

PAIRING IN GAPLESS SUPERCONDUCTORS

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The Hartree-Bogolubov method in its operator form, as formulated first by Herbut and Vujičić¹, is very suitable to study the pairing in superfluid systems. In this approach the normal state of the system is described by means of linear operators, as the density operator ρ and the Hartree-Fock potential operator Γ , while the superfluid correlations are expressed in terms of anti-linear operators, as the pairing operator P_a , the correlation operator $t_a = (\rho - \rho^1)^{1/2} P_a$ and the pairing potential operator Δ_a . The case when P_a is fixed to be equal to the time reversal operator T_a , and all the operators entering into the theory commute, is discussed in the ref.¹: this is the BCS case. More general, non-commutative case occurs in the gapless superconductors^{2,3}. Having in mind systems which are not invariant to the time reversal /like a dirty superconductor in high magnetic field, or a superconducting alloy with a small percentage of magnetic impurities/ we consider a system described by the Hamiltonian

$$H = H_0 + H_1,$$

where H_0 is given by the eq. /1/ of the ref.¹, and H_1 is due to the perturbation breaking the time reversal invariance. H_1 anti-commutes with T_a , $[H_1, T_a]_+ = 0$. Basic dynamical eqs. derived in¹ are still valid,

$$\begin{aligned} A_a &= [h, t_a]_+ - [\Delta_a, \rho - \frac{1}{2}]_+ = 0 \\ B &= [h, \rho]_- - [\Delta_a, t_a]_- = 0, \end{aligned} \quad /1/$$

but the one-particle hamiltonian contains a part h_1 coming from the external perturbation, $h = h_0 + h_1$.

In the case $P_a = T_a$ there are additional relations of commutation

$$[h_1, P_a]_- \neq 0 \quad [h_1, P_a]_+ = 0, \quad \text{but} \quad [h_1, t_a]_+ = 0$$

if and only if $[h_1, \xi]_- = 0$. For each particular case /given H_1 / the choice $P_a = T_a$ would be consistent only if exists a basis in the one-particle space in which ξ is diagonal, and T_a canonical.

On the other hand, starting with the eqs./1/ one can derive Hartree-Bogolubov-de Gennes equations³ for the quasiparticle excitations. With the help of the operator formalism it is easy to see when the perturbation expansion for the excitation energy

$$\varepsilon_n = h_n + \sum_{m \neq n} \frac{|\Delta_{nm}|^2}{h_m + h_n} \quad /2/$$

converges. In the commutative case the eigen basis $|n\rangle$ of h is canonical for Δ_a / and for $P_a = T_a$ /, so that only matrix elements between the time-reversed states $\Delta_{n\bar{n}}$ are different from zero.

The eq. /2/ becomes

$$\varepsilon_n = h_n + \frac{|\Delta_{n\bar{n}}|^2}{2 h_n}$$

and ε_n diverges for $h_n \rightarrow 0$: there is a gap in the spectrum. In the general non-commutative case the basis $|n\rangle$ is not canonical for Δ_a and T_a : ε_n converges and there is a gapless spectrum. One can also try to fix up $P_a = T_a$ before the variational procedure leading to /1/. One gets then a rel. between h, P_a, Δ_a and ξ which is equivalent to /1/ only if $[\Delta_a, P_a]_- = 0$ and $[h, \xi]_- = 0$.

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1. F. Herbut and M. Vujičić, Phys. Rev. 172, No.4, 1968
 2. I.G. Valatin, Phys. Rev. 122, 1961

3. P.C. de Gennes, *Superconductivity of Metals and Alloys*
Benj. Inc. /1966/
4. C. Bloch and A. Messiah, *Nucl. Phys.* 39, 1962.