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# Finite-time kinematic path-following control of underactuated ASV with disturbance observer

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## ABSTRACT

Based on a line-of-sight (LOS) guidance law for a curve parametrized path, a finite-time backstepping control is proposed for the kinematic path-following of an underactuated autonomous surface vehicle (ASV). Finite-time observer is utilized to estimate the unknown external disturbances accurately. The first-order Levant differentiator is introduced into the finite-time filter technique, such that the output of filter can not only approximate the derivative of the virtual control, but also avoid the singularity problem of real heading control. The integral terminal sliding mode is employed to improve the tracking performance and converging rate in the surging velocity control. By virtue of Lyapunov function, all the signals in the closed-loop system can be guaranteed uniformly ultimate boundedness, and accurate path-following task can be fulfilled in finite time. The simulation results and comparative analysis validate the effectiveness and robustness of the proposed control approach.

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Disturbance observer; finite-time control; kinematic path-following; curve parametrized path; underactuated ASV

## 1. Introduction

Due to its wide application in different fields, the control problem of autonomous surface vehicle (ASV) has attracted great attention from scholars in recent years, including point stabilization, trajectory tracking, path-following, platoon formation, etc. [1–5]. The goal of path-following is to design a control scheme to drive the ASV to track a predefined geometric reference [6]. The scheme usually consists of guidance and control subsystems, and a look-ahead-based line-of-sight (LOS) law is an effective way to provide a desired course such that an effective control law can be designed to drive ASV to the desired path [7,8]. Because of the complex model nonlinearity, unknown hydrodynamic coefficients, external disturbances and under-actuation characteristics, the design of ASV motion controller is a difficult task [9].

A variety of advanced control methods have been developed for path-following control of ASV, such as cascade control [10], adaptive backstepping control [11,12], sliding mode control [13–15], fuzzy neural network control [16–18]. There is a common feature that the control methods are applied to fully actuated ASV, which has great limitations in practical application. The underactuated characteristics of the ASV means that the dimension of the control input is less than the number of degrees of freedom, leading to the second-order nonholonomic constraint condition that the acceleration is not integrable, which makes it difficult for ASV to track the expected path [19].

Nonparametric uncertainties and environmental disturbances are the challenges of strongly coupled nonlinear multivariable underactuated ASV systems [20]. With a surge-guided LOS, a fuzzy disturbance observer-based path-following control scheme is proposed for an underactuated ASV [21]. A L1 adaptive backstepping control scheme is proposed for the path-following of an underactuated ASV, considering both the robustness and fast adaptation [22]. A pre-filter adaptive backstepping controller is designed for an underactuated ASV to track the predefined path with a better performance of the waypoint-based navigation [23]. With the time delay control method and extended state observer technique compensating for the effects of time-varying ocean currents, a backstepping control is used to realize compound LOS guided path-following of an underactuated ASV [24]. The common point in the above works is the closed-loop systems are asymptotically stable.

Compared to asymptotic stability, finite-time stability has faster convergence rate, stronger disturbance rejection and higher steady precision [25]. A finite-time command filtered backstepping approach is adopted for the path-following of an underactuated ASV with parametric uncertainties and unknown disturbances [26]. A finite-time path-following controller is established via backstepping technique, and the unknown disturbances are estimated by an adaptive fuzzy system [27]. An adaptive vector-backstepping control is designed for

an underactuated ASV with single unknown parameter for finite-time convergence of position tracking errors [28]. However, all the above results can only give the finite-time convergence to a neighbourhood around zero. The standard first-order of sliding modes provide for finite-time convergence with respect to internal and external disturbances, meanwhile the higher-order sliding modes preserve the main properties of the standard sliding mode [29]. A continuous finite-time control scheme using the form of terminal sliding modes is proposed [30]. A sliding mode control method with the variable-parameter double-power reaching law is proposed to weaken the chattering phenomenon [31].

Motivated by the afore-mentioned analyses, an LOS based finite-time kinematic path-following controller for an underactuated ASV subjects to ocean currents is proposed in this paper, and the main contribution is that the finite-time convergence to precise zero of path-following error is achieved by the integrated use of LOS guidance law, finite-time disturbance observer, first-order Levant differentiator, filter error compensator and terminal sliding mode [29–31], at the same time, the differentiation explosion and singularity problems of fractional-power virtual control are avoided.

The remainder of this paper is organized as follows. The ASV mode and problem formulation are presented in Section 2. The disturbance observer design for the underactuated ASV is presented in Section 3. Guided LOS motion control of ASVs using finite-time backstepping technique and the stability analysis of the closed-loop system are provided in Section 4. The simulation results are presented in Section 5.

## 2. Problem formulation

In this section, the mathematical model of an underactuated ASV, the definition of kinematic path-following error is firstly given, then the control objective is formulated.

### 2.1. Underactuated ASV model

Neglecting the motions in heave, roll, and pitch, the three-degree-of-freedom kinematic and dynamic model of the ASV can be respectively described as:

$$\begin{cases} \dot{x} = u \cos \psi - v \sin \psi \\ \dot{y} = u \sin \psi + v \cos \psi \\ \dot{\psi} = r \end{cases} \quad (1)$$

$$\begin{cases} m_{11}\dot{u} = f_u(u, v, r) + \tau_u + d_u \\ m_{22}\dot{v} = f_v(u, v, r) + d_v \\ m_{33}\dot{r} = f_r(u, v, r) + \tau_r + d_r \end{cases} \quad (2)$$

where  $x, y, \psi$  represent position and the heading angle of the underactuated ASV in the earth-fixed frame,  $u, v, r$  are the velocities (surge, sway, yaw) of the ASV in the body-fixed frame,  $m_{11}, m_{22}, m_{33}$  denote

