

STARLIKE MAPPINGS OF ORDER α ON THE UNIT BALL IN COMPLEX BANACH SPACES

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ABSTRACT. In this paper, we will give the growth theorem of starlike mappings of order α on the unit ball B in complex Banach spaces. We also give an analytic sufficient condition for a locally biholomorphic mapping on B to be a starlike mapping of order α .

1. INTRODUCTION

It is well known that the classical growth theorem of normalized biholomorphic mappings on the unit disc Δ in \mathbf{C} cannot be generalized to normalized biholomorphic mappings on the Euclidean unit ball in \mathbf{C}^n . Barnard, FitzGerald and Gong [1] and Chuaqui [3] extended the classical growth theorem to normalized starlike mappings on the Euclidean unit ball in \mathbf{C}^n . Dong and Zhang [4] generalized the above result to normalized starlike mappings on the unit ball in complex Banach spaces. The first and second authors [7] generalized the above result to spirallike mappings of type α on the unit ball B in an arbitrary complex Banach space. The second author [12], [13] gave a growth theorem of normalized starlike mappings of order α on the Euclidean unit ball in \mathbf{C}^n .

On the other hand, Becker [2] showed that if a holomorphic function f on Δ satisfies

$$\left| \frac{zf''(z)}{f'(z)} \right| \leq \frac{1}{1 - |z|^2},$$

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then f is univalent on Δ . Pfaltzgraff [18] generalized the above result for normalized locally biholomorphic mappings on the Euclidean unit ball \mathbf{B}^n in \mathbf{C}^n . He showed that if a normalized locally biholomorphic mapping f on \mathbf{B}^n satisfies

$$\|(Df(z))^{-1}D^2f(z)(z, \cdot)\| \leq \frac{1}{1 - \|z\|^2},$$

then f is univalent on \mathbf{B}^n and

$$\frac{\|z\|}{(1 + \|z\|)^2} \leq \|f(z)\| \leq \frac{\|z\|}{(1 - \|z\|)^2}.$$

The third author [16] showed that if a locally biholomorphic mapping f on \mathbf{B}^n satisfies

$$\|(Df(z))^{-1}D^2f(z)(z, \cdot)\| < \frac{1}{1 + \|z\|},$$

then f is a starlike mapping on \mathbf{B}^n .

In this paper, we will give the growth theorem of normalized starlike mappings of order α on the unit ball B in complex Banach spaces. As a generalization of the result in [16], we also give a sufficient condition for locally biholomorphic mappings on the unit ball B to be starlike of order α .

2. PRELIMINARIES

Let X be a complex Banach space with norm $\|\cdot\|$. The open ball $\{x \in X : \|x\| < r\}$ is denoted by B_r and the unit ball is abbreviated by $B_1 = B$. Let $\mathcal{L}(X, X)$ be the space of all continuous linear operators from X into X with the standard operator norm. By I we denote the identity in $\mathcal{L}(X, X)$. Let G be a domain in X and let $f : G \rightarrow X$. f is said to be holomorphic on G , if for any $z \in G$, there exists a $Df(z) \in \mathcal{L}(X, X)$ such that

$$\lim_{h \rightarrow 0} \frac{\|f(z+h) - f(z) - Df(z)h\|}{\|h\|} = 0.$$

A holomorphic mapping $f : G \rightarrow X$ is said to be locally biholomorphic on G if its Fréchet derivative $Df(z)$ is nonsingular at each $z \in G$. A holomorphic mapping $f : G \rightarrow X$ is biholomorphic if the inverse f^{-1} exists, is holomorphic on an open set $V \subset X$ and $f^{-1}(V) = G$.

A holomorphic mapping $f : B \rightarrow X$ is said to be normalized if $f(0) = 0$ and $Df(0) = I$. Let X^* be the dual space of X . For each $z \in X \setminus \{0\}$, we define

$$T(z) = \{z^* \in X^* : \|z^*\| = 1, z^*(z) = \|z\|\}.$$

By the Hahn-Banach theorem, $T(z)$ is nonempty.

