



5 Original scientific paper

6 **Fabrication of superhydrophobic surfaces by laser surface** 7 **texturing and autoxidation**

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14 **Abstract**

15 *The creation of superhydrophobic surfaces (SHS) has received exceptional thought from the*
16 *entire research community due to its notable application in varied fields such as anti-icing,*
17 *self-cleaning, drag reduction, anti-bacterial, and oil-water separation. The superhy-*
18 *drophobic (SH) conditions for a surface can be attained through the consolidation of a low*
19 *surface energy surface with appropriate micro/nano-surface roughness through texturing.*
20 *Motivated by the SH nature of lotus leaf and petal effect, microstructures have been*
21 *prepared in this work on a metal surface by a fiber laser marking machine at 35 W. The*
22 *textured surfaces with a different pitch to diameter (p/d) ratio (2.0-0.70) have been turned*
23 *into hydrophobic and finally SH, after storing in an ambient environment for a few days due*
24 *to oxide layer deposition on the textured surface. In this study, the maximum contact angle*
25 *achieved by textured geometry after 30 days of auto-oxidation was 158.6°. Further, test*
26 *results showed that the fabricated surfaces have a high potential to maintain their SH*
27 *nature even after the harsh condition of applications.*

28 **Keywords**

29 Antibacterial; oxide layer deposition; texturing; micro/nano-structure; self-cleaning
30

31 **Introduction**

32 Recently, the transformation in the wettability condition of metallic surfaces from hydrophilic to
33 superhydrophobic (SH) has received considerable attention from researchers due to its numerous
34 applications such as an anti-bacterial layer, anti-frosting, self-cleaning, and drag-reducing over-
35 lay [1]. The micro/nanostructures of insect wings and plants leaf have been saved as biomedical
36 bodies for researchers to create such surfaces on metallic/alloy surfaces primarily to reduce
37 maintenance costs. They show unique wetting behaviours such as SH and oil-water separation
38 properties. The wettability behaviour of SHS is likely to be influenced by the micro/nano dual
39 structure as well as the surface chemistry of the substrate surface [2].

40 The surface wettability can be categorized into three categories: hydrophilic, hydrophobic, and
41 SH properties, which depend on the water contact angle (WCA) of the surface [3]. That is, the surface
42 with WCA less than 90° is a hydrophilic surface, WCA lies between 90 to 150° for a hydrophobic
43 surface, whereas WCA greater than 150° is referred to as SHS. The above states of the surface are
44 highly influenced by the surface interface energy of water droplets and solid surfaces. The
45 wettability of surfaces has been studied by three states: the Wenzel state, the Cassie-Baxter (CB)
46 state, and the metastable state [4,5]. The Wenzel model considers water droplets seeping between
47 surface asperities, while the CB model discusses water droplets settling onto irregularities and
48 trapped air in the rough surface irregularities [6]. The schematic diagram of the above three
49 categories is shown in Figure 1. Among the above three infiltration states, the CB state is more
50 appropriate for the investigation of the SHS [7,8] since it is valid for rough and chemically
51 homogeneous surfaces and to air entrapment inside the irregularities of rough surfaces.