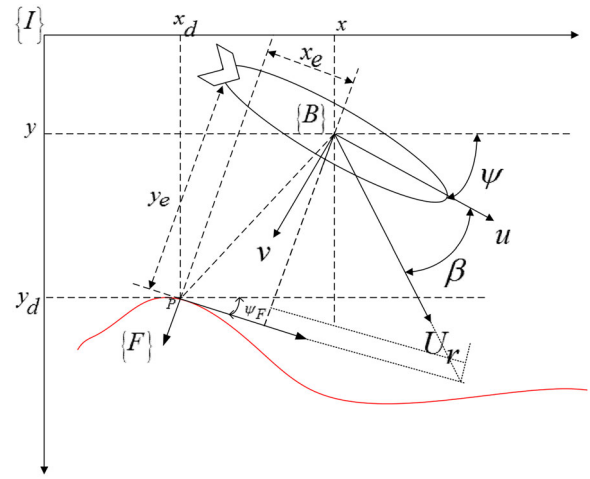


Figure 1. Path-following in the horizontal plane.

the combined terms of mass and inertia parameters,  $d_{11}, d_{22}, d_{33}$  denote hydrodynamic damping and friction terms,  $\tau_u$  and  $\tau_r$  are the control inputs provided by the thruster,  $d_u, d_v, d_r$  represent the bounded external disturbances induced by waves and wind, and

$$\begin{cases} f_u(u, v, r) = m_{22}vr - d_{11}u \\ f_v(u, v, r) = -m_{11}ur - d_{22}v \\ f_r(u, v, r) = (m_{11} - m_{22})uv - d_{33}r \end{cases}$$

**Assumption 2.1:** For the system (2), there exist positive constants  $D_u, D_v, D_r$ , such that  $d_u, d_v, d_r$  satisfy the following conditions  $|d_u| \leq D_u, |d_v| \leq D_v, |d_r| \leq D_r$ .

### 2.2. Kinematic path-following error

The path-following problem in the horizontal plane is illustrated in Figure 1, where  $\{I\}$ ,  $\{B\}$  and  $\{F\}$  represent the earth-fixed frame, body-fixed frame and the Serret-Frenet frame, respectively.

The following variables are defined as:

$$\begin{cases} \psi_F = \arctan \left( \frac{\dot{y}_d(\theta)}{\dot{x}_d(\theta)} \right) \\ \beta = \arctan \left( \frac{v}{u} \right) \\ U_r = \sqrt{u^2 + v^2} \end{cases} \quad (3)$$

where  $[x_d, y_d]^T$  is the desired position vector of the moving virtual target on the curve parametrized path in the Serret-Frenet frame, and  $\theta$  is the path parameter. Then, the path-following error vector in the Serret-Frenet frame is

$$\begin{bmatrix} x_e \\ y_e \end{bmatrix} = \begin{bmatrix} \cos \psi_F & \sin \psi_F \\ -\sin \psi_F & \cos \psi_F \end{bmatrix} \begin{bmatrix} x - x_d \\ y - y_d \end{bmatrix} \quad (4)$$

Differentiating  $x_e$  and  $y_e$  yield.

$$\begin{aligned} \dot{x}_e &= u \cos \psi - v \sin \psi \cos \psi_F + u \sin \psi \\ &\quad + v \cos \psi \sin \psi_F \end{aligned}$$

$$\begin{aligned}
& + [-\sin \psi_F(x - x_d) + \cos \psi_F(y - y_d)]\dot{\psi}_F \\
& - (u_{tar}\sin^2\psi_F + u_{tar}\cos^2\psi_F) \\
\dot{y}_e = & -u \cos \psi - v \sin \psi \sin \psi_F + u \sin \psi \\
& + v \cos \psi \cos \psi_F \\
& - [\cos \psi_F(x - x_d) + \sin \psi_F(y - y_d)]\dot{\psi}_F \\
& + (u_{tar} \sin \psi_F \cos \psi_F - u_{tar} \sin \psi_F \cos \psi_F),
\end{aligned}$$

where  $u_{tar} = \dot{\theta} \sqrt{\dot{x}_d^2(\theta) + \dot{y}_d^2(\theta)}$  is the velocity of the virtual target along the desired path, which can be further simplified as

$$\begin{cases} \dot{x}_e = u \cos(\psi - \psi_F) - u \sin(\psi - \psi_F) \tan \beta \\ \quad + \dot{\psi}_F y_e - u_{tar} \\ \dot{y}_e = u \sin(\psi - \psi_F) + u \cos(\psi - \psi_F) \tan \beta \\ \quad - \dot{\psi}_F x_e \end{cases} \quad (5)$$

### 2.3. Control objective

The objective of this paper is to design an appropriate controller to adjust the motion path of the ASV on the horizontal surface, so that it can quickly follow the desired path, which can be realized by two subsystems:

**Geometric objective:** Given a desired path and the following error dynamics, the desired heading angle  $\psi_d$  and desired angular velocity  $r_d$  can be given by a LOS guidance scheme, and then  $u_{tar}$  can be designed to make the ASV converge to and move along the desired path.

**Dynamic objective:** Based on the underactuated ASV model, the longitudinal and heading control laws  $\tau_u$  and  $\tau_r$  can be designed such that the speed tracking errors  $u - u_d$  and  $r - r_d$  converge to an arbitrarily small neighbourhood around zero in finite time.

### 3. Nonlinear disturbance observer design

In order to dealing with the external disturbances suffered by an ASV in (2), a finite-time disturbance observer is constructed in this section. The following lemma is given before the design.