DEFINITION 2.1. *A holomorphic mapping $f : B \rightarrow X$ is said to be starlike if f is biholomorphic, $f(0) = 0$ and $e^{-t}f(B) \subset f(B)$ for all $t \geq 0$.*

The following theorem is proved in Gurganus [6] (cf. [20]).

THEOREM 2.1. *Let $f : B \rightarrow X$ be a locally biholomorphic mapping with $f(0) = 0$. If f is a starlike mapping, then*

$$\operatorname{Re} z^* ([Df(z)]^{-1} f(z)) > 0 \quad (2.1)$$

for $z \in B \setminus \{0\}$, $z^* \in T(z)$. Moreover, if $\|[Df(z)]^{-1} f(z)\|$ is bounded on B_r for each r with $0 < r < 1$ and (2.1) holds, then f is a starlike mapping.

REMARK. In Gurganus [6], he claimed that if $f : B \rightarrow X$ is a locally biholomorphic mapping with $f(0) = 0$ and (2.1) holds, then f is starlike. For the proof, he uses Theorem 2.1 of Pfaltzgraff [18]. However, to apply Theorem 2.1 of [18], $\|[Df(z)]^{-1} f(z)\|$ should be bounded on B_r for each r with $0 < r < 1$.

Now, we will define a subclass of starlike mappings.

DEFINITION 2.2. *Let $f : B \rightarrow X$ be a starlike mapping. Let $\alpha \in \mathbf{R}$ with $0 < \alpha < 1$. We say that f is a starlike mapping of order α if*

$$\left| \frac{1}{\|z\|} z^* ([Df(z)]^{-1} f(z)) - \frac{1}{2\alpha} \right| < \frac{1}{2\alpha}$$

for $z \in B \setminus \{0\}$, $z^* \in T(z)$.

This definition generalizes the definition of starlike mappings of order α on the unit disc and on the Euclidean unit ball in \mathbf{C}^n [11].

Let Δ denote the unit disc in \mathbf{C} . The following lemma is proved in [9], [17].

LEMMA 2.3. *Let $k \geq 1$ and let $g : \Delta \rightarrow \mathbf{C}$ be a holomorphic function with $g(0) = g'(0) = \dots = g^{(k-1)}(0) = 0$. If there exists a $z_0 \in \Delta \setminus \{0\}$ such that*

$$|g(z_0)| = \max\{|g(z)| : |z| \leq |z_0|\} > 0,$$

then there exists a real number $m \geq k$ such that

$$z_0 g'(z_0) = m g(z_0).$$

3. GROWTH THEOREM OF NORMALIZED STARLIKE MAPPINGS OF ORDER α

In this section, we will prove the following theorem (cf. [12], [13]).

THEOREM 3.1. *Let $\alpha \in \mathbf{R}$ with $0 < \alpha < 1$. Let f be a normalized starlike mapping of order α from B to X . Then*

$$\frac{\|z\|}{(1 + \|z\|)^{2(1-\alpha)}} \leq \|f(z)\| \leq \frac{\|z\|}{(1 - \|z\|)^{2(1-\alpha)}}.$$

PROOF. Let $w(z) = [Df(z)]^{-1} f(z)$. Let $z \in B \setminus \{0\}$, $z^* \in T(z)$ be fixed and let

$$g(\zeta) = \frac{1}{\zeta} z^* \left(w \left(\zeta \frac{z}{\|z\|} \right) \right), \quad \zeta \in \Delta \setminus \{0\}$$

and $g(0) = 1$. Then g is a holomorphic function on Δ and

$$\left|g(\zeta) - \frac{1}{2\alpha}\right| < \frac{1}{2\alpha}, \quad \zeta \in \Delta.$$

Hence $\operatorname{Re}(1/g(\zeta)) > \alpha$, $\zeta \in \Delta$, which is equivalent to

$$\operatorname{Re}\frac{\frac{1}{g(\zeta)} - \alpha}{1 - \alpha} > 0, \quad \zeta \in \Delta.$$

It is easy to see that the above inequality implies the following relation (see, for example [5], [19]):

$$\frac{1 + |\zeta|}{1 + (2\alpha - 1)|\zeta|} \geq \operatorname{Re}g(\zeta) \geq \frac{1 - |\zeta|}{1 - (2\alpha - 1)|\zeta|}, \quad \zeta \in \Delta.$$

Letting $\zeta = \|z\|$ in the above inequality, we obtain

$$\|z\| \frac{1 + \|z\|}{1 + (2\alpha - 1)\|z\|} \geq \operatorname{Re}z^*(w(z)) \geq \|z\| \frac{1 - \|z\|}{1 - (2\alpha - 1)\|z\|}. \quad (3.1)$$

Since z was arbitrarily chosen, we deduce that the inequality (3.1) holds for all $z \in B \setminus \{0\}$.