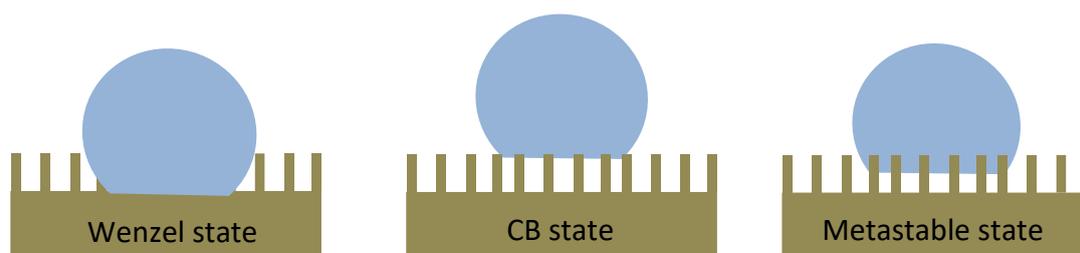


Figure 1. Infiltration states in Wenzel, CB and metastable states

54 SHS has the potential application to reduce corrosion behavior and is advantageous in self-
55 cleaning and offers antiseptic environments [9]. There are two essential aspects to enhancing the
56 SH behaviour of a surface that is low surface energy treatment and the creation of micro/nano-
57 hierarchical structure. To improve the intrinsic SH behaviour of the surface, laser surface texturing
58 has been a relatively new technique for creating a dual structure on metallic surfaces, which is
59 responsible for the making surface non-sticky. So far, to produce such micro/nano-dual scale
60 structures on a surface, a great effort has been made lately by researchers and this has been a
61 motivation to discover a newer technique, which is easy and eco-friendly [10]. Previously, several
62 techniques have been proposed and investigated to achieve high WCA and low CA hysteresis, such
63 as chemical etching [11], plasma etching [12], shot blasting [13], machining [14], lithographic
64 patterning [15], sol-gel technique [16], electrodeposition [17], and plasma fluorination technique
65 [18]. However, the previously reported techniques have some disadvantages that limit their
66 application, for example, the intricate processing steps and low durability. To create SHS, some
67 chemical modifications such as coating of fluorosilane and fluoropolymer are used as the top layer,
68 which significantly improves the hydrophobic behavior of the surface [19]. However, these
69 fluorinated coatings are harmful to the environment and also may be easily peeled off from the
70 substrate interface due to poor mechanical interlocking and thermal stability. To overcome the
71 above shortcomings, laser surface texturing has emerged as one of the best techniques to produce
72 a hierarchical structure with well-defined surface roughness as a requisite favorable condition for
73 attaining SH behavior. Laser texturing produces required surface roughness and spontaneous
74 hydrophobization and carbon absorption onto the textured surfaces with a multimodal roughness
75 that looks passive, environmentally friendly, and naturally reproducible [20]. Recently, Ma *et al.*
76 fabricated SHS by creating a circular texturing pattern of different p/d ratios, which were highly
77 durable [21]. Moreover, creating an SHS by optimizing p/d ratios for different materials became an
78 interesting area for researchers due to its high durability and eco-friendly nature.

79 Very little literature has been reported on the fabrication of SHS by direct laser treatment on
 80 steel surfaces. The fabrication of superhydrophobic or hydrophobic surfaces by direct laser texturing
 81 (DLT) eliminates the use of any hazardous chemical treatment. The creation of SHS by DLT and
 82 deposition of oxide layer due to heat-treatment during texturing (autoxidation) is highly responsible
 83 for better durability and maintaining their behavior against harsh environmental conditions.

84 In this work, the fiber laser marking machine of 50W was employed to create a micro/nano-
 85 hierarchical structure on AISI 420 steel substrate. To attain a stable SH condition, the textured
 86 surfaces of different p/d ratios such as 2.0, 1.0, 0.95, 0.90, 0.80, and 0.70 were left in an open
 87 environment for a few days. The surface characterization through scanning electron microscopy
 88 analysis, tape peel test, and sandpaper abrasion test were employed to evaluate the soundness of
 89 the developed SHS.