**Lemma 3.1** ([28]): *For the second order systems*

$$\begin{cases} \dot{x}_1 = -\mu_1 \text{sig}^{\frac{1}{2}}(x_1) + x_2 \\ \dot{x}_2 = -\mu_2 \text{sgn}(x_1) + L \end{cases} \quad (6)$$

there exists a constant  $L_M$  satisfying  $|L| \langle L_M, \mu_1 \rangle 1.1L_M$ ,  $\mu_2 > 1.5L_M$ , the dynamic system is finite-time stable, where  $\text{sig}^\alpha(x_1) = |x_1|^\alpha \text{sgn}(x_1)$ ,  $x_1 \in \mathbb{R}$ ,  $\alpha \in (0, 1)$ ,

$$\text{sgn}(x_1) = \begin{cases} -1, & \text{if } x_1 < 0 \\ [-1, 1], & \text{if } x_1 = 0 \\ 1, & \text{if } x_1 > 0 \end{cases}$$

The ASV dynamics are described as follows

$$M\dot{v} = f(v) + \tau + d \quad (7)$$

where  $M = \text{diag}(m_{11}, m_{22}, m_{33})$ ,  $v = [u, v, r]^T$ ,  $f(v) = [f_u, f_v, f_r]^T$ ,  $\tau = [\tau_u, 0, \tau_r]^T$ ,  $d = [d_u, d_v, d_r]^T$ .

**Theorem 3.1:** *Suppose that Assumption 2.1 holds, there exists  $D$ , such that  $\hat{d} \leq D$ ,  $D = [D_u, D_v, D_r]^T$ , and define the errors  $\Pi = [\Pi_u \quad \Pi_v \quad \Pi_r]^T$  as*

$$\Pi = Mv - MX \quad (8)$$

$$\begin{aligned} \dot{X} \triangleq & M^{-1} \left[ f(v) + \tau + \mu_1 \text{sig}^{\frac{1}{2}}(\Pi) \right. \\ & \left. + \int_0^t \mu_2 \text{sign}(\Pi) dt \right] \end{aligned} \quad (9)$$

where  $\mu_1, \mu_2$  are constants, then the estimated disturbance is

$$\hat{d} = \int_0^t \mu_2 \text{sign}(\Pi) dt \quad (10)$$

**Proof:** Taking the derivative of (8) yields

$$\dot{\Pi} = M\dot{v} - M\dot{X}$$

Substituting equation (7) into the above equation yields

$$\begin{aligned} \dot{\Pi} = & M\dot{v} - M\dot{X} = -\mu_1 \text{sig}^{\frac{1}{2}}(\Pi) \\ & - \int_0^t \mu_2 \text{sign}(\Pi) dt + d \end{aligned}$$

Define  $\pi = -\int_0^t \mu_2 \text{sign}(\Pi) dt + d$ , then.

$$\begin{cases} \dot{\Pi} = -\mu_1 \text{sig}^{\frac{1}{2}}(\Pi) + \pi \\ \dot{\pi} = -\mu_2 \text{sign}(\Pi) + \dot{d} \end{cases} \quad (11)$$

Based on Lemma 3.1 and Assumption 2.1, the errors  $\Pi = 0, \pi = 0$  are achieved in finite time  $t_d$ . When  $t > t_d$ ,  $-\int_0^t \mu_2 \text{sign}(\Pi) dt + d = d - \hat{d} = 0$ . Then the observer can accurately estimate the disturbance in finite time. ■

### 4. Controller design

Based on the observed disturbance (10), the kinematic control, heading and velocity control are respectively designed in the following, and the curve parametrized path is exactly followed in finite time.

#### 4.1. Kinematic control design

LOS is a classical guidance algorithm as well as a geometric method. The main idea of LOS guidance is to mimic the action of the helmsman, which the desired heading angle is obtained through the actual position of the ASV looking ahead to the desired position, and then a control law is utilized to steer the vehicle head for LOS angle, finally converge to the desired path. LOS Guidance law is independent of the ASV controller design,

the desired heading course of ASV is dependent on its current position and the given path.

**Theorem 4.1:** *If a LOS guidance law is employed as*

$$\psi_d = \psi_F - \arctan\left(\tan\beta + \frac{y_e}{\Delta}\right) \quad (12)$$

where  $\Delta > 0$  is the forward view distance parameter,  $\psi - \psi_F \approx \psi_d - \psi_F \in (-\pi/2, \pi/2)$  and the velocity of the virtual target along the curved parametrized path is designed as

$$u_{tar} = k_0 x_e + U_r \cos(\psi - \psi_F + \beta) \quad (13)$$

where  $k_0 > 0$ , then the path can be followed asymptotically.

**Proof:** Since.

$$\begin{aligned} \sin(\psi - \psi_F) &= -\frac{\Delta \tan\beta + y_e}{\sqrt{\Delta^2 + (\Delta \tan\beta + y_e)^2}} \\ \cos(\psi - \psi_F) &= \frac{\Delta}{\sqrt{\Delta^2 + (\Delta \tan\beta + y_e)^2}} \end{aligned} \quad (14)$$

Consider the Lyapunov function candidate

$$V = \frac{1}{2}(x_e^2 + y_e^2) \quad (15)$$

Differentiating (15) and utilizing (14) yield

$$\dot{V} = -k_0 x_e^2 - \frac{u y_e^2}{\sqrt{\Delta^2 + (y_e + \Delta \tan\beta)^2}}$$

where  $u > u_{min} > 0$ , then  $V$  is bounded,  $x_e$  and  $y_e$  are asymptotically stable.  $\blacksquare$

## 4.2. Heading control design

In order to eliminate the differentiation explosion of the traditional backstepping control and singularity of finite-time control, the LOS guidance law and the finite time filter are introduced into heading control to enable the ASV reach the desired target in finite time.