Let $0 < r_1 < r_2 < 1$. Let z_2 be a point such that $\|z_2\| = r_2$. Since f is starlike, the curve $c(t) = \exp(-t)f(z_2)$ is contained in $f(B)$ for all $t \geq 0$. Also $c(t) \rightarrow 0$ as $t \rightarrow \infty$. Since f is biholomorphic, the curve $f^{-1}(c(t))$ is well-defined and intersects the sphere $\|z\| = r_1$ at some point $z_1 = f^{-1}(c(t_1))$. For a C^1 curve $\gamma : [a, b] \rightarrow X$, let

$$s = \int_a^b \left\| \frac{d\gamma}{dt}(t) \right\| dt$$

be the arc length of γ . We will parameterize the curve $f^{-1}(c(t))$ ($0 \leq t \leq t_1$) by the arc length from z_1 and write it as $z(s)$. Then $f(z(s)) = \exp(u(s))f(z_1)$, where $u(0) = 0$ and $u' > 0$. Differentiating $z(s) = f^{-1}(\exp(u(s))f(z_1))$, we have

$$\frac{dz}{ds} = [Df(z(s))]^{-1}u'(s)f(z(s)) = u'(s)w(z(s)).$$

Since $z(s)$ is parameterized by the arc length, we have

$$\|u'(s)w(z(s))\| = 1.$$

Therefore,

$$u'(s) = \frac{1}{\|w(z(s))\|}.$$

Then

$$\frac{dz}{ds} = \frac{1}{\|w(z(s))\|}w(z(s)) \quad (3.2)$$

and

$$\frac{df(z(s))}{ds} = u'(s)f(z(s)) = \frac{1}{\|w(z(s))\|}f(z(s)).$$

Let $g(s) = \|f(z(s))\|$. Since $\|f(z(s))\| = \exp(u(s))\|f(z_1)\|$, we have

$$\frac{dg}{ds} = \frac{1}{\|w(z(s))\|}g$$

on $(0, s_1)$, where $z(s_1) = z_2$. Let $v(t) = f^{-1}(c(t))$. Then

$$\frac{dv}{dt} = -[Df(v(t))]^{-1}f(v(t)).$$

Then $v(t)$ satisfies the following integral equation:

$$v(t) = z_2 - \int_0^t [Df(v(\tau))]^{-1}f(v(\tau))d\tau.$$

For any $0 \leq s < s' \leq s_1$, let $z(s) = v(t_1 - t)$ and $z(s') = v(t_1 - t')$. Then

$$\begin{aligned} \left| \|z(s)\| - \|z(s')\| \right| &\leq \|z(s) - z(s')\| \\ &= \|v(t_1 - t) - v(t_1 - t')\| \\ &= \left\| \int_{t_1-t}^{t_1-t'} \frac{dv(\tau)}{d\tau} d\tau \right\| \\ &\leq \int_{t_1-t}^{t_1-t'} \left\| \frac{dv(\tau)}{d\tau} \right\| d\tau \\ &= \int_s^{s'} \left\| \frac{dz(s)}{ds} \right\| ds \\ &= \int_s^{s'} 1 ds \\ &= |s - s'|. \end{aligned}$$