90 Experimental

91 Surface preparation

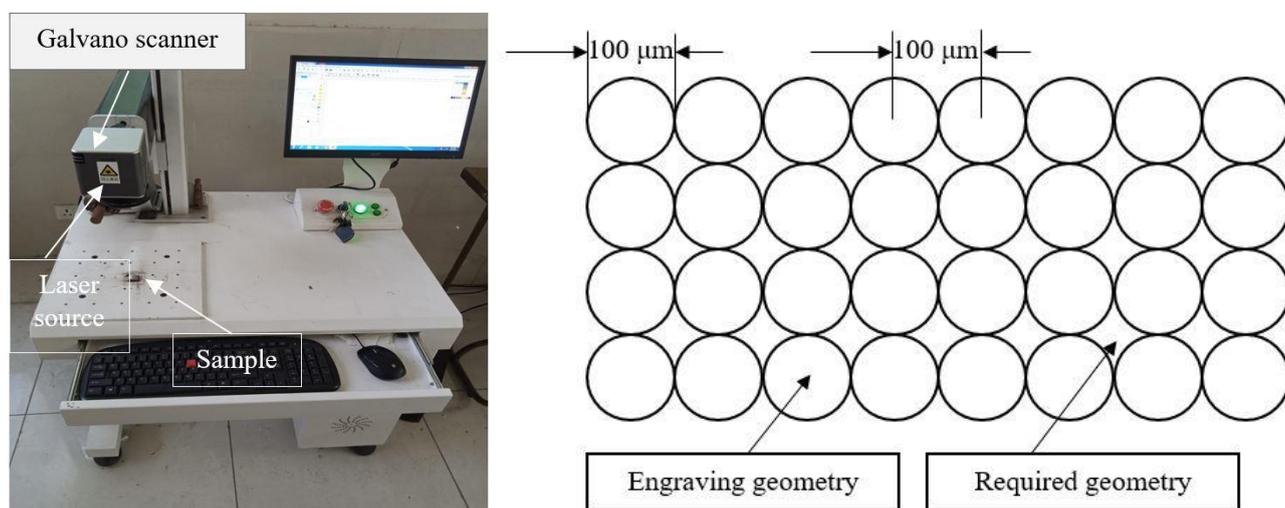
92 The AISI 420 steel plate was procured from the local market of Jalandhar and its chemical
 93 composition was evaluated by spectroscopic analysis at the Central Institute of Hand Tools,
 94 Jalandhar, Punjab, India (Table 1). To perform micro-texturing on the AISI 420 steel sheet was
 95 sectioned to the size of 40×40×5 mm. Subsequently, the sectioned surface was polished with
 96 abrasive sandpaper of P200, P400, P800, P1200, and P1500 followed by washing with ethanol and
 97 deionized water. Before laser texturing, all samples were dried in an electric oven at 80 °C for 30
 98 minutes to remove moisture from the surfaces.

99 **Table 1.** Spectroscopic analysis of AISI 420 steel

Element	C	Cr	Mn	Si	P	S	Fe
Content, wt.%	0.13	12.60	0.85	0.73	0.03	0.05	Balance

100 Laser surface texturing

101 The fiber laser marking machine (Figure 2) with a 50 W laser source was applied to micro-texture
 102 the circular pattern of diameter 100 μm and pitch varying from 200 to 70 μm as tabulated in Table 2.



103 **Figure 2.** Laser texturing machine and its texturing parameters (EzCad software)
 104

105 The scanning speed used was 50 mm/s, a frequency of 50 kHz, and a maximum power of 35 W
 106 with a laser wavelength of 1064 nm. The same parameters were repeated three times for every
 107 sample to achieve the surface roughness (R_a) between 8 and 14 μm , as seen in Table 2. From Table 2,
 108 it has been observed that R_a values of the textured geometry increase with a decrease in p/d ratio,
 109 which could be ascribed to the decrease in the flat area of the textured geometry. With the increase
 110 of the p/d ratio, the untextured surface area (outside the cylinder) increases, and the mean surface
 111 roughness decreases.

112 **Table 2.** Parameters of texturing pattern and its surface roughness values, $d = 100 \mu\text{m}$

Sample No.	$p / \mu\text{m}$	p / d	$R_a / \mu\text{m}$
1	200	2	9.10
2	100	1.00	9.15
3	95	0.95	9.79
4	90	0.90	10.23
5	80	0.80	11.02
6	70	0.70	11.29

113 *Surface characterization*

114 The surface morphology of the textured surface was characterized using scanning electron
 115 microscopy (SEM). The wettability and durability of the texture geometry were investigated by
 116 assessing the mechanical resistance by a small-scale laboratory testing, such as tape peeling,
 117 abrasive paper abrasion, and contact angle hysteresis of water droplets deposited on the textured
 118 surface. The droplet contact angle is defined as the angle at which the water-air interface intersects
 119 the surface, which characterizes the wettability tendency of liquid droplets. The roll-off angle
 120 represents the slope of a surface from that a drop starts rolling and eventually falls. Wettability
 121 conditions of superhydrophobicity are usually accepted when the contact angle is greater than 150°
 122 and also the roll angle is lower than 10° [22]. The WCA value has been measured for each experiment
 123 and co-related with the SEM topography and the degree of hydrophobicity. We used a technique
 124 like a sessile contact angle approach and for this purpose, an image was captured of liquid droplets
 125 on the textured surface using a high-resolution (micro-lens) camera. Then the image processing was
 126 carried out to assess the contact perspective as described above. Depending on the degree of rolling,
 127 we incrementally tilted the samples to determine the angle when the drop started rolling off from
 128 the surface. For this purpose, a drop of water of 10 μl volume was released from a micro-syringe
 129 from a height of 10 mm, and the drop image was captured and analyzed using image analysis
 130 software under ambient conditions (at 5-days interval).