**Lemma 4.1 ([24]):** *For the first order Levant differentiator is*

$$\begin{cases} \dot{\beta}_1 = z \\ z = -l_1 |\beta_1 - \alpha|^{\frac{1}{2}} \text{sign}(\beta_1 - \alpha) + \beta_2 \\ \dot{\beta}_2 = -l_2 \text{sign}(\beta_2 - z) \end{cases} \quad (16)$$

where  $\alpha$  is input signal,  $l_1$  and  $l_2$  are positive constants, the output signal  $\beta_1$  and  $\dot{\beta}_1$  of the command filter can be used to estimate  $\alpha$  and  $\dot{\alpha}$ , i.e.  $\beta_1 = \alpha$ ,  $z = \dot{\beta}_1 = \dot{\alpha}$ , in finite time by choosing appropriate parameters and without noise in  $\alpha$ .

The filtering error  $\beta_1 - \alpha$  is generally ignored in the traditional dynamic surface technique, which will

inevitably affect the control accuracy. Therefore, the filtering error compensation mechanism is introduced for improving the performance of closed-loop system.

**Lemma 4.2 ([25]):** *Let the input noise satisfy the inequality  $|\alpha - \alpha_0| \leq \sigma$ . Then the following inequalities are established in finite time for some positive constants  $\sigma_1$  and  $\sigma_2$  depending exclusively on the parameters of the differentiator,*

$$\begin{aligned} |\beta - \alpha_0| &\leq \sigma_1 \kappa = \varrho_1 \\ |\dot{\beta}_1 - \dot{\alpha}_0| &\leq \sigma_2 \kappa^{\frac{1}{2}} = \varrho_2 \end{aligned} \quad (17)$$

where  $\varrho_1$  and  $\varrho_2$  are positive constants.

**Lemma 4.3 ([26]):** *An extended Lyapunov condition of finite-time stability can be given*

$$\dot{V}(x) + h_1 V(x) + h_2 V^\gamma(x) \leq 0, 0 < \gamma < 1 \quad (18)$$

where the settling time can be estimated by

$$T \leq \frac{1}{h_1(1-\gamma)} \ln \frac{h_1 V^{1-\gamma}(x_0) + h_2}{h_2} \quad (19)$$

Define the angle tracking errors  $z_1, z_2$  and the tracking error compensations  $\varpi_1, \varpi_2$  as

$$z_1 = \psi - \psi_d, z_2 = r - \beta_1 \quad (20)$$

$$\varpi_1 = z_1 - \zeta_1, \varpi_2 = z_2 - \zeta_2 \quad (21)$$

The virtual control law is defined as

$$\alpha = -k_1 z_1 + \dot{\psi}_d - s_1 |\varpi_1|^\gamma \text{sign}(\varpi_1) \quad (22)$$

where  $k_1$  and  $s_1$  are positive constants,  $0 < \gamma < 1$ . Filtering error compensation is presented as

$$\dot{\zeta}_1 = -k_1 \zeta_1 + (\beta_1 - \alpha) + \zeta_2 - \rho_1 \text{sign}(\zeta_1) \quad (23)$$

$$\dot{\zeta}_2 = -k_2 \zeta_2 - \zeta_1 - \rho_2 \text{sign}(\zeta_2) \quad (24)$$

where  $k_2, \rho_1$  and  $\rho_2$  are positive constants.

Consider the Lyapunov function candidate

$$V_1 = \frac{1}{2} m_{33} \varpi_1^2 \quad (25)$$

Differentiating (25), utilizing (20)-(24), we can obtain

$$\begin{aligned} \dot{V}_1 &= m_{33} \varpi_1 (\varpi_2 - k_1 \varpi_1 - s_1 |\varpi_1|^\gamma \text{sign}(\varpi_1) \\ &\quad + \rho_1 \text{sign}(\zeta_1)) \end{aligned} \quad (26)$$

From (2) we have

$$\begin{aligned} m_{33} \dot{\varpi}_2 &= f_r + \tau_r + d_r - m_{33} \dot{\beta}_1 + k_2 m_{33} \zeta_2 \\ &\quad + m_{33} \zeta_1 + m_{33} \rho_2 \text{sign}(\zeta_2) \end{aligned} \quad (27)$$

where  $f_r = f_r(u, v, r) = (m_{11} - m_{22})uv - d_{33}r$ .

The heading tracking control law is constructed as follows:

$$\begin{aligned} \tau_r = & -(m_{11} - m_{22})uv + d_{33}r - \hat{d}_r + m_{33}\dot{\beta}_1 \\ & - m_{33}z_1 - m_{33}k_2z_2 - m_{33}s_2|\varpi_2|^\gamma \text{sign}(\varpi_2) \end{aligned} \quad (28)$$

where  $k_2$  and  $s_2$  are positive constants.

**Theorem 4.2:** For the underactuated ASV (1)(2) with the finite-time commander filtering (16), filtering error compensation mechanism (23)(24) and the heading control law (28), the heading steering task  $\psi = \psi_d$  can be fulfilled in finite time.