This implies that $\|z(s)\|$ is an absolutely continuous function on $[0, s_1]$. Thus, $d\|z(s)\|/ds$ exists a.e., integrable on $[0, s_1]$ and

$$\frac{d\|z(s)\|}{ds} = \operatorname{Re}z(s)^* \left(\frac{dz}{ds} \right)$$

for $z(s)^* \in T(z(s))$ a.e. on $[0, s_1]$ by Lemma 1.3 of Kato [10]. Then

$$\|w(z(s))\| \frac{d\|z(s)\|}{ds} = \operatorname{Re}z(s)^*(w(z(s))) \quad (3.3)$$

by (3.2). By (3.1) and (3.3), we have

$$\begin{aligned} \frac{1 + (2\alpha - 1)\|z(s)\|}{\|z(s)\|(1 + \|z(s)\|)} \frac{d\|z(s)\|}{ds} &\leq \frac{1}{g} \frac{dg}{ds} = \frac{1}{\|w(z(s))\|} \\ &\leq \frac{1 - (2\alpha - 1)\|z(s)\|}{\|z(s)\|(1 - \|z(s)\|)} \frac{d\|z(s)\|}{ds}. \end{aligned}$$

Since $\|z(s)\|$ is strictly increasing on $[0, s_1]$ by (3.1) and (3.3), we have

$$\begin{aligned} \log g(s) - \log g(0) &\leq \int_0^s \frac{1 - (2\alpha - 1)\|z(s)\|}{\|z(s)\|(1 - \|z(s)\|)} \frac{d\|z(s)\|}{ds} ds \\ &= \int_{\|z(0)\|}^{\|z(s)\|} \frac{1 - (2\alpha - 1)x}{x(1 - x)} dx \\ &= \log \|z(s)\| - 2(1 - \alpha) \log(1 - \|z(s)\|) \\ &\quad - \{\log \|z(0)\| - 2(1 - \alpha) \log(1 - \|z(0)\|)\} \end{aligned}$$

and

$$\begin{aligned} \log g(s) - \log g(0) &\geq \log \|z(s)\| - 2(1 - \alpha) \log(1 + \|z(s)\|) \\ &\quad - \{\log \|z(0)\| - 2(1 - \alpha) \log(1 + \|z(0)\|)\}. \end{aligned}$$

Then

$$\begin{aligned} \frac{(1 - \|z(s)\|)^{2(1-\alpha)}}{\|z(s)\|(1 - \|z(0)\|)^{2(1-\alpha)}} \|f(z(s))\| &\leq \frac{\|f(z(0))\|}{\|z(0)\|} \\ &\leq \frac{(1 + \|z(s)\|)^{2(1-\alpha)}}{\|z(s)\|(1 + \|z(0)\|)^{2(1-\alpha)}} \|f(z(s))\|. \end{aligned}$$

If we put $s = s_1$, we have

$$\begin{aligned} \frac{(1 - \|z_2\|)^{2(1-\alpha)}}{\|z_2\|(1 - \|z(0)\|)^{2(1-\alpha)}} \|f(z_2)\| &\leq \frac{\|f(z(0))\|}{\|z(0)\|} \\ &\leq \frac{(1 + \|z_2\|)^{2(1-\alpha)}}{\|z_2\|(1 + \|z(0)\|)^{2(1-\alpha)}} \|f(z_2)\|. \end{aligned}$$

Letting $r_1 \rightarrow 0$, we obtain that

$$\frac{(1 - \|z_2\|)^{2(1-\alpha)}}{\|z_2\|} \|f(z_2)\| \leq 1 \leq \frac{(1 + \|z_2\|)^{2(1-\alpha)}}{\|z_2\|} \|f(z_2)\|,$$

since

$$\lim_{z \rightarrow 0} \frac{\|f(z)\|}{\|z\|} = \lim_{z \rightarrow 0} \frac{\|Df(0)z\|}{\|z\|} = 1.$$

This completes the proof. \square

EXAMPLE 3.1. When

$$X = \ell_p = \{z = (z_1, z_2, \dots) : \|z\|^p = \sum_{n=1}^{\infty} |z_n|^p < \infty\},$$

where $p \geq 1$, the estimates in Theorem 3.1 are sharp. We will show that the holomorphic mapping

$$f(z) = (f_1(z_1), f_2(z_2), \dots)',$$

where

$$f_j(z_j) = \frac{z_j}{(1 - z_j)^{2(1-\alpha)}},$$

is a normalized starlike mapping of order α which attains the equalities in Theorem 3.1. Since

$$Df(z)x = \left(\frac{(1-2\alpha)z_1+1}{(1-z_1)^{3-2\alpha}}x_1, \frac{(1-2\alpha)z_2+1}{(1-z_2)^{3-2\alpha}}x_2, \dots \right)',$$

f is a normalized locally biholomorphic mapping. Moreover,

$$2\alpha[Df(z)]^{-1}f(z) - z = \left(\frac{z_1(2\alpha-1-z_1)}{(1-2\alpha)z_1+1}, \frac{z_2(2\alpha-1-z_2)}{(1-2\alpha)z_2+1}, \dots \right)'. \quad (3.4)$$