131 *Mechanical testing*

132 Tape peel test

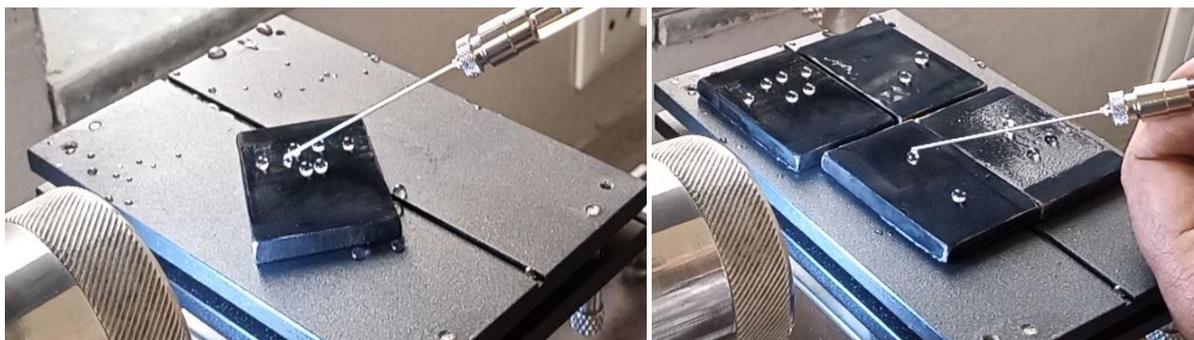
133 Generally, a tape peeling test is carried out to investigate the behavior of superhydrophobic
 134 surfaces after being glued to other surfaces, which indicates the loss of a degree of wettability of
 135 the SH surface. For the tape-peeling test, the highly adhesive and pressure-sensitive tape is used for
 136 uniformity of adhesion. In this work, Cellofix test tapes were used for uniformly adhering to the
 137 textured surface with the application of an external load of 80 g rolling onto the entire surface for
 138 proper adherence with the SH surface. The glued tape was later lifted at an angle of 180° to the
 139 surface [8,10,23]. The wettability of the surfaces was measured after every 10 repetitions of the
 140 test. This test was measured until the SH surface showed very low wettability loss.

141 Sandpaper abrasion test

142 A sandpaper abrasion test was carried out to evaluate the durability of the SH surfaces against
143 the damage from abrasive particles, which shows the wettability changes after a number of abrasion
144 cycles. In this test, the sandpaper of grit size P800 was put on the SH surface to a length of 40 mm
145 facing abrasive particles towards the SH surface. Applied 100 g external load on top of the sandpaper
146 and sandpaper was pulled horizontally with a constant velocity of approximately 10 mm/s. This test
147 was repeated for 50 cycles and the WCA of abraded surfaces was measured at intervals of 10
148 abrasion cycles [24-26].

149 **Results and discussion**

150 In the present experiment, we have successfully produced metal textured surfaces with uniform
151 SH properties using laser texturing (Figure 3).



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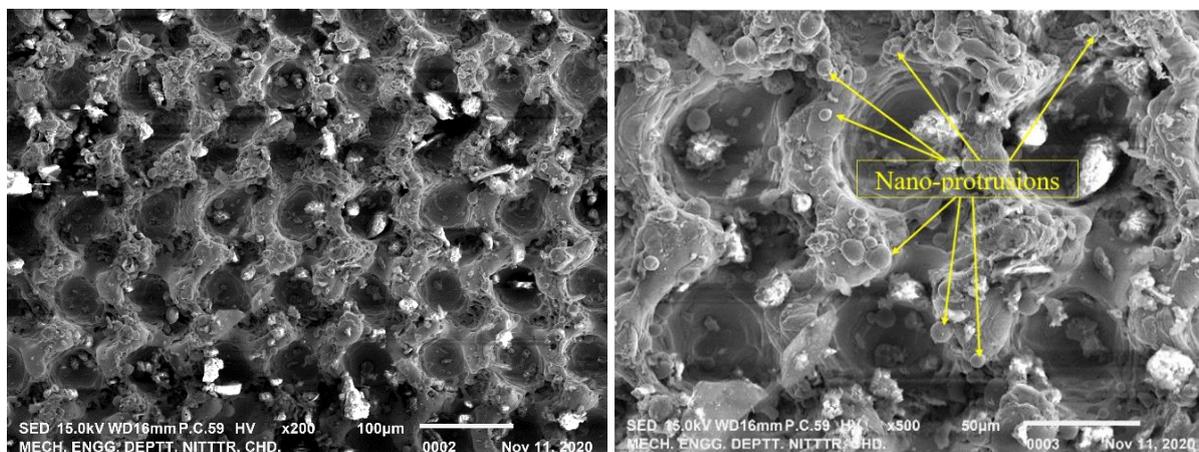
Figure 3. Water droplets exhibiting uniform hydrophobic and SH properties of textured surfaces after 19 days of exposure to the open environment