**Proof:** Consider the Lyapunov function candidate

$$V_2 = V_1 + \frac{1}{2}m_{33}\varpi_2^2 \quad (29)$$

Differentiating (29) yields

$$\begin{aligned} \dot{V}_2 = & \varpi_1(\varpi_2 - k_1\varpi_1 - s_1|\varpi_1|^\gamma \text{sign}(\varpi_1) \\ & + \rho_1 \text{sign}(\zeta_1)) + \varpi_2(f_r + \tau_r + \hat{d}_r - m_{33}\dot{\beta}_1 \\ & + k_2m_{33}\zeta_2 + m_{33}\zeta_1 + m_{33}\rho_2 \text{sign}(\zeta_2)) \end{aligned} \quad (30)$$

Substituting (28) into (30) yields

$$\begin{aligned} \dot{V}_2 = & m_{33}\varpi_1(\varpi_2 - k_1\varpi_1 - s_1|\varpi_1|^\gamma \text{sign}(\varpi_1) \\ & + \rho_1 \text{sign}(\zeta_1)) + \varpi_2(d_r - \hat{d}_r - m_{33}k_2\varpi_2 \\ & - m_{33}\varpi_1 \\ & - m_{33}s_2|\varpi_2|^\gamma \text{sign}(\varpi_2) + m_{33}\rho_2 \text{sign}(\zeta_2)) \end{aligned} \quad (31)$$

Simplifying (31) yields

$$\begin{aligned} \dot{V}_2 = & -m_{33}k_1\varpi_1^2 - m_{33}k_2\varpi_2^2 \\ & - m_{33}s_1\varpi_1|\varpi_1|^\gamma \text{sign}(\varpi_1) \\ & - m_{33}s_2\varpi_2|\varpi_2|^\gamma \text{sign}(\varpi_2) + m_{33}\varpi_1\rho_1 \text{sign}(\zeta_1) \\ & + m_{33}\rho_2\varpi_2 \text{sign}(\zeta_2) + \varpi_2(d_r - \hat{d}_r) \\ \leq & -\min \left\{ 2k_1 - \rho_1, 2k_2 - \rho_2 - \frac{1}{m_{33}} \right\} \\ & \times \left( \frac{m_{33}\varpi_1^2}{2} + \frac{m_{33}\varpi_2^2}{2} \right) \\ & + \frac{\rho_1 m_{33} \text{sign}^2(\zeta_1)}{2} \\ & - \min \left\{ 2s_1 \left( \frac{1}{2} \right)^{\frac{1-\gamma}{2}} m_{33}^{\frac{1-\gamma}{2}}, \right. \\ & \left. 2s_2 \left( \frac{1}{2} \right)^{\frac{1-\gamma}{2}} m_{33}^{\frac{1-\gamma}{2}} \right\} \\ & \times \left( \frac{m_{33}\varpi_1^2}{2} + \frac{m_{33}\varpi_2^2}{2} \right)^{\frac{\gamma+1}{2}} \end{aligned}$$

$$+ \frac{\rho_2 m_{33} \text{sign}^2(\zeta_2)}{2} + \frac{1}{2}(d_r - \hat{d}_r)^2 \quad (32)$$

then (32) is further derived as

$$\dot{V}_2 \leq -\mu_1 V_2 - \mu_2 V_2^{\frac{\gamma+1}{2}} + K_1 \quad (33)$$

where

$$\begin{aligned} \mu_1 = & \min \left\{ 2k_1 - \rho_1, 2k_2 - \rho_2 - \frac{1}{m_{33}} \right\} \\ \mu_2 = & \min \left\{ 2s_1 \left( \frac{1}{2} \right)^{\frac{1-\gamma}{2}} m_{33}^{\frac{1-\gamma}{2}}, 2s_2 \left( \frac{1}{2} \right)^{\frac{1-\gamma}{2}} m_{33}^{\frac{1-\gamma}{2}} \right\} \\ K_1 = & \frac{\rho_1 m_{33} \text{sign}^2(\zeta_1)}{2} + \frac{\rho_2 m_{33} \text{sign}^2(\zeta_2)}{2} \\ & + \frac{1}{2}(d_r - \hat{d}_r)^2 \end{aligned}$$

when  $t \leq t_d$ ,

$$\begin{aligned} e_r = & d_r - \hat{d}_r = d_r - \int_0^t \mu_2 \text{sign}(\Pi_r) dt \\ & \frac{\rho_1 m_{33} \text{sign}^2(\zeta_1)}{2} + \frac{\rho_2 m_{33} \text{sign}^2(\zeta_2)}{2} \\ \leq & \frac{m_{33}\rho_1}{2} + \frac{m_{33}\rho_2}{2} \\ K_1 \leq & \frac{m_{33}\rho_1}{2} + \frac{m_{33}\rho_2}{2} + \Omega_1, \end{aligned}$$

Since  $\text{sign}(\Pi_r)$ ,  $d_r$  are bounded, then  $e_r$  is bounded. Besides,  $\Omega_1$  is a positive constant.

When  $t > t_d$ ,

$$\begin{aligned} d_r - \hat{d}_r = & 0 \\ K_1 = & \frac{\rho_1 m_{33} \text{sign}^2(\zeta_1)}{2} + \frac{\rho_2 m_{33} \text{sign}^2(\zeta_2)}{2} \\ \leq & \frac{m_{33}\rho_1}{2} + \frac{m_{33}\rho_2}{2} = K_2 \\ \dot{V}_2 \leq & -\mu_1 V_2 - \mu_2 V_2^{\frac{\gamma+1}{2}} + K_2, \end{aligned}$$

The compensation tracking error  $\varpi_1$  converges in finite time to

$$|\varpi_1| \leq \max \left\{ \sqrt{\frac{K_2}{\mu_1}}, \sqrt{2 \left( \frac{K_2}{\mu_2} \right)^{\frac{2}{\gamma+1}}} \right\} \quad (34)$$

Suppose the Lyapunov function is chosen as.

$$V^* = \frac{1}{2}\zeta_1^2 + \frac{1}{2}\zeta_2^2 \quad (35)$$

Differentiating (35) yields:

$$\begin{aligned} \dot{V}^* = & \zeta_1\dot{\zeta}_1 + \zeta_2\dot{\zeta}_2 = -k_1\zeta_1^2 - k_2\zeta_2^2 + \zeta_1(\beta_1 - \alpha) \\ & - \rho_1\zeta_1 \text{sign}(\zeta_1) - \rho_2\zeta_2 \text{sign}(\zeta_2) \end{aligned}$$