When $1 < p < \infty$, $T(z)$ ($z \neq 0$) consists of one element

$$z^*(y) = \sum_{j=1}^{\infty} \frac{|z_j|^p}{z_j \|z\|^{p-1}} y_j.$$

Then

$$\begin{aligned} |z^*(2\alpha[Df(z)]^{-1}f(z) - z)| &= \left| \sum_{j=1}^{\infty} \frac{|z_j|^p}{\|z\|^{p-1}} \frac{2\alpha-1-z_j}{(1-2\alpha)z_j+1} \right| \\ &\leq \frac{1}{\|z\|^{p-1}} \sum_{j=1}^{\infty} |z_j|^p \left| \frac{2\alpha-1-z_j}{(1-2\alpha)z_j+1} \right| \\ &< \frac{1}{\|z\|^{p-1}} \sum_{j=1}^{\infty} |z_j|^p \\ &= \|z\|. \end{aligned}$$

When $p = 1$, $T(z)$ ($z \neq 0$) consists of those functionals z^* given by

$$z^*(y) = \sum_{z_j \neq 0} \frac{|z_j|}{z_j} y_j + \sum_{z_j = 0} \alpha_j y_j,$$

where $|\alpha_j| \leq 1$. Then we can show that $|z^*(2\alpha[Df(z)]^{-1}f(z) - z)| < \|z\|$ as above. Since $\|[Df(z)]^{-1}f(z)\|$ is bounded on B_r for each r with $0 < r < 1$ by (3.4), f is a starlike mapping of order α . For $z = (r, 0, 0, \dots) \in B$, we have $\|f(z)\| = \|z\|/(1-\|z\|)^{2(1-\alpha)}$, and for $z = (-r, 0, 0, \dots) \in B$, we have $\|f(z)\| = \|z\|/(1+\|z\|)^{2(1-\alpha)}$.

REMARK. Let $f : B \rightarrow X$ be a normalized convex mapping. That is, f is a biholomorphic mapping from B onto a convex domain with $f(0) = 0$, $Df(0) = I$. Then we can show that f is a starlike mapping of order $1/2$. Then we obtain the following growth theorem from the above theorem.

$$\frac{\|z\|}{1+\|z\|} \leq \|f(z)\| \leq \frac{\|z\|}{1-\|z\|}.$$

For details, see Theorem 2.1 of [8] (cf. [11], [12]).

4. A SUFFICIENT CONDITION TO BE STARLIKE OF ORDER α

In this section, we will give a sufficient condition for locally biholomorphic mappings on the unit ball in complex Banach spaces to be starlike of order α .

First, we will generalize Lemma 2.3 to complex Banach spaces (cf. [14], [15]).

THEOREM 4.1. *Let B be the unit ball in a complex Banach space X . Let $f : B \rightarrow X$ be a holomorphic mapping with $f(0) = 0$. Suppose that there exists an $a \in B \setminus \{0\}$ such that*

$$\|f(a)\| = \max\{\|f(\zeta a)\| : |\zeta| \leq 1\} > 0.$$

Then there exists a real number $s \geq 1$ such that

$$\|Df(a)(a)\| = s\|f(a)\|.$$

Moreover, if $Df(0) = 0$, then $s \geq 2$.

PROOF. Let $b = f(a)$ and let $F(\zeta) = b^*(f(\zeta a/\|a\|))$, where $b^* \in T(b)$. Then F is a holomorphic function on Δ and $F(0) = 0$. Since $F(\|a\|) = \|f(a)\|$ and $|F(\zeta)| \leq \|f(a)\|$ for $|\zeta| \leq \|a\|$, there exists a real number $m \geq 1$ such that $\|a\|F'(\|a\|) = mF(\|a\|)$ by Lemma 2.3. This implies that $b^*(Df(a)(a)) = m\|f(a)\|$. Since $\|b^*\| = 1$, we can find a real number s with $s \geq m \geq 1$ such that $\|Df(a)(a)\| = s\|f(a)\|$.