155 *Influence of laser texturing on surface morphology*

156 It has been well established that the morphology of the surface and the roughness have a strong
157 influence on surface wettability. In general, it has been reported in the literature that two states,
158 namely Wenzel and Cassie-Baxter (CB), are used to explain the liquid-solid interaction: (1) the liquid
159 fills the valleys of a rough solid surface known as the Wenzel state, and (2) air entering the valleys
160 of the rough solid surface called CB state. When processing line by line (two laser beams separated
161 by distance) during laser texturing, the laser beams move and remove material (ablation) from the
162 surface by melting and evaporating the metal, creating micro-patterned structures. To produce
163 metallic surfaces with SH properties, the surface must become one of three structures:
164 nanostructures, microstructures, or dual structures [27]. In this work, the circular-shaped texturing
165 with different p/d ratios (2.0-0.7) was fabricated on steel samples by a fibre laser texturing machine.
166 During pilot experimentation, SH behaviour on the samples was performed to optimize the p/d
167 ratio. From the study, it was found that textured geometry with a p/d ratio of 0.7 resulted in the
168 maximum WCA, demonstrating the most encouraging results, whereas textured geometry with a
169 p/d ratio 2 and more did not show many promising WCA results due to more flat surfaces present
170 on top of the sample. Based on this preliminary study, our further investigation was mainly focused
171 on textured geometry with a p/d ratio of 0.7, and a sample of texturing with a p/d ratio of 2 was
172 discarded from the further investigation.

173 A distribution of multi-model and regular pattern geometry has been produced after laser
174 texturing, as shown in the SEM image of the textured substrates at different magnification levels.
175 The laser-processed substrate surface produced a hierarchical structure, a nanostructure in micro-
176 protrusion pores and depressions, due to the evaporation and inherent laser bulging effect.

177 Figure 4 shows the SEM image of the texture pattern structure with a p/d ratio of 0.7 at
 178 magnification levels of 200 \times and 500 \times , respectively. The SEM images show the formulation of a coral-
 179 like surface structured with mushroom-like nano-protrusions on micro-cavities arranged in random
 180 alignments. These uniform/deterministic micro-cavities-oriented structures consisted of tiny cavities
 181 with dimensions in the micron to nano range that created an ultrafine porous structure [28]. Further
 182 studies show that these structures were reproducible and well controllable by adjusting the laser
 183 parameters. After the laser ablation process, the WCA on all textured geometries was measured and
 184 left at ambient conditions to further study the wettability behaviour with time. Further investigation
 185 of WCA ensured that the surface wettability of laser-ablated surfaces increases with time.



186 **Figure 4.** SEM images of a textured surface with a p/d ratio of 0.7 at different magnification levels
 187

188 *Effect of auto-oxidation on wettability*

189 The topography of the textured surface depends on the laser power and the intensity of the beam.
 190 It is well known that spatial depth and heat zone increase with increasing laser intensity. As the laser
 191 intensity doubles, the heat-affected region lengthens three times. The result is that the texturing
 192 pattern's size increased and a distorted type of heat-affected zone was created [2,29]. One advantage
 193 of pattern distortion is that it creates a nano protrusion and a high heat-affected zone is highly
 194 responsible for the oxide formation and carbon abstractions from the environment and surface
 195 dissolution. After laser texturing on the specimens, WCA was measured on the textured patterns and
 196 all specimens were subjected to exposure to an open environment for further wettability studies time
 197 the contact angle was measured at time intervals of five days, as shown in Table 3.