Based on Lemma 4.1 and Lemma 4.2, we have  $0 < |\beta - \alpha_0| \leq \varrho_1$  in finite time.

$$\dot{V}^* \leq -k_1\zeta_1^2 - k_2\zeta_2^2 + |\zeta_1||\beta_1 - \alpha| - \rho_1|\zeta_1|$$

$$\begin{aligned}
& -\rho_2|\zeta_2| \\
& \leq -k_1\zeta_1^2 - k_2\zeta_2^2 + (\rho_1 - \rho_1)|\zeta_1| - \rho_2|\zeta_2| \\
& \leq -\min\{2k_1, 2k_2\} \left( \frac{1}{2}\zeta_1^2 + \frac{1}{2}\zeta_2^2 \right) \\
& \quad - \sqrt{2} \min\{\rho_1 - \rho_1, \rho_2\} \\
& \quad \times \left( \frac{1}{2}\zeta_1^2 + \frac{1}{2}\zeta_2^2 \right)^{\frac{1}{2}} \leq -\mu_3 V^* - \mu_4 (V^*)^{\frac{1}{2}}
\end{aligned}$$

where  $\mu_3 = \min\{2k_1, 2k_2\}$ ,  $\mu_4 = \sqrt{2} \min\{\rho_1 - \rho_1, \rho_2\}$ . Based on Lemma 4.3,  $V^*$  is finite-time stable, i.e.  $\zeta_1 = \zeta_2 = 0$  in finite time  $t^* = \frac{2}{\mu_3} \ln\left(\frac{\mu_3(V^*)^{\frac{1}{2}} + \mu_4}{\mu_4}\right)$ . Moreover, the finite-time stability of  $V_2$  is guaranteed,  $\varpi_1$  and  $z_1 = \psi - \psi_d$  converge to zero in finite time. ■

### 4.3. Velocity control design

The desired velocity of ASV is assumed to be  $u_d$ , the control objective is to design the propulsion torque  $\tau_u$  to make  $u$  converge to  $u_d$  in finite time. The velocity tracking error is defined as.

$$z_u = u - u_d \quad (36)$$

Choose the following integral terminal sliding mode

$$S_u = z_u - z_u(0) + c \int_0^t z_u^{\gamma_1} dt = 0 \quad (37)$$

where  $c > 0$  and  $0 < \gamma_1 < 1$ .

Differentiating (37) yields

$$\dot{S}_u = \frac{m_{22}}{m_{11}}vr - \frac{d_{11}}{m_{11}}u + \frac{\tau_u}{m_{11}} + \frac{d_u}{m_{11}} - \dot{u}_d + cz_u^{\gamma_1} \quad (38)$$

To improve the convergence rate and dynamic response, a terminal reaching law is employed as

$$\dot{S}_u = -\varepsilon_1 S_u - \varepsilon_2 |S_u|^{\gamma_2} \text{sign}(S_u) \quad (39)$$

where  $\varepsilon_1 > 0$ ,  $\varepsilon_2 > 0$ . The velocity tracking controller is designed as

$$\begin{aligned}
\tau_u = & m_{11}(-\varepsilon_1 S_u - \varepsilon_2 |S_u|^{\gamma_2} \text{sign}(S_u) + \dot{u}_d - cz_u) \\
& - m_{22}vr + d_{11}u - \hat{d}_u
\end{aligned} \quad (40)$$

**Theorem 4.3:** For the underactuated ASV (1)-(2) with the integral terminal sliding mode (37), terminal reaching law (39) and the velocity tracking control law (40), the task  $u = u_d$  can be fulfilled in finite time.

**Proof:** Consider the Lyapunov function candidate

$$V_3 = \frac{1}{2} S_u^2 \quad (41)$$

Differentiating (41) and utilizing (40), we have

$$\dot{V}_3 = S_u \dot{S}_u$$

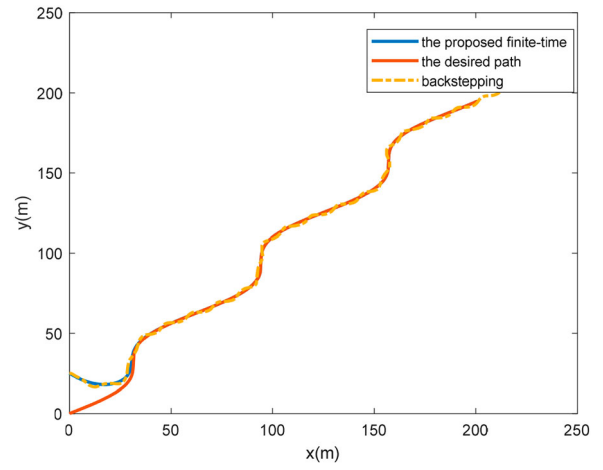


Figure 2. Path-following curve.