If $Df(0) = 0$, then $F'(0) = 0$. Then by Lemma 2.3, $s \geq m \geq 2$. This completes the proof. \square

The following theorem generalizes the result of third author's paper [16].

THEOREM 4.2. *Let B be the unit ball in a complex Banach space X . Let $f : B \rightarrow X$ be a locally biholomorphic mapping with $f(0) = 0$. Assume that f satisfies one of the following two conditions:*

(i) $1/2 < \alpha < 1$ and

$$\|(Df(z))^{-1}D^2f(z)(z, \cdot)\| < \frac{1 - (2\alpha - 1)\|z\|}{1 + \|z\|};$$

(ii) $\alpha = 1/2$ and

$$\|(Df(z))^{-1}D^2f(z)(z, \cdot)\| < \frac{2}{1 + \|z\|}.$$

Then f is starlike of order α . Moreover, if f is normalized, then

$$\frac{\|z\|}{(1 + \|z\|)^{2(1-\alpha)}} \leq \|f(z)\| \leq \frac{\|z\|}{(1 - \|z\|)^{2(1-\alpha)}}.$$

PROOF. Let $p(z) = 2\alpha[Df(z)]^{-1}f(z) - z$. First we show that

$$\|p(z)\| < 1, z \in B. \tag{4.1}$$

If the inequality (4.1) does not hold, then there exists a point $a \in B \setminus \{0\}$ such that

$$\|p(a)\| = \max\{\|p(\zeta a)\| : |\zeta| \leq 1\} = 1.$$

By Theorem 4.1, there exists a real number $s \geq 1$ such that

$$\|Dp(a)(a)\| = s\|p(a)\| = s \geq 1.$$

When $\alpha = 1/2$, $Dp(0) = 0$ and therefore, $s \geq 2$. Since

$$[Df(z)]^{-1}D^2f(z)(p(z) + z, \cdot) + Dp(z) = (2\alpha - 1)I,$$

we have

$$\begin{aligned} s \leq \|Dp(a)(a)\| &= \|(2\alpha - 1)a - [Df(a)]^{-1}D^2f(a)(p(a) + a, a)\| \\ &\leq (2\alpha - 1)\|a\| + \|[Df(a)]^{-1}D^2f(a)(a, \cdot)\|\|p(a) + a\| \\ &\leq (2\alpha - 1)\|a\| + \|[Df(a)]^{-1}D^2f(a)(a, \cdot)\|(1 + \|a\|). \end{aligned}$$

Then

$$\frac{s - (2\alpha - 1)\|a\|}{1 + \|a\|} \leq \|[Df(a)]^{-1}D^2f(a)(a, \cdot)\|$$

This is a contradiction. So, $\|p(z)\| < 1$ on B . Since $p(0) = 0$, $\|p(z)\| \leq \|z\|$ for $z \in B$ by the Schwarz lemma. For fixed $z \in B \setminus \{0\}$, $z^* \in T(z)$, let $w = z/\|z\|$ and let

$$g(\zeta) = z^* \left(\frac{p(\zeta w)}{\zeta} \right).$$

Then g is a holomorphic function on Δ with $|g(\zeta)| \leq 1$. Since $g(0) = z^*(Dp(0)w) = 2\alpha - 1$, $|g(0)| < 1$. Then $|g(\zeta)| < 1$ by the maximum principle. This implies that

$$\frac{1}{2\alpha}|g(\|z\|)| = \left| \frac{1}{\|z\|} z^* ([Df(z)]^{-1}f(z)) - \frac{1}{2\alpha} \right| < \frac{1}{2\alpha}$$

Since $\|[Df(z)]^{-1}f(z)\|$ is bounded on B from (4.1), f is a starlike mapping of order α . By Theorem 3.1, we obtain the growth theorem. This completes the proof. \square

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