different blasthole space researched by LS-DYNA3D and the shallow-hole blasting to force roof caving effect validated by UDEC were carried out respectively. The results indicate that when the blasthole space is 1.5 m and the blasthole depth is 2.5 m, the stable effective stress of monitoring point is about 40 MPa, and the immediate roof caves according to rock blasting tensile failure criteria. Theoretical calculation and numerical simulation results for the shallow-hole blasting for GER with THR provide a reasonable basis.

Field observation shows that GER based on the shallow-hole blasting technology with 1.5 m blasthole space and 2.5 m blasthole depth, designed road-in support scheme and roadside backfill body with a width of 3.0 m could meet the requirement. Immediate roof break and
cave after those designed schemes are put into effect, ensuring the panel safe production. It also proves that the new roof control measures meet the requirement for GER with THR, and provide a new way to the GER in similar conditions.

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7 REFERENCES


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