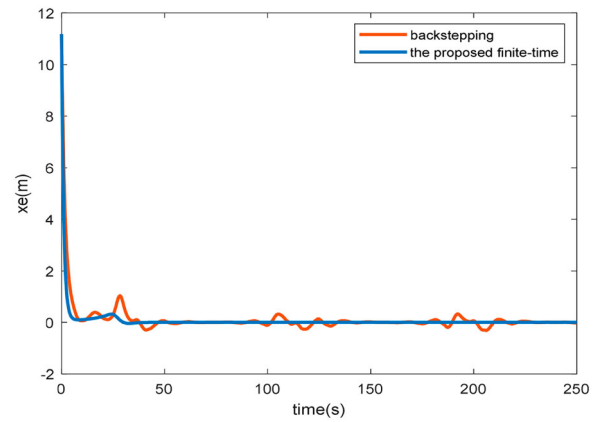


Figure 3. Position error along x-axis.

$$\begin{aligned}
& = S_u(-\varepsilon_1 S_u - \varepsilon_2 |S_u|^{\gamma_2} \text{sign}(S_u) \\
& \quad + \frac{1}{m_{11}}(d_u - \hat{d}_u)) \\
& = -\varepsilon_1 S_u^2 - \varepsilon_2 S_u |S_u|^{\gamma_2} \text{sign}(S_u) + \frac{S_u}{m_{11}}(d_u - \hat{d}_u) \\
& \leq -\min\left\{2\varepsilon_1, -\frac{1}{m_{11}^2}\right\} \left(\frac{S_u^2}{2}\right) \\
& \quad - 2\varepsilon_2 \left(\frac{1}{2}\right)^{\frac{1-\gamma_2}{2}} \left(\frac{S_u^2}{2}\right)^{\frac{\gamma_2+1}{2}} \\
& \quad + \frac{1}{2}(d_u - \hat{d}_u)^2
\end{aligned}$$

The above formula can be expressed as

$$\dot{V}_3 \leq -\kappa_1 V_3 - \kappa_2 V_3^{\frac{\gamma_2+1}{2}} + K_3$$

where  $\kappa_1 = \min\left\{2\varepsilon_1, -\frac{1}{m_{11}^2}\right\}$ ,  $\kappa_2 = 2\varepsilon_2 \left(\frac{1}{2}\right)^{\frac{1-\gamma_2}{2}}$ ,  $K_3 = \frac{1}{2}(d_u - \hat{d}_u)^2$ . When  $t \leq t_d$ ,  $e_u = d_u - \hat{d}_u = d_u - \int_0^t \mu_2 \text{sign}(\Pi_u) dt$ . Since  $\text{sign}(\Pi_u)$  and  $d_u$  is bounded, then  $e_u$  is bounded and  $K_3 = \frac{1}{2}e_u^2 \leq \Omega_2$ ,  $\Omega_2$  is a positive constant.  $V_3$  converges to a specified compact set in  $t \leq t_d$ . When  $t > t_d$ ,  $K_3 = 0$ , according to Lemma 4.3,

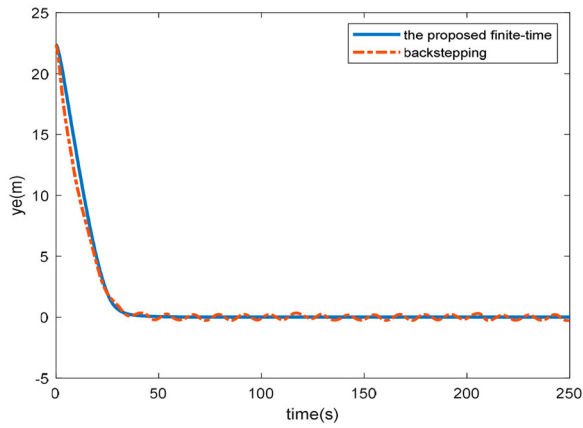


Figure 4. Position error along y-axis.

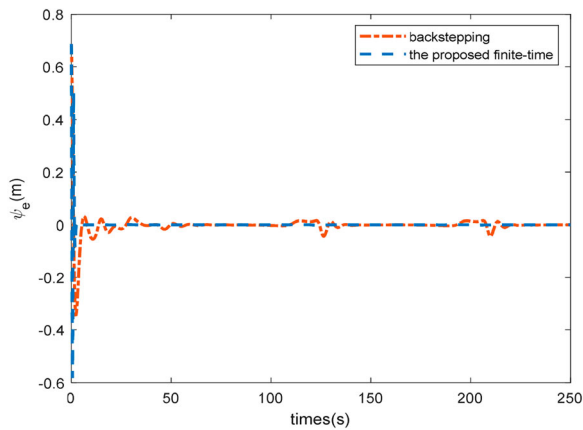


Figure 5. Heading position error.

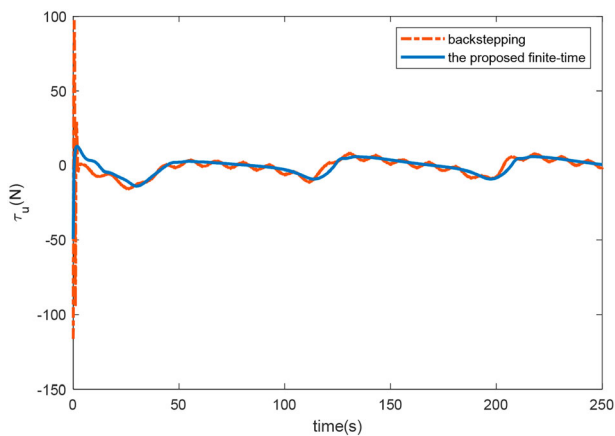


Figure 6. Longitudinal control.

$V_3$  is finite-time stable. Then along the integral terminal sliding mode (37),  $z_u = u - u_d$  converges to zero in finite time. ■

## 5. Simulation results

In order to verify the effectiveness and robustness of the proposed path-following controller, numerical simulations are carried out with a ASV model, whose parameters are  $m_{11} = 25.8\text{kg}$ ,  $m_{22} = 33.8\text{kg}$ ,  $m_{33} = 2.76\text{kg}$ ;  $d_{11} = 0.72\text{kg/s}$ ,  $d_{22} = 0.86\text{kg/s}$ ,  $d_{33} = 1.9\text{kg/s}$ .