198 **Table 3.** Wettability measurement on the laser texture AISI 420 steel

Time interval. day	p / d				
	0.7	0.8	0.9	0.95	1.0
	WCA, °				
1	97.90	85.36	77.23	70.9	70.2
5	125.42	101.21	92.32	88.12	86.3
10	131.48	115.23	105.41	100.32	98.25
15	145.49	129.3	121.23	114	101
19	158.59	135.23	123	114.45	101

199 After 15 days, it was observed as 145.45° and after 19 days, the fabricated surface showed SH
 200 behaviour with a contact angle of 158.6° in textured geometry with a p/d ratio of 0.7, whereas no
 201 significant change in the contact angle was observed after 19 days, as shown in Figure 6. The
 202

203 variation in wettability over time is due to a change in surface chemistry and a key factor could be
 204 ascribed to the accumulation of carbon to the textured substrate with active magnetite, as shown
 205 in Table 4 [30]. This accumulation of carbon particles from the air on the textured geometry and air
 206 entrapment inside the texturing pattern creates low surface energy on the surfaces. As a result,
 207 when a higher energy liquid is dropped on this surface, it is repelled from the surface and tries to
 208 attain a spherical shape due to the dominant molecular cohesive forces among the water droplets.
 209 Further, it was observed, that after a small surface inclination, the water droplets roll off easily from
 210 the surfaces. The EDS analysis of textured geometry with a p/d ratio of 0.7 (Figure 5) after 19 days
 211 shows an enormous chemical composition alteration of the surface, as shown in Table 4. The higher
 212 chemical composition alteration especially carbon and oxygen, may be caused due to surface
 213 burring due to ablation during laser texturing and oxidation due to heat treatment during texturing
 214 followed by auto-oxidation. From Table 4, trends of increasing percentage composition of lower
 215 melting points elements have been observed after laser texturing. This may be due to the lower
 216 melting points element diffusing out to the surface by heat treatment during texturing due to high
 217 laser fluence. The high increment of carbon composition and introduction of oxide formation on the
 218 textured geometry could be accounted for by the creation of low surface energy.
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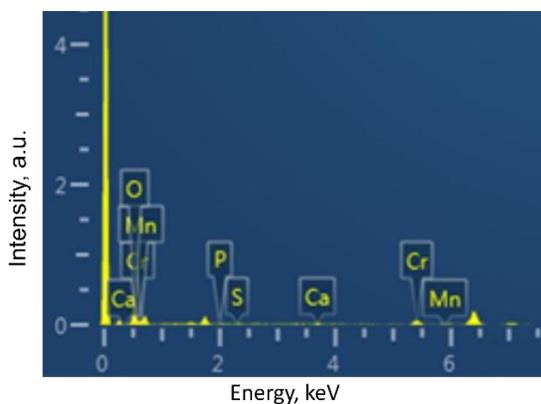


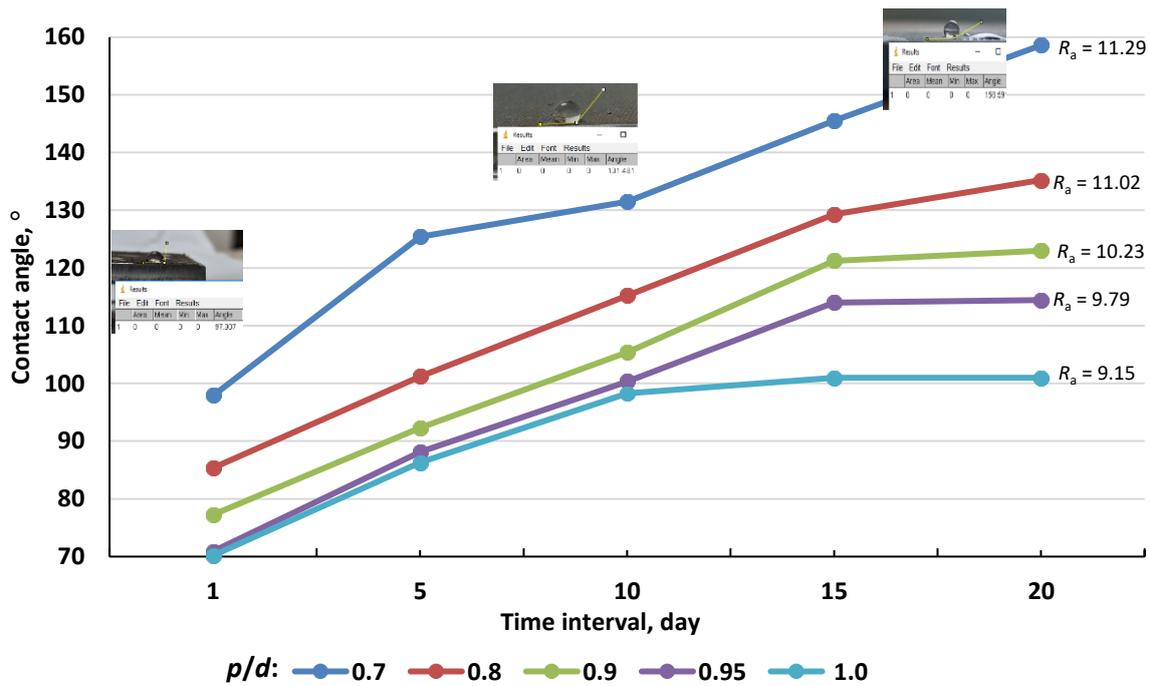
Figure 5. Energy-dispersive X-ray spectroscopy (EDS) on the textured surface

Table 4. Chemical composition of texture surface before and after texturing

Before texturing		After texturing	
Element	Content, wt. %	Element	Content, wt. %
C	0.13	C	6.35
P	0.035	P	0.33
S	0.026	S	0.44
Si	0.59	Si	4.58
Cr	13.68	Cr	9.67
Fe	84.56	Fe	59.61
		O	17.47
		Ca	1.55
Total	100.00		100.00

220 Micrographs of the SHS were examined by SEM and EDS analysis at 10 kV at the NITTR in
 221 Chandigarh, India, to examine the surface morphology and chemical composition of the surfaces.
 222 The ability of water repellence increases with decreasing p/d value of the laser-ablated surface due
 223 to increased surface roughness and the same behaviour for the other values of the p/d ratio was
 224 observed. There was no significant change in the contact angles of the irradiated surface with time
 225 after a stable equilibrium was reached. The WCA of water droplets was calculated on laser irradiated
 226 surfaces ($p/d = 0.7, 0.8, 0.90, 0.95,$ and 1.0) ablated with the same laser fluence and left at ambient
 227 conditions for 19 days. It could be acknowledged from the results obtained that the pulse
 228 superposition implemented in the ablation process could play an essential role in the surface
 229 topography produced by the laser texturing process. As the p/d ratio of the circular texture pattern
 230 decreased from 1.0 to 0.7, the surface roughness ($9.15 \mu\text{m}$ to $11.29 \mu\text{m}$) also increased as shown in
 231 Table 2. The increase of R_a value with dual structure is highly responsible for the improvement in
 232 hydrophilic to SH behaviour. Further, with the increase of the p/d ratio from 0.7 to 2.0, the surface
 233 microstructure becomes very flat and there are not many nano-scale multi-modal structures present
 234 on the surface to offer hydrophobicity. The decrease in CAs and the high adhesive force of these
 235 surfaces can be explained according to the Wenzel model. As illustrated in Figure 6, the lower WCA

236 on textured geometry except/compared to p/d ratio 0.7 was due to the water droplet could get into
 237 the groove of the rough solid surface partially or completely.



238
 239 **Figure 6.** WCA of textured AISI 420 steel specimens with different p/d ratios during 20 days interval

240 **Mechanical test**

241 To determine the functional working efficiency of the fabricated SHS, mechanical reliability is also
 242 a critical parameter. In general, the SHS artificially produced by chemical methods are relative of
 243 low durability and can lose their ability to repel water through scratching or abrasion, causing
 244 excessive damage to the surface chemistry and structure [24]. Small scale laboratory tests prevalent
 245 to ascertain the durability of SHS were conducted, namely sandpaper abrasion tests, tape peel tests,
 246 and water repellency over time. In this study, SH surfaces show marginal loss of wettability after 50
 247 test repetitions. It was observed that samples with a p/d ratio of 0.7 had exhibited some decreasing
 248 trend of WCA during the first 40 test cycles of the tape peel test and a similar diminishing trend was
 249 observed in the sandpaper abrasion test. A very small wettability change of the surface had been
 250 observed in the first 10 cycles of the abrasive paper scratch test. Whiles, a sharp drop in WCA
 251 (Figure 7) from 157.40 to 144.30 was observed between 10 to 50 cycles as the structure of the
 252 textured substrate was damaged. The decreasing trends of WCA were observed after increasing the
 253 number of testing cycles because when the number of cycles increases, the nano-protrusion over
 254 micro-structures gets damaged after every repetition. However, the surface remains hydrophobic
 255 after 50 cycles of test and it marginally lost its ability to repel water.