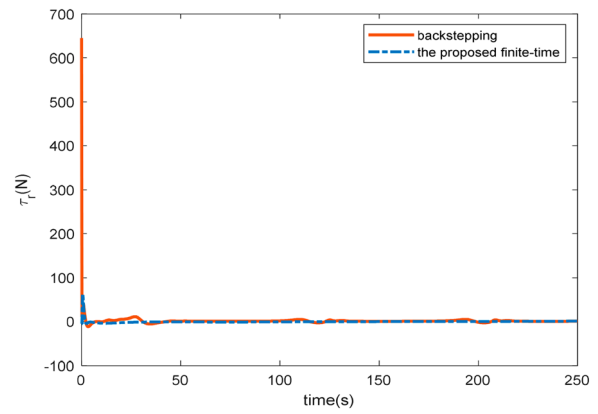


Figure 7. Yawing angular control.

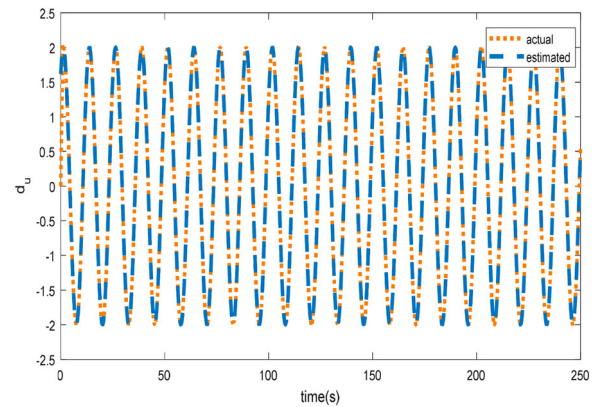


Figure 8.  $d_u$  estimation.

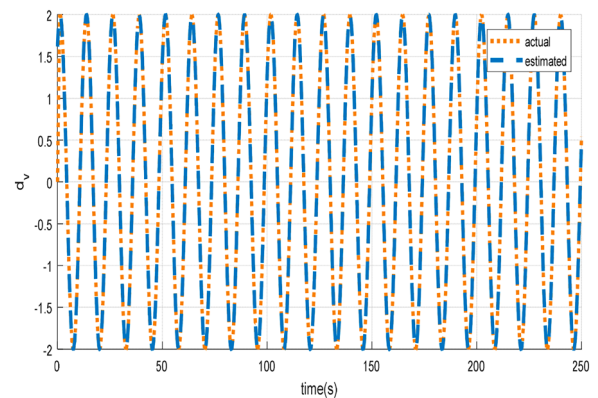
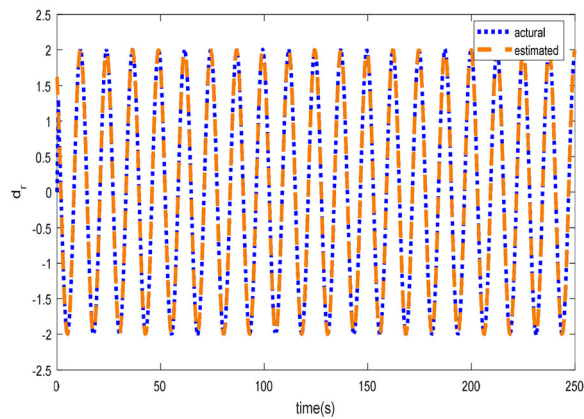


Figure 9.  $d_v$  estimation.

A desired curve parametrized path is parameterized by  $x_d = 10 \sin(0.1\theta) + \theta$ ,  $y_d = \theta$ . The initial position of ASV is  $[x(0), y(0), \psi(0)] = [0\text{m}, 25\text{m}, 0\text{rad}]$ , the parameters of the disturbance observer and controller are  $\mu_1 = 20$ ,  $\mu_2 = 6$ ,  $l_1 = 200$ ,  $l_2 = 4000$ ,  $k_0 = 1$ ,  $k_1 = 0.05$ ,  $k_2 = 120$ ,  $\rho_1 = \rho_2 = 10$ ,  $c = 0.05$ ,  $\varepsilon_1 = 2.5$ ,  $\varepsilon_2 = 0.01$ ,  $\Delta = 10$ . Unknown disturbances in the external environment are  $d_u = 2\sin(0.5t + 0.3\pi)$ ,  $d_v = 2\cos(0.5t + 0.1\pi)$ ,  $d_r = 2\cos(0.5t + 0.2\pi)$ . The proposed control method is compared with the dynamic surface backstepping method by the same finite-time





**Figure 10.**  $d_r$  estimation.

disturbance observer. The results show that the underactuated ASV moves along the desired path as shown in Figure 2, the path-following errors converge to zero in finite time as shown in Figures 3–5, and the better transient and steady performance is given with our proposed method. As observed in Figures 6–7, the proposed control inputs of the vehicle are more desirable with lower amplitude and smoother variation. Lastly, the estimated performance of the finite-time observer is shown in Figures 8–10, and the results demonstrate fast and accurate estimations of disturbances ( $d_u$ ,  $d_v$ ,  $d_r$ ).

## 6. Conclusion

A finite-time control approach has been proposed for the kinematic path-following problem of the underactuated ASV exposed to disturbances. The LOS guide approach is utilized for the backstepping control framework with finite-time filter and finite-time disturbance observer. The differentiation explosion and control singularity problem with finite-time backstepping control is avoided. The theoretical analysis and simulation results show that faster convergence rate and higher tracking precision is achieved with the proposed scheme. Our further research work in this direction will focus on relaxing the assumption of the known bound of disturbance variation and extending it to fixed-time control and prescribed-time control.

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