256 The promising results of the study show that these low water adhesion SHS can be used in many
 257 engineering fields, such as the ‘mechanical hand’ to transfer small water droplets without any loss
 258 or contamination for micro sample analysis. These SHS can also be used to store any type of liquid
 259 solution in small volumes where no loss is required. Moreover, such surfaces can also be used to
 260 transfer liquid droplets from low adhesion surface to high adhesion surface without any loss.

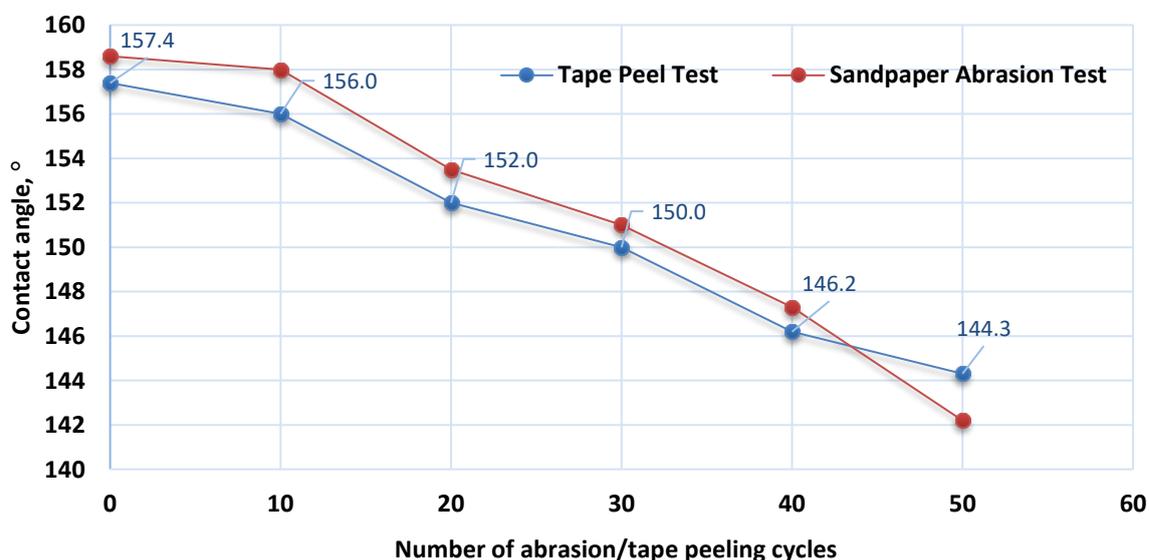


Figure 7. WCA measurement on the surface after mechanical testing ($p/d = 0.70$)

261
262

263 Conclusion

264 It has been shown that a compact and relatively inexpensive fiber laser texturing machine can be
 265 used to produce SHS on AISI 420 steel substrates that exhibit hydrophobic properties immediately
 266 after direct laser texturing under atmospheric conditions. The wettability of the ablated surface
 267 enhances with time and the surfaces become SH after 19 days of autoxidation. To evaluate the
 268 mechanical stability of the developed SHS, two different test methods were performed, the first
 269 being the sandpaper abrasion test and the second being the tape-peel adhesion test. Following are
 270 the main finding of the study:

- 271 • SEM and EDS analysis showed that laser texturing was mainly responsible for the changes in
 272 surface morphology and scattering surface chemistry. The results showed that both the surface
 273 chemistry and the pulse overlap could have a significant impact on the water repellency of
 274 the AISI 420 steel surface.
- 275 • The superhydrophobicity of the laser-textured surface increased with decreasing p/d value
 276 due to increased surface roughness. A circular texture pattern with a value of 0.7 p/d shows
 277 excellent non-wetting behaviour due to increased surface roughness. The maximum WCA
 278 achieved was 158.6° after 19 days of the sample stored at ambient conditions.
- 279 • After investigation, it has been found that an increase in carbon and oxidation percentage on
 280 the textured surface over time was highly responsible for the SH behaviour.

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